



Council

**Wednesday, 7 December 2016
2.00 p.m.
Council Chamber, Town Hall,
Moorgate Street, Rotherham. S60 2TH**



WELCOME TO TODAY'S MEETING

GUIDANCE FOR THE PUBLIC

The Council is composed of 63 Councillors, who are democratically accountable to the residents of their ward.

The Council Meeting is chaired by the Mayor, who will ensure that its business can be carried out efficiently and with regard to respecting the rights and responsibilities of Councillors and the interests of the community. The Mayor is the Borough's first citizen and is treated with respect by the whole Council, as should visitors and member of the public.

All Councillors meet together as the Council. Here Councillors decide the Council's overall policies and set the budget each year. The Council appoints its Leader, Mayor and Deputy Mayor and at its Annual Meeting will appoint Councillors to serve on its committees.

Copies of the agenda and reports are available on the Council's website at www.rotherham.gov.uk. The public can also have access to the reports to be discussed at the meeting by visiting the Reception at the Town Hall. The Reception is open from 8.00 a.m. to 5.30 p.m. each day. You may not be allowed to see some reports because they contain private information and these will be marked accordingly on the agenda.

Members of the public have the right to ask questions or submit petitions to Council meetings. A member of the public may ask one general question in person which must be received in writing to the Chief Executive by 10.00 a.m. on the Friday preceding a Council meeting on the following Wednesday and must not exceed fifty words in length.

Council meetings are webcast and streamed live or subsequent broadcast via the Council's website. At the start of the meeting the Mayor will confirm if the meeting is being filmed. You would need to confirm your wish not to be filmed to Democratic Services. Recording of the meeting by members of the public is also allowed.

Council meetings are open to the public, but occasionally the Council may have to discuss an item in private. If this occurs you will be asked to leave. If you would like to attend a meeting please report to the Reception at the Town Hall and you will be directed to the relevant meeting room.

FACILITIES

There are public toilets, one of which is designated disabled with full wheelchair access, with full lift access to all floors. Induction loop facilities are also available in the Council Chamber, John Smith Room and Committee Rooms 1 and 2.

Access for people with mobility difficulties can be obtained via the ramp at the main entrance to the Town Hall.

If you have any queries on this agenda, please contact:-

Contact:- James McLaughlin, Democratic Services Manager
Tel:- 01709 822477
james.mclaughlin@rotherham.gov.uk

Date of Publication:- 29 November 2016

COUNCIL

Wednesday, 7 December 2016 at 2.00 p.m.
Council Chamber, Town Hall, Moorgate Street, Rotherham. S60 2TH

THE MAYOR (Councillor Lindsay Pitchley)
DEPUTY MAYOR (Councillor Eve Keenan)

CHIEF EXECUTIVE (Sharon Kemp)

MEMBERS OF THE COUNCIL

ANSTON AND WOODSETTS

IRELAND, Jonathan C.
JEPSON, Clive R.
WILSON, Katherine M.

KEPPEL

CLARK, Maggi
CUTTS, Dave
HAGUE, Paul

SITWELL

COWLES, Allen
SHORT Peter, G. J.
TURNER, Julie

BOSTON CASTLE

ALAM, Saghir
MCNEELY, Rose M.
YASSEEN, Taiba K.

MALTBY

BEAUMONT, Christine
PRICE, Richard
RUSHFORTH, Amy L.

SWINTON

CUSWORTH, Victoria
SANSOME, Stuart
WYATT, Kenneth J.

BRINSWORTH AND CATCLIFFE

BUCKLEY, Alan
RODDISON, Andy
SIMPSON, Nigel G.

RAWMARSH

BIRD, Robert
MARRIOTT Sandra
SHEPPARD, David R.

VALLEY

ALBISTON, Kerry
REEDER, Kathleen
SENIOR, Jayne E.

DINNINGTON

FINNIE, Ian K.
MALLINDER, Jeanette M.
TWEED, Simon A.

ROTHER VALE

ALLCOCK, Leon
BROOKES, Amy C.
WALSH, Robert J.

WALES

BECK, Dominic
WATSON, Gordon
WHYSALL, Jennifer

HELLABY

ANDREWS, Jennifer A.
CUTTS, Brian
TURNER, R. A. John

ROTHERHAM EAST

COOKSEY, Wendy
FENWICK-GREEN Deborah
KHAN, Tajamal

WATH

ATKIN, Alan
ELLIOT, Jayne C.
EVANS, Simon

HOLDERNESS

ELLIOTT, Michael S.
PITCHLEY, Lyndsay
TAYLOR, Robert P.

ROTHERHAM WEST

JARVIS, Patricia A.
JONES, Ian P.
KEENAN, Eve.

WICKERSLEY

ELLIS, Susan
HODDINOTT, Emma
READ, Chris

HOOBER

LELLIOTT, Denise
ROCHE, David J.
STEELE, Brian

SILVERWOOD

MARLES, Steven
NAPPER, Alan D.
RUSSELL, Gwendoline A.

WINGFIELD

ALLEN, Sarah A.
ELLIOTT, Robert W.
WILLIAMS, John

Council Meeting Agenda

Time and Date:-

Wednesday, 7 December 2016 at 2.00 p.m.

Venue:-

Council Chamber - Town Hall, Moorgate Street, Rotherham. S60 2TH

1. ANNOUNCEMENTS

To consider any announcements by the Mayor or the Leader.

2. APOLOGIES FOR ABSENCE

To receive the apologies of any Member who is unable to attend the meeting.

3. PETITIONS

To report on any petitions received by the Council.

4. COMMUNICATIONS

Any communication received by the Mayor or Chief Executive which relates to a recommendation of the Cabinet or a committee which was received after the relevant meeting.

5. DECLARATIONS OF INTEREST

To invite Councillors to declare any disclosable pecuniary interests or personal interests they may have in any matter which is to be considered at this meeting, to confirm the nature of those interests and whether they intend to leave the meeting for the consideration of the item.

6. MINUTES OF THE PREVIOUS COUNCIL MEETING (Pages 1 - 20)

To receive the record of proceedings of the ordinary meeting of the Council held on 19 October 2016 and to approve the accuracy thereof.

7. PUBLIC QUESTIONS

To receive questions from members of the public who may wish to ask a general question of the Mayor, Cabinet Member or the Chairman of a Committee.

8. MINUTES OF THE CABINET AND COMMISSIONERS' DECISION MAKING MEETING (Pages 21 - 61)

To receive and consider the reports, minutes and recommendations of the Cabinet and Commissioners' Decision Making Meetings held on 10 October and 14 November, 2016.

9. RECOMMENDATIONS FROM CABINET - SEPTEMBER FINANCIAL MONITORING REPORT (Pages 62 - 122)

To consider approval of recommendations in respect of the Capital Programme.

10. RECOMMENDATIONS FROM CABINET - MEDIUM TERM FINANCIAL STRATEGY UPDATE (Pages 123 - 183)

To consider recommendations in respect of amending the Medium Term Financial Strategy.

11. RECOMMENDATION FROM CABINET - COMMUNITY INFRASTRUCTURE LEVY (Pages 184 - 213)

To consider the adoption of the Rotherham Community Infrastructure Levy (CIL) Charging Schedule

12. PROPOSED CONSTITUTIONAL AMENDMENTS - RECORDING AND PUBLICATION OF OFFICER DECISIONS (Pages 214 - 225)

To consider the recommendations of the Constitution Working Party to amend the Constitution in respect of the recording and publication of officer decisions

13. PROPOSED CONSTITUTIONAL AMENDMENTS - THE DEFINITION OF A "KEY DECISION" AND DELEGATION TO OFFICERS (Pages 226 - 234)

To consider the recommendations of the Constitution Working Party to amend the Constitution in respect of the financial limit for spending decisions by officers and the definition of a Key Decision.

14. NOTICE OF MOTION - BUS SERVICES BILL

This Council notes:-

1. That the Bus Services Bill currently passing through Parliament includes Clause 21 that will effectively "prohibit a local authority from forming a company for the purposes of providing a local bus service".
2. That the Localism Act (2011) provides general powers of competence to local authorities.
3. That municipal bus companies like Reading and Nottingham provide some of the best bus services in the country and have a successful track record of increasing bus passenger numbers and providing high quality bus services.
4. That polling by We Own It found that a majority of the public (57%) oppose clause 21, whilst just 22% support it. The opposition to Clause 21 is consistent across voters from all political parties.

This Council believes:-

1. Clause 21 contradicts the general powers of competence and the spirit of the Localism Act 2011.
2. If there is a need and a demand from their public, then Councils should be able to provide their own bus services
3. Should they wish, Councils should be legally able to follow the model developed by Reading and Nottingham.
4. Consequently Clause 21 should be omitted from the Bus Services Bill.

This Council resolves:-

1. To write to Lord Ahmad and to call on the Department for Transport to omit Clause 21 from the final legislation
2. To write to Sarah Champion MP, John Healey MP and Sir Kevin Barron MP to ask them to oppose clause 21 when the Bus Services Bill reaches the House of Commons and to ask them to write to Lord Ahmad and the Department of Transport to raise concerns about Clause 21. In addition, we call on them to support Amendment 97, made by the House of Lords, which designates certain bus routes as assets of community value because this provision is important to isolated rural areas as it recognises that there are some areas where the local bus route is a lifeline for the local community particularly the elderly and the low paid.
3. To work with any organisations such as We Own It to publicise our opposition to clause 21 in the local media.

Proposer:- Councillor Cooksey

Seconder:- Councillor Price

15. NOTICE OF MOTION - COUNCILLOR CONDUCT

This Council notes that:

Councillor Roddison, by his conduct in:

- continuing to take public money without making any attempt to participate in his council duties; and
- by his grubby action in sneaking in the side entrance to the last Council meeting in order to comply with council rules on attendance to enable him to continue to take public money

has brought this Council into disrepute in the eyes of the public.

This Council therefore resolves that Councillor Roddison be censured for his conduct.

Proposer:- Councillor Cowles

Seconder:- Councillor Julie Turner

16. STANDARDS AND ETHICS COMMITTEE (Pages 235 - 239)

To receive and consider reports, minutes and recommendations of the Standards and Ethics Committee.

To confirm the minutes as a true record.

17. AUDIT COMMITTEE (Pages 240 - 250)

To receive and consider reports, minutes and recommendations of the Audit Committee.

To confirm the minutes as a true record.

18. PLANNING BOARD (Pages 251 - 255)

To receive and consider reports, minutes and recommendations of the Planning Board.

To confirm the minutes as a true record.

19. STAFFING COMMITTEE (Pages 256 - 257)

To receive and consider reports, minutes and recommendations of the Staffing Committee.

To confirm the minutes as a true record.

20. MEMBERS' QUESTIONS TO DESIGNATED SPOKESPERSONS

To put questions, if any, to the designated Members on the discharge of functions of the South Yorkshire Police and Crime Panel, South Yorkshire Fire and Rescue Authority, Barnsley, Doncaster, Rotherham and Sheffield Combined Authority and South Yorkshire Pensions Authority, in accordance with Standing Order No. 7(5).

21. MEMBERS' QUESTIONS TO CABINET MEMBERS AND CHAIRMEN

To put questions, if any, to Cabinet Members and Chairmen (or their representatives) under Standing Order No. 7(1) and 7(3).

A handwritten signature in black ink, appearing to read 'Sharon Kemp'.

SHARON KEMP,
Chief Executive.

**The next meeting of the Council will be on
Wednesday 25 January 2017 at 2.00 p.m. at the Town Hall.**

COUNCIL MEETING
Wednesday 19 October 2016

Present:- The Mayor (Councillor Lyndsay Pitchley) (in the Chair); Councillors Alam, Albiston, Allcock, Allen, Andrews, Atkin, Beaumont, Bird, Brookes, Buckley, Clark, Cooksey, Cowles, Cusworth, Cutts, Cutts, Elliot, R. Elliott, Ellis, Evans, Fenwick-Green, Hague, Hoddinott, Ireland, Jarvis, Jepson, Jones, Rose, Khan, Lelliott, McNeely, Mallinder, Marles, Marriott, Napper, Read, Reeder, Roche, Roddison, Rushforth, Russell, Sansome, Senior, Sheppard, Short, Simpson, Steele, Taylor, Julie Turner, Tweed, Walsh, Watson, Williams, Wilson, Whysall, Wyatt and Yasseen.

59. ANNOUNCEMENTS

The Mayor presented Stewart Preece and Peter Watson from the Street Pride service with certificates of commendation from the Chief Constable of South Yorkshire Police for their actions in helping the police to apprehend a thief.

The Mayor also presented Tom Bell, Anne Marie Lubanski and Asim Munir from Adult Care and Housing with the Tenants Participation Advisory Service Accreditation Award.

Members of the Council joined the Mayor in showing their appreciation of the work done by those officers of the Council.

The Mayor reported on her activities:

“Since our last full Council Meeting on 7 September, I have attended over 80 (89) engagements, far too many to mention individually and all equally as important so, picking out a few has been hard but, here goes

I should mention my first engagement on the evening of the last Council Meeting - the Scout Annual General Meeting at Hesley Woods, this brought back lovely memories from my Girl Guiding days, many years ago!

There has been many occasion to celebrate with businesses across the Borough including the 225th Anniversary of Oxley and Coward, the opening of ‘Tiny Bistro’ Wickersley, the official opening by the Duke of Gloucester at X-Cel Superturn and ASSEAL celebrated yet another Queen’s Enterprise Award, presented by the Lord Lieutenant.

I can’t mention businesses without congratulating all the winners and runners up at the Barnsley and Rotherham Chamber of Commerce Awards last Friday.

September saw many visits across the Borough to the McMillan coffee mornings, including the annual one held here in the John Smith room by Councillor McNeely.

The Annual RMBC Garden Competition was celebrated here too and Councillor Beck, my Consort, and I presented well deserving certificates and trophies.

And what about Ray Matthews, celebrating with him on his Final 75th Marathon!! Now that's a true hero.

The Rotherham Show saw another good two full days with so many lovely people, not to mention the pets!!

The Carnival that paraded the town was spectacular. While I couldn't attend, I did see part of the parade as I was returning from my engagement where I celebrated at the Higher Education Graduation, again, here at Rotherham.

The Olympics Yorkshire Homecoming where we met some of our talented Olympians from the Olympics and Paralympics, plus holding and (even wearing) the well deserving medal – I'm pretty sure that will be the last time I ever wear an Olympic Medal!

Moving on

The Rotherham Lions 'Giveaway' was again lovely, to hand out so many cheques to all the deserving groups and individuals. All the money raised by the hard work of the Lions over the last 12 months. I must also thank them again for the donation of £500 towards my charity this year.

Still so many functions, I could go on all night, I won't, but I do have to mention a few more..

I must mention the presentation to Muriel Davies of the Women of Steel Medallion.

There has, of course, been many visits to primary schools across the borough, not forgetting the official opening of Eastwood Village Primary School.

Many schools and individuals have also visited the Town Hall since the last meeting, including Samantha Jones, representing the adoption team, who asked to take a selfi with me to launch Adoption Week – and I'm sure, of course, we all support them."

The Leader of the Council had no announcements.

60. APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillors Beck, M. S. Elliott, Finnie and Whysall.

61. PETITIONS

The Chief Executive reported that one petition had been received which had been referred to the Regeneration and Environment directorate for response.

Councillor Wyatt enquired whether the petition in question related to landscaping at Carlisle Park, Swinton and requested that the practice of detailing petitions on the Mayor's letter be reinstated. The Chief Executive confirmed that was the petition and all future petitions would be detailed on the Mayor's letter circulated at the meeting.

62. COMMUNICATIONS

No communications were received.

63. DECLARATIONS OF INTEREST

There were no declarations of interest at the meeting.

64. MINUTES OF THE PREVIOUS COUNCIL MEETING

Councillor Allen indicated that the minutes stated that she was present at the previous meeting when in fact she had submitted her apologies. Councillor Julie Turner requested that the minutes be amended to ensure that it was clear whether Councillor John Turner or Councillor Julie Turner had spoken, rather than recording that Councillor Turner had spoken.

Resolved:-

That, subject to the amendment of the attendance record and to note that Councillor John Turner had submitted a question, the minutes of the previous meeting of the Council held on 7 September 2016 be agreed as a true and correct record of the proceedings.

(Councillor Brian Cutts wished for his vote against the accuracy of the minutes to be recorded.)

65. PUBLIC QUESTIONS

The following questions were received:-

From Mr. D. Smith – "Why does the Borough Council insist on ignoring the opinion of the Parish Councils?"

In response, Councillor Yasseen, Cabinet Member for Neighbourhood Working and Cultural Services, stated that “the Council values its relationship with Parish Councils and has in recent years sought to improve and build on the positive relationship that exists between the two levels of local government. For example, the Council is committed to continuing with the RMBC/Parish Joint Council Working Group which is chaired by the Cabinet Lead, Neighbourhood Working and Cultural Services, and comprises elected representatives from all the Parish Councils across the borough. The group has worked together to refresh the Parish/RMBC Council Charter which sets out our joint commitment to partnership working.

The Council is also committed to supporting individual Parish Councils and their Clerks through training and events. Training has been provided on the planning process and health and safety in response to requests by Parish Clerks and Councils. A Parish Council Network Conference is also scheduled for November 2016 which again is to promote a common agenda around neighbourhood planning.

It should be borne in mind that as with any relationship between statutory partners there will be areas of policy agreement but also of difference. Such differences should not automatically be construed as partners not listening to each other’s opinions.”

As a supplementary question, Mr Smith reiterated his initial question and queried why, in his view, the Borough Council treated Parish Councils with contempt. In response, Councillor Yasseen expressed her disappointment at Mr. Smith’s view and referred to the work that the Borough Council had done with Dinnington Town Council in preparing a neighbourhood plan. She also made reference to the Joint Liaison Meeting scheduled for 20 October 2016 where discussions would be ongoing regarding a number of outstanding issues.

From Mrs. M. Watson:- “Is the Council aware of the implications of allowing Fisher German Prestner, agents of Ineos, to carry out seismic testing as a precursor to ‘Fracking’ in Harthill and Woodall?”

Councillor Lelliott responded “INEOS have been granted a Petroleum Exploration Development Licence (PEDL) by the Government for onshore oil and gas in the UK in our area. This means they have the sole rights to investigate fracking via the licence.

In relation to seismic testing this would only require the permission of the landowner to carry out, as no development is involved, so the Council has not been approached regarding this matter (parish council meeting with Ineos reported, in the Rotherham Advertiser, to take place on 17 October). However, any further, more intrusive, testing e.g. the drilling of test bore holes etc would require consideration via a planning application. To implement the fracking licence the company would also require other regulatory requirements such as planning permission, an Environment Agency permit and health and safety requirements."

Mrs. Watson did not take the opportunity to ask a supplementary question.

66. MINUTES OF CABINET AND COMMISSIONERS' DECISION MAKING MEETING

Resolved:-

1. That the minutes of the Cabinet and Commissioners' Decision Making Meeting held on 12 September 2016 be received.
2. That the Library Strategy 2016-19 and future service offer be approved.
3. That implementation of the revised savings proposals in respect of the Libraries Service and adjustment to the Medium Term Financial Strategy be approved.

67. IMPACT OF LEGISLATION ON THE HOUSING REVENUE ACCOUNT BUSINESS PLAN 2016-17

Consideration was given to a report which introduced a paper considered by the Cabinet at its meeting on 10 October 2016 which detailed the impact of legislation on the Council's Housing Revenue Account Business Plan 2016-17.

It was reported that the Housing Revenue Account (HRA) records all expenditure and income relating to the provision of council housing and related services, and the Council is required to produce a HRA Business Plan setting out its investment priorities over a 30 year period.

Following the introduction in 2012 of HRA self-financing, whereby the Council was awarded control over its HRA in return for taking on a proportion of national housing debt, Rotherham's HRA was in a strong position with a healthy level of reserves. However a number of policies had recently been introduced by central government that will result in a dramatic reduction to HRA resources, namely:

- Welfare Reforms
- Changes resulting from the Housing and Planning Act 2016

It was noted that the cumulative effect of these changes was that £51m of savings were likely to be required by 2020-21 when compared to the previous business plan, meaning that the Council would need to take some difficult decisions about prioritisation, and how to achieve the best outcomes locally for Rotherham residents. Further discussions would be required regarding how best the Council manages social housing and new housing delivery in the future and it was noted that this would be the subject of further reports.

Members debated the wider legislative and policy issues in respect of housing which had been driven by the government's agenda. Reference was made to the 'Spare Room Subsidy', also known as the 'Bedroom Tax' and the impact of this policy on local people, specifically younger people, and the provision of good decent housing. Discussion also took place on the impact of the Homes and Communities Agency moving from the award of grants to loans.

Resolved:-

That the report be noted.

68. NOTICE OF MOTION

Proposed by Councillor Roche and seconded by Councillor Mallinder:

This Council recognises and values local pharmacies as a vital primary care health service and as an integral part of the fabric of local communities throughout our town.

This Council notes that:

- The 69 pharmacies in Rotherham offer a range of services such as dispensing prescriptions, disposal of unwanted medicines and supporting self-care
- Pharmacies play an important role in promoting wellbeing such as healthy eating, smoking cessation, exercise, flu vaccination, sexual health and more. We already work closely with them
- Advice and support services are also available to care homes
- Several local pharmacies have achieved Healthy Living Pharmacies (HLP) status recognising and evidencing their role in improving the health of their local population.

Rotherham Council is greatly concerned about Government imposed threats to pharmacies as a result of cuts in the budget of £170M nationally to take effect from October 2016. This is a 6% cut in cash terms but could effectively mean a cut of 12% during the financial year which could potentially close up to a quarter of pharmacies with an increased focus on warehousing dispensary and online services. Service cuts in pharmacies put more residents at risk as well as putting pressure on GPs and on hospital services and therefore increasing NHS costs. A fully funded community pharmacy service is cost effective and is in the interests of patients and carers.

This Council therefore resolves to write to the Secretary of State for Health, NHS England detailing our concerns and demanding an immediate reversal of these proposals.

Upon being put to the vote, the motion was carried.

69. STANDARDS AND ETHICS COMMITTEE

Resolved:-

1. That the minutes of the Standards and Ethics Committee held on 29 September 2016 be adopted.
2. That the Member/Officer Protocol be amended and the Constitution updated accordingly.

70. AUDIT COMMITTEE

Resolved:-

That the minutes of the meeting of the Audit Committee held on 21 September 2016 be adopted.

71. HEALTH AND WELLBEING BOARD

Resolved:-

That the minutes of the meeting of the Health and Wellbeing Board held on 21 September 2016 be adopted.

72. PLANNING BOARD

Resolved:-

That the minutes of the meeting of the Planning Board held on 15 September 2016 be adopted.

73. MEMBERS' QUESTIONS TO DESIGNATED SPOKESPERSONS

There were no questions received for designated spokespersons.

74. MEMBERS' QUESTIONS TO CABINET MEMBERS AND CHAIRMEN

Councillor Simpson asked "The Rejuvenation of the town centre is very welcomed but some outlying centres like Brinsworth Lane shopping area seem to have been forgotten. Can we make sure that villages and green areas like Aston, Lathe Road (Whiston) and Waverley are retained and protected from over development?"

In response, Councillor Lelliott, Cabinet Member for Jobs and the Local Economy, stated "All areas of retail (town centres, district centres and local shopping centres) are identified in the Local Plan and so the current and future uses are guided by this planning policy document. The Adopted Local Plan Core Strategy has already fixed the amount of development to come forward in each of the areas across Rotherham. This strategic plan is being followed up with the more detailed Sites and Policies document, which is currently going through an independent inspection, to determine exactly which sites will be allocated for future development and which areas will be protected for the future.

As a supplementary question, Councillor Simpson referred to the opportunity to protect natural areas around the Waverley development from over development. Councillor Lelliott responded in agreement with Councillor Simpson's point and offered to meet with him to discuss further outside of the meeting.

Councillor Sansome asked: "In light of the announcement by Tata to put its Speciality Steels business with the Brinsworth and Aldwarke sites in Rotherham up for sale, can he provide us an update?"

In response, Councillor Read, Leader of the Council, stated "As was reported in the press last month, Tata is working with interested purchasers of the Speciality Steels business. As you can imagine negotiating the sale and purchase of a business of this size and scale, which is currently part of a larger group of businesses, is a complex process and subject to commercial confidentiality. The Council has worked closely with Tata to support both the business and, importantly, the employees through the restructuring process and we remain in regular contact with the management at Tata. I recognise that these are uncertain times for everyone at Tata, the workforce, and their families particularly in the lead up to Christmas - and that all concerned will be anxious to hear news that the sale process has been brought to a successful conclusion. All I can say at the moment is that discussions on the sale are ongoing and the relevant parties are working hard to conclude matters."

As a supplementary question, Councillor Sansome asked for an update on the work by the Taskforce dealing with people who had been made redundant. Councillor Read referred to the good work done by government agencies and reported that from 720 redundancies support had been provided by RiDO to 323 people and a further 158 people who were deemed to be at risk, but not selected for redundancy. Of the 323:-

- 131 have new jobs or are self-employed (120 employed and 11 self-employed)
- 18 have retired
- 6 are not looking for work for various reasons
- 64 are on training courses
- 21 are waiting for training to be arranged (for a variety of reasons – e.g. start dates for specialist training, some are new to the support process i.e. recently been made redundant)
- 83 for which information is not available – we are continuing to try to make contact with these
- 106 people made claims to Jobseekers Allowance. Only 16 people still claiming JSA, which was considered to be a testament to the value of the support provided.

Councillor B. Cutts asked “First demolish 3 new multi-storey council offices. Then move into new rented accommodation at £3million p.a for 30 years plus maintenance. We are now contemplating re-purchasing Forge Island for a reported £1.5million. Can these circumstances be explained as to why it was not concluded under one initial contract?”

In response, Councillor Lelliott stated “The option to redevelop and include Forge Island was included in the tender documentation for the Town Centre Regeneration programme. The Council carried out a 3 Lot procurement exercise to link the development of a new office with regeneration of the sites vacated by the move to the new office and to enable development on Forge Island. There was no single bidder who wished to take on all 3 lots of this exercise. However the linking of these activities resulted in Riverside House, the option to purchase Forge Island and the new Tesco development and Capital receipts for the Council.

Councillor Buckley asked “My Ward has seen the expansion of broadband which is very welcome. However residents in my Ward were not prepared for the poor workmanship of Virgins contractors. Will we be ensuring verges and pavements are properly reinstated to their original condition and can penalties be applied?”

In response, Councillor Hoddinott, Cabinet Member for Waste, Roads and Community Safety, stated "Under the New Roads and Street Works Act 1991 (NRSWA), Virgin Media (statutory undertaker) have a right to carry out works within the highway. Rotherham Council acting as Street Authority has powers to inspect all works undertaken by statutory undertakers and their contractors which are laid down in Regulations. Where non-compliance is identified then matters are reported and further follow up inspections (defect charges) may be levied by the Council.

Where grassed areas are excavated the NRSWA allows for them to be reinstated using the original turf, replacement turf or an equivalent soil and seed. The type of reinstatement is at the discretion of the statutory undertaker. In all cases, it is a requirement that a reasonable growth shall be established within the following 12 months.

When determining whether a footway or carriageway reinstatement requires any remedial action, the quality of the reinstatement will be assessed relative to the condition of the adjacent surfaces. Should a reinstatement fail any safety requirements laid down in NRSWA and the associated Code of Practice for Specification for the Reinstatement of Openings in Highways, then the Council will take action to ensure that the surface be restored to comply with the requirements.

Between 01 April and 30 September 2016, we have identified 32 reinstatement defects associated with Virgin Media. We have subsequently undertaken 61 site meetings/inspections. Such inspections are chargeable at £47.50 per inspection, which are set in regulations to cover the reasonable costs incurred by the Council. This equates to a total of £2,897.50 reinstatement defect charges levied against Virgin Media from 01 April to 30 September 2016.

Between 01 April and 30 September 2016, Virgin Media have accepted penalty charges issued by the Council under Section 74 of The New Roads and Street Works Act 1991 for prolonged occupation of the highway (where works have overrun the agreed duration). These penalty charges amount to £17,000.

All the defects have been accepted by Virgin Media and subsequently works will have been programmed to be undertaken by Virgin Media at their cost. The fees generated through this enforcement supports the street works function delivered by the Council."

As a supplementary question, Councillor Buckley enquired whether residents could have a point of contact to follow up issues as they arose. In response, Councillor Hoddinott explained that residents and councillors could contact Street Pride or herself with complaints or issues.

Councillor B. Cutts asked "Why is there extended inconvenience to the public within the "Bus Station" after the fire. Is it due to the prospect of the station being moved?"

In response, Councillor Lelliott, Cabinet Member for Jobs and the Local Economy, stated: "There are no plans to relocate the Interchange, however the car park above the bus station is suffering significant structural problems associated with degradation of the reinforced concrete. The SYPTE is giving the highest priority to the safety of all users of the interchange and car park. The SYPTE are working on arrangements for repairing the Interchange and it is likely that work will start on site in the Summer of 2017. When these repair works take place, it will be necessary to implement temporary arrangements for the interchange functions within Rotherham town centre."

As a supplementary question, Councillor B. Cutts referred to the inconvenience being caused by service users not knowing where to go. Councillor Lelliott undertook to provide an explanation in writing to Councillor B. Cutts detailing the period of time required to repair the fire damage to cables.

Councillor Cowles asked "I have asked for the costs on the Liquidlogic childcare system in round numbers from commissioners, officers and councillors. To-date I have not received an answer. Will you please provide the information requested and if not state why not?"

In response, Councillor Watson, Deputy Leader of the Council, stated "The cost of the Liquid Logic IT system for both Adults and Children is £3,503,590 over a five year period. The cost of the Children's Liquidlogic IT system is £1,622,875 over a five year period. The 5 year contract with Liquidlogic is from 1st July 2015 – 30th June 2020.

As a supplementary question, Councillor Cowles queried whether councillors and the public should add 30% to the projected cost of every IT project proposed in future as a result of incompetent management. Councillor Watson indicated that it was unfortunate that the system had not been introduced on time and that lessons had been learned to ensure that such delays could be avoided in future.

Councillor B. Cutts asked "Due to the closure of the Council's last two "childrens homes" the emergency sleeping accommodation was transferred to the Council's Riverside Offices, now rehoused elsewhere! Can a site inspection be arranged to see the current arrangements?"

In response, Councillor Watson, Deputy Leader of the Council, stated "Emergency provision for children has never been transferred to Riverside House as you put it. There have been a small number of occasions over the last two years when a young person with very challenging behaviour has been supervised by workers at Riverside House overnight, whilst placements of a specialist nature were being sought at very short notice. The council has developed provision for these extenuating circumstances and I am happy to arrange a site visit to our *very short term* emergency accommodation at your earliest convenience."

As a supplementary question, Councillor B. Cutts referred to the closure of children's care homes as contributing to the issue. In response, Councillor Watson confirmed that the children's homes referred to were still open but were not deemed to be an appropriate environment for the children.

Councillor Simpson asked "Why are we cutting social care ("enablers") of Rotherham Elderly including Dementia sufferers, when the need is increasing every day?"

In response, Councillor Roche, Cabinet Member for Adult Social Care and Health stated "The Enabling Service is not being reduced, the newly configured service will in fact be able to deliver more enabling hours than the current service. Currently the contracted hours of the enablers and the way that the service is configured is not very responsive or efficient, for example a high number of staff do not drive and historical fixed rota patterns make deploying staff difficult, the service runs on a high proportion of underutilised hours resulting in a waste of public money. This is the reason that the number of enablers are being reduced to ensure a more efficient service.

As this new service will be more efficient it requires fewer staff to deliver the same number of hours, this will not impact on the quality of the service and we will still be able to meet current and anticipated future demands on this service. Various enabling models have been looked at across the country with what works most effectively being adopted for Rotherham's service, this model has been widely consulted on. The proposed Rotherham model will be able to respond to more people with more complex needs including those with Dementia but with a smaller workforce working on more flexible contracted hours and better rota planning."

As a supplementary question, Councillor Simpson referred to his personal experience of the social care system and made specific reference to a suggestion that was made to contact the Red Cross. Councillor Roche, having expressed concern regarding the suggestion in respect of the Red Cross, explained that his response had been generic due to the wording of the initial question, but would be happy to meet Councillor Simpson to discuss the specifics of his case.

Councillor B. Cutts asked "From my personal past experience on the Planning Board the practice was then to discuss and decide on planning applications in public. Now the growing practice of "delegated powers", i.e. Chairman, Vice-Chair and Officer concluding behind closed doors without the public understanding of the conclusion. Why is this?"

In response, Councillor Atkin, Chair of the Planning Board, stated "The Local Planning Authority receives around 1800 planning applications per year and the Councils adopted scheme of delegation determines how these will be decided.

The Government's National Planning Framework - Planning Policy Guidance, sets out that it is in the public interest for the Local Planning Authority to have effective delegation arrangements in place to ensure that decisions on planning applications, that raise no significant planning issues, are made quickly and that resources are appropriately concentrated on the applications of greatest significance to the local area.

Following this national guidance Rotherham's scheme of delegation specifies that different types of applications including: Major applications and any application with 6 or more objections are escalated to Planning Board but over 90% of applications are determined at officer level in order to comply with statutory timescales for determination and ensure efficient processing of applications - which is monitored nationally."

Councillor B. Cutts asked if he could have the response in writing and the Mayor gave an undertaking that a written response would be provided to him. Responding to a supplementary question from Councillor B. Cutts, Councillor Atkin reiterated that he was not responsible for determining what matters went before the Planning Board for determination and that the Scheme of Delegation determined what matters would be determined by Members and officers.

Councillor Albiston asked "Off road bikes/vehicles are a real problem in my ward. Causing risks to road users and pedestrians, noise nuisance and tearing up the countryside. What action is being taken to address this growing issue?"

In response, Councillor Hoddinott, Cabinet Member for Waste, Roads and Community Safety, stated "The Police have enforcement powers in relation to the illegal or improper use of motor vehicles and would be the primary enforcing authority in relation to the rider, their behaviour, or any criminal damage they cause to land or property by unauthorised access to land.

However, the Council can ask owners to prevent noise causing a statutory nuisance to neighbouring residents from the use of off road bikes and other vehicles on their land and this may include requiring them to take reasonable steps to secure land from unauthorised access. This would be the same process for unauthorised or illegal use and for businesses that operate more formal off road bike venues. If this fails to prevent a recurring problem the Council has enforcement powers to serve Abatement Notices under the Environmental Protection Act 1990, and potentially prosecute land owners who fail to take reasonable steps.

Partnership working between the police and Council takes place to maximise the use of these combined powers to identify locations and share intelligence. The public can either make reports to the Council or directly to South Yorkshire Police. Additionally, where Council enforcement staff identify individuals engaged in off-road motorbiking, details will be provided to South Yorkshire Police for action. Indirectly, the Council will consider other enforcement action that might be taken to ensure that the individual recognises the consequences of their activity for example in relation to their tenancy if they live in a Council property.

Area Assemblies have been involved in a number of initiatives to tackle off-road motorbikes, including the erection of signage to alleviate the need to issue warning notices on off-road bikers allowing the Police to go straight to seizure. This has had some good results with over 16 motorbikes being seized through this route so far. Additionally, joint operations against off-road motorbikes have taken place through the activity of the Area Assemblies, with participants including Parish Councils, South Yorkshire Police and Council staff. Currently, a draft leaflet is being produced to advise the public of the issues around off-road bikes and advising how they can report issues.

The Council and Parish Councils have provided funding to instigate preventative measures against access onto land by off-road motorbikes, including the erection of A-Frames.

Additionally, where Council enforcement staff identify individuals engaged in off-road motorbiking, details will be provided to South Yorkshire Police for action. Indirectly, the Council will consider other enforcement action that might be taken to ensure that the individual recognises the consequences of their activity for example in relation to their tenancy if they live in a Council property.

Where evidence is sufficient the Council has the option of utilising a number of powers within the Anti-Social Behaviour, Crime and Policing Act 2014. The enforcement tools available include Injunctions where the perpetrator can be required to cease to engage in anti-social behaviour such as off-road motorbike activity. Whilst the Council is required to apply through Court for the Injunction, the Courts are able to attach a power of arrest to the Injunction, which means that should the perpetrator not abide by the requirements of the Injunction then they can face arrest. Currently, whilst the Council has not opted to use this tool, officers have provided witness statements and evidence to South Yorkshire Police to take criminal prosecutions for offences, or to undertake seizures of motorbikes.

The Council and south Yorkshire Police also have the option under anti-social behaviour legislation to consider whether an area should have a Public Space Protection Order (PSPO). The Council must be able to demonstrate that the activities carried out in a public place within the Council's area have had, or are likely to have, a detrimental effect on the quality of life of those in the locality. This activity, must also be, or is likely to be, of a persistent or continuing nature, which is unreasonable, and justifies the restrictions imposed by the notice. Currently the Council have not issued a PSPO in relation to off-road motorbikes, nevertheless it is a consideration where there are persistent and detrimental activity taking place.

If you would like to provide me with full details of the issues affecting your ward, I will ensure that these are followed up."

Councillor Albiston thanked Councillor Hoddinott for her response and asked whether she was confident that the Council and partners were being creative in addressing the issues. In response, Councillor Hoddinott indicated that there were some powers that were not being used and that it would be useful to meet with enforcement and Area Assemblies to look at other tactics.

Councillor Cusworth asked "Could you outline how the Council ensures it engages proactively with residents living in our Council houses?"

Councillor Read, Leader of the Council, responded "The resident engagement work undertaken by the Housing Service was recently subject to an external assessment by the Tenant Participation Advisory Service (TPAS) TPAS are recognised as the country's leading experts on tenant and resident participation and are dedicated to improving tenant engagement standards across the country. TPAS offer the only independent evidence-based accreditation scheme that assesses an organisations resident involvement arrangements. The rigorous assessment undertaken recently in Rotherham, tested a range of standards to demonstrate the housing services commitment to resident involvement, ensuring it is effective and offers the best value for money. As a result of the assessment, the housing service was awarded the coveted TPAS Accreditation quality standard, which is significant recognition for the work being done. The TPAS Accreditation is a tremendous endorsement and provides an excellent baseline and recommendations, to enable the Council to continue to deliver a strong focus on involving residents.

The Housing Service also has a long standing working relationship with Rotherham Federation of Communities (commonly known as Rotherfed), which acts as a critical friend and supports the Council in the ongoing management and development of its engagement activity. The Federation worked very closely with the Council during the recent TPAS assessment.

As part of our contract with them, Rotherfed are undertaking, for example, a scrutiny review on the Council's behalf, to look at how the Council can engage with young tenants more effectively. Work is also taking place with Rother Fed and Rush House to develop a Young Tenants Forum.

At a practical level, the Council Housing Service has a range of methods by which it engages with residents, as part of an engagement and involvement framework which has been in place for a number of years. There are various ways residents are involved, for example,

- Support is provided to a network of local Tenants and Residents Associations (TARA's), working with the Council to deal with issues within their neighbourhoods.
- Also, 7 Area Housing Panels, which have been in place for over 20 years and represent tenants and residents in their local area, scrutinising the performance of the Housing Service, contributing to service development and undertaking project activity within their area, predominantly linked to environmental and community safety issues.
- The Quality and Standards Challenge Group, which is made up of tenant representatives and undertakes a range of activity to consider service quality and delivery and ensure that customer insight is reflected in how services are designed and delivered. This includes customer inspectors, customers undertaking telephone surveys to test the quality of service delivered and regular review of identified areas of housing and estate management.
- The Housing Advisory Panel, which meets with the Cabinet Member for Housing and Assistant Director of Housing and Neighbourhoods, to consider and provide comment on a range of key issues facing housing and tenants, both locally and nationally.
- 'Home Matters' the customer housing magazine, which is issued quarterly, covering a range of news stories and housing and community related topics.
- Customer Questionnaires, issued to customers or completed with them at the point of service delivery.
- We currently commission BMG research company to carry out a STAR Survey (Tenants Satisfaction) on our behalf. We have done one this year and will be doing two more. This year's survey showed 83% of our tenants are satisfied with the overall housing service provided by the Council, which is very positive.
- The service is exploring innovative ways of engaging tenants such as online, social media etc. Currently information is being developed on the website and a twitter account as a means of engaging and communicating with tenants online.

Tenant involvement is coordinated by the Neighbourhood Partnerships Service within Housing and Neighbourhood Services. The service has a Tenant Involvement Coordinator, who strategically leads on Tenant Involvement and coordinates activities through the Tenant Involvement Strategy and Tenant Involvement Service Improvement Plan.

As a supplementary question, Councillor Cusworth enquired whether anything different would be done as tenants started to see the changes come through. The Leader of the Council confirmed that he would be happy for Councillor Cusworth to meet with Councillor Beck, Cabinet Member for Housing, to discuss further outside of the meeting.

Councillor Napper asked "To what extent can you build an extension onto a house, and are there different rules for different areas of the borough? And how long have these rules been in force?"

Councillor Atkin, Chair of the Planning Board, responded "A house can be extended without the need to apply for planning permission provided a set of limits and conditions are met (Permitted development rights are granted by the Town and Country Planning - General Permitted Development Order 2015). The regime for what is allowed without planning permission applies largely to the dimensions of the original house, the dimensions of the proposed extension, its position on the house and its proximity to the boundaries of the property so the Local Planning Authority would always advise a householder to check before starting any building work.

In relation to extensions which require planning permission each development proposal is considered against the policies of the adopted development plan and guidance issued by the council. In the case of each application it will be considered on its own merit against local and national planning policy and building regulations.

By way of a supplementary remark, Councillor Napper indicated that he did not agree with the answer given as he was aware of rules being flouted and houses being more than doubled in size without planning permission. Councillor Atkin referred to the enforcement powers that the council could exercise as the local planning authority to address such issues and indicated that he would meet separately with Councillor Napper to discuss the specific case referred to.

Councillor B. Cutts advised the Mayor that he wished to withdraw his question in respect of minuting supplementary questions at Council meetings.

Councillor Cusworth asked "We hear a lot about Rotherham being a child centred borough which is important but since October is "Older People's Month" what is the Council doing to reach out to; to interact with and to support our older people?"

Councillor Roche, Cabinet Member for Adult Social Care and Health, responded "In line with our duties under the Care Act (2015) and an increased focus across the public sector towards a place based approach the Council is committed to a Rotherham wide approach to Ageing Well. Our ambition is that older people and their carer's in Rotherham are supported to be independent and resilient so that they can live good quality lives and enjoy good health and wellbeing.

To deliver on this we have developed a vision and strategy and we are:

- Ensuring that information, advice and guidance is readily available and there are a wide range of community assets which are accessible
- Focusing on maintaining independence through prevention and early intervention (e.g. assistive technology) and re-ablement and rehabilitation
- Improving our approach to personalised services – always putting users and carers at the centre of everything we do
- Developing integrated services with partners and where feasible single points of access
- Ensure we "make safeguarding personal" and everyone's business
- Commissioning services working in partnership and co-producing with users and carers

In order to achieve this vision, it is fundamental that a network of support is created which includes Council services, health services, private and third sector services and voluntary, community and faith groups – as well as friends, family and neighbours. Further, it needs to be recognised that as people grow older, it is ever more important that local facilities and services are well-developed as these are the ones they will look to first and foremost. The Council's commitment is reinforced through strong partnerships enshrined in shared governance such as the Health and Wellbeing Boards and the Better Care Fund. Increasingly, integrated services are seen as the way forward in delivering more personalised and holistic care.

The growth in the number of very elderly people nationally is reflected in Rotherham with a number of our older citizens experiencing complex needs and long-term conditions. Whilst this has meant requirements for more specialist services there is also a recognition that more can be done to avoid citizens becoming dependent by developing preventive rehabilitation services to enable people to regain and maintain levels of independence. This year's Director of Public Health Annual Report due to be published in April 2017 will be on Healthy Ageing.

During 2017 Adult Social Care will be developing an Older Peoples Strategy with older people. We are working closely with Health Watch to reach out and engage with older people for example through engagement in the Older Peoples summit held at New York Stadium on 7 October 2016.

In addition to this I (The Cabinet lead for Health and Wellbeing) tasked Council Officers to develop a Healthy Ageing Framework to drive forwards health and wellbeing activity on the “age well” agenda building on requests from the voluntary and community sector. Consultation on the initial draft of this Framework has taken place with targeted groups of citizens and partners earlier this year. This feedback is being used to shape the framework and our ambition is to develop a shared vision for Healthy Ageing.”

Councillor Cooksey asked “As part of the Eastwood Plan the police and the council are working together to tackle the issues in the community. Are we starting to see any tangible results?”

Councillor Hoddinott, Cabinet Member for Waste, Roads and Community Safety responded “The Council and South Yorkshire Police have undertaken a significant amount of enforcement activity within Eastwood following on from the Eastwood Deal. This has covered a wide range of working; from private sector housing enforcement, Selective Licensing activity, ant-social-behaviour, drugs, fly-tipping, and statutory nuisances. Partnership working to address criminal activity within Eastwood is firmly underway and underpinned by the sustainable approach within the plan particularly within the ‘Love My Streets’ initiative, which has been engaging with residents and businesses, and identifying other areas good practise.

Each week a frontline officers briefing takes place which brings together the Council and South Yorkshire Police to share intelligence and ensure appropriate actions are instigated.

Selective Licensing enforcement has ensured that 560 privately rented properties are now registered within the Eastwood scheme. This equates for 50% more than the previously anticipated rented property. Selective Licensing is at the core of the partnership enforcement activity in the area. Already the scheme has resulted in the eviction of a problem tenant by a landlord and complemented the enforcement against tenants to resolve neighbourhood issues. To that end 64 warnings have been given to landlords in relation to the behaviour of their tenants and warning of the potential breach of their licence conditions. These warnings are normally given to landlords when an enforcement action such as warnings or formal notices to the tenant. Landlords are complying with the requests to take action in relation to their tenancy agreements.

Action undertaken since May includes:

- 199 case investigations and over 1,600 actions instigated
- 271 visits/inspections to properties
- 71 Notices served on landlords and tenants
- 10 Prosecutions awaiting a Court hearing.
- Over 200 community warnings issued prior to CPNs issued
- Over 90 properties have completed work identified in served notices
- CCTV has provided evidence in 3 cases of fly tipping and some residents have commented on the reduction of fly tipping in certain areas
- 23 arrests, 14 reported for summons offences and 8 premises warrants executed
- £82,000 worth of drugs recovered, 10 Vehicles seized and £465 in unpaid fines recovered
- A number of CCTV cameras have been installed in the area, which have reduced issues in some of the areas
- Required a landlord to evict a tenant causing issues
- A survey of residents in the area is being undertaken at the moment to identify issues important to local residents
- Stencilled signs have been located around the area
- There is a Lengths man (street barrow) employed to keep the area tidy; but also engages with the local community and is educating some residents with a sense of responsibility
- Working with locals
- In a recent night initiative aimed at the safe guarding of children in the area, children services worked with the police and children's charities to engage with children and their parents who were out late at night
- Working with Clifton Learning Partnership looking at longer term objectives and gaps
- Working with a third party to provide outside funding for a project in the area; more news hopefully to follow about this
- Working with the local primary school creating a number of environmental posters that were displayed over summer in the Riverside Library and Tweeted across council media
- There has been a youth council set up in the area
- A newsletter has been produced which informs residents and partners of updates and actions
- A twitter account and blog is being explored to be used this month in the area
- A business watch is being set up in the area hoping that most businesses will come on board and be able to provide a communication outlet for partners
- Partners are engaging with the mosques in the area and to identify issues and provide a further outlet for information
- Partners are collating mobile phone numbers in order to send text messages about recent updates"

**CABINET AND COMMISSIONERS'
DECISION MAKING MEETING
Monday, 10 October 2016**

Present:- Councillor Read (in the Chair); Councillors Alam, Beck, Hoddinott, Lelliott, Roche, Watson and Yasseen.

Also in attendance: Commissioners Bradwell, Kenny and Myers and Councillor Steele as Chair of the Overview and Scrutiny Management Board.

Apologies for absence were received from Commissioner Ney.

80. DECLARATIONS OF INTEREST

Commissioner Kenny declared a personal interest in respect of item 13, The Future of Maltby Hall Infant School, on the basis of being chair of the Maltby Learning Trust. In declaring an interest, Commissioner Kenny recused herself from decision making in respect of this item and referred the matter to Commissioner Myers for determination.

81. QUESTIONS FROM MEMBERS OF THE PUBLIC

There were no questions from members of the public

82. MINUTES OF THE PREVIOUS MEETING HELD ON 12 SEPTEMBER 2016

It was noted that the minutes of the Cabinet and Commissioners' Decision Making Meeting held on 12 September 2016 would be considered at the next meeting.

83. ADULT MENTAL HEALTH STRATEGY

Consideration was given to a report which sought approval to implement a strategic approach to the commissioning and delivery of services for Adults with Mental Health issues in Rotherham.

It was reported that the Interim Strategy contained a set of strategic commissioning intentions that promoted recovery and social inclusion. This overarching strategy would provide a baseline position and strengthen Rotherham's statutory commitments over the forthcoming period of 18 months. It was noted that the approach would positively add to the direction of the Adult Care Development Programme and would enable a more specific and bespoke strategy to be co-produced with key stakeholders.

It was further noted that the proposed programme of work outlined was based on:

- The Mental Health Forward View for Mental Health (2016)
- The Mental Health Forward View for Mental Health Implementation (2016)
- Legislative requirements set out within the Care Act
- National Institute of Clinical Excellence (NICE) guidelines
- An emphasis on recovery and social inclusion
- Value for money principles.

Commissioner Myers agreed:-

1. That the interim Mental Health strategy be agreed for 2016-18 and an implementation plan developed, with a further co-produced strategy to be developed through 2017-18 with all stake holders.
2. That consultation with all stakeholders, including users and carers, be undertaken, to develop an implementation plan based on the Strategy.
3. That Alternatives to residential care continue to be developed, to reduce dependency and restrictive practice and increase recovery and social inclusion
4. There will be an increased focus of the assets available in the communities of Rotherham so that people are able to identify and access services earlier, thereby increasing social inclusion. Furthermore that when service users are ready to leave mental health services there are support services available in the community to prevent mental health relapse.
5. That unpaid carers are supported to continue in their role.
6. That work be continued with the Rotherham Clinical Commissioning Group to reduce the number of out of area placements and to ensure that financial responsibility remains with the 'responsible commissioner'.
7. That the interim Mental Health strategy be agreed for 2016-18 and an implementation plan developed, with a further co-produced strategy to be developed through 2017-18 with all stake holders.
8. That consultation with all stakeholders, including users and carers, be undertaken, to develop an implementation plan based on the Strategy.
9. That Alternatives to residential care continue to be developed, to reduce dependency and restrictive practice and increase recovery and social inclusion

10. There will be an increased focus of the assets available in the communities of Rotherham so that people are able to identify and access services earlier, thereby increasing social inclusion. Furthermore that when service users are ready to leave mental health services there are support services available in the community to prevent mental health relapse.
11. That unpaid carers are supported to continue in their role.
12. That work be continued with the Rotherham Clinical Commissioning Group to reduce the number of out of area placements and to ensure that financial responsibility remains with the 'responsible commissioner'.

84. DEVELOPMENT OF THE ROTHERHAM AUTISM STRATEGY

Consideration was given to a report which sought approval to implement a strategic approach to the commissioning and delivery of services for people with Autism within Rotherham.

The paper detailed a set of strategic commissioning intentions that would promote independence, choice and control for people with Autism. It was reported that the strategy would strengthen Rotherham's statutory commitments and the approach would contribute to the direction of the Adult Care Development Programme and the Children and Young People's Special Educational Needs and Disabilities (SEND) agenda.

The proposed programme of work outlined in the approach was based on guidance from:

- The Autism Act 2009
- Fulfilling and Rewarding Lives 2010
- Think Autism 2014
- Legislative requirements set out within the Care Act • Children and Families Act 2014
- Good practice in other parts of the country
- A need to modernise and deploy resources as effectively as possible.

It was noted that the strategic approach outlined a number of actions that would support people to be more independent and provide a wider choice of services to support children and adults with Autism to fulfil their potential.

Whilst the report referred to the statement of Special Educational Needs, it was noted that this was no longer applicable and the subsequent correction to the report was noted.

Commissioner Myers agreed:–

1. That the strategic direction for people with Autism and their carers through the development of an All Age Autism Strategy be approved as a joint strategy for the Council and the Rotherham Clinical Commissioning Group.
2. That the Autism Partnership Board be established to oversee implementation plans that will be developed following the strategy.
3. That consultation take place with children and adults with Autism, their carers and those young people, who may use adult services in the future to co-produce, fit for purpose and sustainable opportunities for people with Autism in Rotherham.
4. That approval be given to the action plan to strengthen statutory compliance.

85. LEARNING DISABILITY COMMISSIONING - SHAPING THE FUTURE

Consideration was given to a report which sought approval to implement a strategic approach to the commissioning and delivery of services for people with Learning Disabilities within Rotherham through a market position statement.

The proposed programme of work outlined in the approach was based on:

- Valuing People 2001 and Valuing People now 2009
- Legislative requirements set out within the Care Act
- National plan-building the right support, Oct 2016
- Good practice in other parts of the country
- Consultation that is planned
- A need to modernise and deploy resources as effectively as possible.

The strategic approach outlined a number of actions that will support people to be more independent, and provide a wider choice of services to support citizens to fulfil their potential.

It was noted that the Overview and Scrutiny Management Board had considered the paper at its meeting on 30 September 2016 and had supported the proposed recommendations. The Board had also requested that regular updates on the implementation of the strategic direction be submitted for further scrutiny.

Commissioner Myers agreed:-

1. That the strategic direction outlined for people with Learning Disabilities through the Market Position statement (Appendix A) be approved.
2. That approval be given to the provision of a grant of £50,000 to Speak Up self-advocacy group to support co-production with people with Learning Disabilities
3. That approval be given to partnership working with Sheffield City Council to develop and procure a supported living framework and to implement this across Rotherham.
4. That approval be given to the Commissioning of a new provider for the services currently provided by RDaSH at John Street and Oak Close.
5. That approval be given to the continuation of work on reviewing high cost placements in residential care.
6. That regular updates be provided to the Overview and Scrutiny Management Board on the implementation of the strategic approach.

86. HEALTHWATCH ROTHERHAM SERVICE - EXEMPTION FROM STANDING ORDERS

Consideration was given to a report which sought approval to award the contract for the provision of the Healthwatch service for a two year period from 1 April 2017.

It was noted that Healthwatch Rotherham was the local consumer champion for patients, service users and the public, covering both health and social care. Local authorities have a statutory duty to commission a local independent Healthwatch organisation, which in turn has a set of statutory activities to undertake. Those statutory activities included gathering local views and making these known to providers and commissioners, monitoring and scrutinising the quality of provision of local services, and a seat on the local Health and Wellbeing Board.

It was reported that the contract with Healthwatch Rotherham was due to end on the 31 March 2017. There was little evidence to suggest that the market had changed from when the service was originally commissioned. It was unlikely that there would be another provider that could deliver a Healthwatch service with the same level of experience and local knowledge who had built up good working relationships with both the local statutory and voluntary sector. It was considered that conducting a competitive tendering process would not be the best use of resources.

It was noted that the Healthwatch Rotherham service was performing well and had been recognised both locally and nationally, as such.

Commissioner Myers agreed:–

1. That, pursuant to Standing Order 38, the proposed contract for the Healthwatch Rotherham Service be exempt from the provisions of Standing order 48 (contracts valued at more than £50,000 should be commissioned) .
2. That a two year contract be directly awarded to Healthwatch Rotherham from the 1 April 2017, with an option to extend this contract for a further one year.

87. NATIONAL TRANSFER SCHEME FOR UNACCOMPANIED ASYLUM SEEKING CHILDREN (UASC)

Consideration was given to a report which detailed information about the National Transfer Scheme for unaccompanied asylum seeking children and sought approval to discharge the Council's responsibilities through a regional scheme.

It was reported that Unaccompanied Asylum Seeking Children (UASC) in the United Kingdom were children who were outside their country of origin to seek asylum in the UK, and were separated from their parents and relatives, and were not in the care of someone with responsibility for doing so. The government had legislated earlier in the year to introduce a national dispersal for UASC, which was named the National Transfer Scheme.

It was further reported that Migration Yorkshire had worked with the local authorities in the Yorkshire and Humber region, and with the Home Office, Department for Education and Department for Health, to develop a regional approach which brought together the specialist knowledge and skills to appropriately support such vulnerable children. The region therefore sought an approach that:

- Did not rely on an already overburdened placement system
- Recognised the unique experience and needs of these children and developed tailored packages of care
- Maximised the collective resources of the region
- Developed regional coordination at every stage of the child's care
- Benefitted from explicit flexibilities and freedoms to deliver care/education and health services differently
- Used shared intelligence to ensure that young people are placed and supported in a way that maximises any community risks
- Pooled sparse specialists and resources to ensure a consistent offer.

The report detailed a preferred option which would see an anticipated 726 children being received, assessed and placed within three regional centres with the intention of maximising collective resources, ensuring coordination and reducing duplication between local authorities. It was noted that the 'Parental Responsibility' (PR) status for each child would be allocated using a fair and equitable process agreed by all parties. The Yorkshire and Humber region had a well established track record in collaborative working supported by the 'Sector Led Improvement Programme' and such an approach would be extended to health partners.

Commissioner Bradwell agreed:-

That the proposed voluntary arrangements to discharge the Council's statutory duties for the dispersal of UASC, through a regional scheme be approved.

88. CAPITAL PROGRAMME - OPERATIONAL PROPERTY INVESTMENT PROGRAMME 2016/17 TO 2020/21

Consideration was given to a report which sought approval for six capital building investment schemes to maintain existing operational properties that had been identified as urgent by the Corporate Property Unit to help mitigate known operational risks.

The report sought approval for the following schedule of works:-

- Markets Complex – fixed wire test and upgrade, including emergency lighting
- Barbot Hall Industrial Estate – embankment stabilisation. The retaining bank has become unstable and the face and rocks are crumbling with the potential to slip causing a landslide
- Hellaby Depot – installation of air conditioning to offices
- Bailey House – completion of roofing works including relocation of air conditioning units
- Civic Theatre – refurbishment of dressing room and ceiling plasterwork repairs
- Victoria Park Rawmarsh – renewal and relining of foul drainage.

It was noted that the Capital Strategy and proposed Capital Programme 2016/17 to 2020/21 had agreed in principle the allocation of £3.294m for operational buildings investment (lifecycle works) over the next 5 years with £324,000 available for projects in 2016/17.

It was noted that Overview and Scrutiny Management Board had considered the proposed recommendations at its meeting on 30 September 2016, where the Board had supported the proposals and had sought assurances around the development of a corporate property maintenance schedule.

Commissioner Kenny agreed:-

1. That the lifecycle works projects, detailed in Section 4 of this report, be supported for inclusion in the approved Capital Programme 2016/17.
2. That Council be recommended to approve the inclusion of the scheme in the approved Capital Programme.
3. That the Assistant Director of Planning, Regeneration and Transport be authorised to deliver the projects identified in Section 4 of this report.
4. That any uncommitted funding be re-profiled from 2016/17 to 2017/18.
5. That, in response to the recommendation from Overview and Scrutiny Management Board, further clarity be provided in the Asset Strategy when published to the schedule of ongoing maintenance of land and buildings owned by the Council.

89. TOWN CENTRE REGENERATION - STRATEGIC ACQUISITION OF FORGE ISLAND

Consideration was given to a report which sought approval for the Council to purchase the Forge Island site, which was proposed to be a key component in Rotherham town centre's regeneration.

It was reported that the recently adopted Rotherham Town Centre Supplementary Planning Document (SPD) had identified Forge Island as a strategic development site, which would be a major component of the town centre's regeneration and a catalyst for the regeneration of adjacent sites. It was further reported that the Council had commissioned internal and independent external valuations of the site, a technical assessment of ground conditions, and a flooding study and carried out market analysis and market testing.

The report recommended that the site be purchased by agreement with Tesco, which currently owned the land.

Commissioner Kenny agreed:-

1. That the Forge Island site be purchased on the terms negotiated by the Strategic Director, Regeneration and Environment.
2. That following purchase the former Tesco store be demolished.

3. That the funding for the acquisition and demolition be drawn from the town centre allocation in the Capital Programme and that a bid be submitted to Sheffield City Region to seek Growth Fund money to assist with the acquisition and demolition.
4. That Full Council be recommended to approve inclusion of the scheme in the Capital Programme to cover the cost of acquisition and the demolition costs in respect of Forge Island.
5. That detailed development proposals and a delivery and implementation plan for the site to be prepared in tandem with the town centre masterplan.
6. That the Assistant Director of Legal Services be authorised to complete the necessary legal documentation to purchase the site.

90. THE ROWAN CENTRE - LEASE OF THE WOODLAND ROOM

Consideration was given to a report which sought approval for the Council to enter into negotiations with the Wickersley Partnership Trust to take on a 10 year lease of the Woodland Room with an option to break after five years.

It was noted that the Rowan Centre was Rotherham's designated Health Pupil Referral Unit which included the Children and Adolescents Mental Health Service (CAMHS) education element and the Home Tuition Service. The Rowan Centre offered academic, pastoral care and guidance, family support and a therapeutic offer to some of Rotherham's children and young people with the highest levels of special education needs and those who were highly vulnerable.

It was reported that the Rowan Centre building was small and the number of young person's using the service was growing considerably. As a consequence the service had become oversubscribed with several children requiring education outside of the authority at a significantly higher cost. Adjacent to the Rowan Centre was the Woodland Room, which was a separate building and part of the Monkwood Academy estate, and had been vacated prior to consideration of the report.

It was reported that the use of such additional space would enable the Rowan Centre to accommodate the needs of an increasing number of younger children who had complex mental health needs and in doing so allow the Council to intervene early and so avoid out of authority placements.

Commissioner Kenny agreed:-

1. That the proposal of a new 10 year lease, with an option to break after 5 years to Rotherham Borough Council, to allow the transfer of the Woodland Room be approved.

2. That the Assistant Director, Planning, Regeneration and Transport be authorised to negotiate the terms of the 10 year lease with an option to break after 5 years with the Wickersley Partnership Trust.
3. That the Assistant Director of Legal Services be authorised to complete the necessary documentation for the lease.

91. THE FUTURE OF MALTBY HALL INFANT SCHOOL

Consideration was given to a report which sought approval for the Maltby Hall Infant School buildings to be demolished and the waste from the demolition to be removed from the school site.

It was noted that Maltby Hall Infant School was a former Local Education Authority managed Infant School that was closed in the summer of 2013. The School site was registered to the Council with freehold title and remained part of the Children and Young People's Services (CYPS) estate.

As the buildings on the school site were vacant and had been subject to vandalism, break-ins and arson attacks; which had increased significantly since February 2016. The school buildings had become dilapidated and posed a danger for persons accessing the school site.

As Commissioner Kenny had declared a personal interest in this agenda item, **Commissioner Myers agreed:-**

1. That approval be given to include the demolition and removal of waste materials at the former Maltby Infant school buildings in the Council's approved capital programme 2016/17 at an estimated cost of £116,877.
2. That these costs be financed from available uncommitted capital receipts.

92. DISPOSAL OF LAND OFF UPPER WORTLEY ROAD, GRANGE PARK, KIMBERWORTH

Consideration was given to a report which detailed a request to purchase land from the Council at Grange Park, Kimberworth by the current tenants, Rotherham Golf Academy (RGA).

It was reported that RGA asked to purchase the site on a number of occasions between 2007 and 2015 but each of these has been refused. As a result of ongoing discussions between the Council and RGA, a sale of the freehold interest had been considered with a minimum value assessed by the Council as being £167,000. A revised counter offer was made by RGA to purchase the freehold interest for £150,000, subject to full settlement of outstanding rent and business rate arrears, and with robust claw-back provisions to protect the Council's long term interests.

It was recommended that the Council accept RGA's offer of £150,000 and dispose of the Council's freehold interest, subject to robust claw-back provisions and restrictive covenants contained within the transfer. This would also be subject to obtaining the necessary verification from the Valuation Office that the private treaty transaction was reasonable, based upon the agreed heads of terms and consideration of the existing lease provisions and robust clawback provisions. This was also subject to all rent and Business Rates charges being fully up to date in advance of the Cabinet and Commissioners' Decision Making Meeting on 10 October 2016.

Commissioner Kenny agreed:-

1. That the disposal of the Council's freehold interest in the land at Grange Park, currently leased to the Rotherham Golf Academy be approved at a sale price of £150k subject to agreeing heads of terms and conditions and obtaining Valuation Office verification.
2. That the Assistant Director of Planning, Regeneration and Transport be authorised to approve the terms and conditions of the proposed disposal.
3. That the Assistant Director of Legal Services be authorised to negotiate and complete the necessary documentation.

93. PROPOSAL TO EXPAND CAPACITY AT LAUGHTON JUNIOR AND INFANT SCHOOL

Consideration was given to a report which sought approval to extend the classroom sizes at Laughton Junior and Infant School at the same time as remedial building work to increase the capacity of the school.

It was reported that Laughton J & I School has some building condition defects requiring remedial work and improvement including external teaching and learning spaces requiring replacement. It was recommended that the current capacity for 168 pupils be increased to a capacity for 210 pupils. With a further permanently increase in the schools Public Admissions Number to 30 starting with the 2017/18 Foundation Stage 2 (Reception) cohort and subsequent Foundation Stage 2 (Reception) cohorts thereafter.

Resolved:-

1. That the proposal to increase the schools PAN from 24 to 30 commencing with the 2017/18 Foundation Stage 2 (Reception) cohort intake and subsequent Foundation Stage 2 (Reception) cohorts thereafter be approved
2. That the expansion of the premises to raise the maximum pupil capacity number from 168 to 210, to coincide with building work to address condition defects be approved.

94. HOUSING REVENUE ACCOUNT (HRA) 30 YEAR BUSINESS PLAN

Consideration was given to a report which provided a detailed technical overview of the current position and the reason for changes to the Housing Revenue Account (HRA) 30 Year Business Plan.

It was noted that the HRA recorded all expenditure and income relating to the provision of council housing and related services, and the Council was required to produce a HRA Business Plan setting out its investment priorities over a 30 year period.

Following the introduction in 2012 of HRA self-financing, whereby the Council was awarded control over its HRA in return for taking on a proportion of national housing debt, Rotherham's HRA was in a strong position with a healthy level of reserves. However, it was reported that a number of policies had been introduced by central government that would result in a dramatic reduction to HRA resources, namely:

- 1% per annum reduction in Council rents over four years:
- Reinvigoration of the Right to Buy (reduction of qualifying period to three years): Reducing stock
- Welfare reform - bedroom tax, universal credit and benefits cap: Impacting on tenants' ability to pay their rent, and increasing the resources required by the Council to collect rent from tenants in receipt of benefits
- Introduction of 'Pay to Stay' and mandatory fixed term tenancies: Which will significantly increase the administrative burden on the Council, and may also lead to increased Right to Buy sales / more lost stock
- Introduction of the enforced sale of high value properties / equivalent levy: Meaning the Council will have to pay in the region of £2m annually to the Treasury, to cover the costs of the discounts housing associations must offer now they can offer the Right to Buy to their tenants

It was reported that the cumulative effect of such changes was that £51m of savings were likely to be required by 2020-21 when compared to the previous business plan, meaning that the Council would need to take difficult decisions about prioritisation, and how to achieve the best balance between:

- Maintaining and continuing to improve our 21,000 Council homes
- Contributing to the borough's housing growth target of 900 homes per annum
- Contributing to the development of Starter Homes and other affordable housing products that are needed locally and will play a critical role in Rotherham's overall economic growth

In agreeing the recommendations, it was noted that the report would be forwarded for debate by the Council on 19 October 2016

Resolved:-

1. That the proposed 2016-17 Base Case for the HRA Business Plan be approved.
2. That agreement be given to review the plan annually to provide an updated financial position as new government regulations come into force.

95. HOUSING REVENUE ACCOUNT ASSET MANAGEMENT PLAN 2016-2019

Consideration was given to a report which presented the new Housing Revenue Account (HRA) Asset Management Plan 2016-19.

It was reported that the Plan had been produced in liaison with various teams across the Council and consultation had taken place with staff, partners, residents, members and the Chartered Institute of Housing.

It was noted that the Plan had been developed in the context of less financial resources, losing good stock through the Right to Buy process, needing to address fuel poverty, whilst trying to meet the needs of a changing demographic. It was confirmed that the new Asset Management Plan aligned with the new HRA Business Plan and Investment Strategy.

Resolved:-

That the HRA Asset Management Plan 2016-19 be approved.

96. INTRODUCTION AND USE OF A NEW RECHARGEABLE REPAIRS POLICY FOR TENANTS LIVING IN RMBC HOUSING STOCK

Consideration was given to a report which sought approval of a new Rechargeable Repairs Policy to fairly recharge tenants for damage, neglect and misuse of council owned housing.

It was reported that the purpose of a new Rechargeable Repairs policy was to promote and help enforce the Council's Tenancy Agreement. It was anticipated that this will ensure that the Council adopted a proactive approach to reducing the volumes of rechargeable repairs and would be reimbursed from residents who cause damage. It would also help to achieve a reduction in the value of aged debt caused by unpaid recharges.

It was noted that the policy would ensure that there was a consistent, fair, transparent, simple but targeted approach to recharging residents for genuine damage caused by wilful and knowing neglect, misuse or abuse.

Resolved:-

1. That the new Rechargeable Repairs Policy be adopted, which will enable RMBC to fairly recharge tenants for wilful damage, neglect and misuse of property.
2. That the Council reserve the right to commence appropriate legal action to recover the cost of the recharges against tenants for non-payment or defaulting on a payback agreement.
3. That a standard set of charges be used for recharge purposes, based on current contractor schedule of rates items, which have been identified as the most common type of rechargeable repairs raised.
4. That the Council reserve the right to refuse some basic repairs in tenant's homes. This may only occur if repairs are deemed rechargeable, have no security or safety implications for residents or their family, the resident has an existing debt caused by previous recharges and a poor history of making agreed payments to RMBC for the debt.
5. That approval be given for all urgent health and safety repairs, including gas and electrical repairs and those completed by RMBC to be recharged back to the tenants if deemed rechargeable.
6. That the Council reserve the right to exercise discretion in rechargeable repair cases where tenants or family members are vulnerable or are experiencing mental health or other domestic issues.

7. That the Council recharge tenants for unproductive time for trade operatives, where the tenants have failed to allow access for an appointment which has been mutually agreed to carry out a rechargeable repair.

97. HOUSING INCOME - SERVICE DEVELOPMENT AND CHANGE PROPOSAL

Consideration was given to a report which sought approval for the implementation of a Service Development Proposal, which would require significant additional investment of £638,000 for implementation, which was proposed to be funded from the Housing Revenue Account (HRA).

It was noted that the Council's Housing Income Service collected circa £84m per annum in rent and other charges from approximately 21,000 council homes. A large proportion of tenants are in receipt of full housing benefit (34%) or partial housing benefit (30%). The collection of income had become more and more challenging due to changes in the benefit system and the rolling out of Welfare Reform. This had been evidenced by an increase in tenant arrears.

It was reported that the Council had a number of challenges to overcome in order to maximise its housing related income streams into the Housing Revenue Account (HRA). Generating the income would allow for effective delivery of essential services and also for the investment in new social housing funded by the HRA.

It was further reported that the new delivery model for Housing Income resulting from a service development proposal would enable the Council to provide more focus and capacity on better sustaining Council tenancies (reducing homelessness and poverty in doing so), maximising housing income collection in all of its forms and minimising debts of tenants as customers of the service.

Resolved:-

1. That approval be given to implement a service development and change proposal for the Housing Income Service, which will deliver a new operating model for the provision of more effective and timely Financial Inclusion and Tenancy Support Services.
2. That approval be given to an additional £638,000 from the Housing Revenue Account, to invest in and implement the new operating model.

98. PROPOSED SALE OF THE LAUNDRY ROOM AND TWO GUEST BEDROOMS AT SORREL SYKES NEIGHBOURHOOD CENTRE, WHISTON

Consideration was given to a report which sought to dispose of the laundry room and two guest bedrooms at the Sorrel Sykes Neighbourhood Centre in Whiston.

It was reported that the leaseholder of 1 Sorrel Sykes Close, Whiston had expressed an interest in purchasing the ground floor laundry room and the two upper floor guest bedrooms that form part of the decommissioned Sorrel Sykes neighbourhood centre, to enable the addition of space into his existing home. The leaseholder's property consisted of a self-contained flat which formed the large part of the first floor of the centre and was the former warden's flat.

It was noted that the Sorrel Sykes Neighbourhood Centre was closed in 2015 following approval to convert the ground floor of the building to residential dwellings. Therefore the guest bedrooms and the laundry room would not be required to progress the ground floor residential conversion and the proposed disposal to the applicant would make best use of the redundant space.

Resolved:-

That approval be given to the disposal of the redundant laundry room and former guest bedrooms by private treaty to the owner of the upper floor flat of the Sorrel Sykes Close former neighbourhood centre.

99. DIRECTIONAL SIGNING POLICIES: TOURIST, TEMPORARY AND NON-STRATEGIC LOCAL DESTINATIONS

Consideration was given to a report which sought authorisation to update the existing policies and charges for the provision of directional traffic signs to tourist, temporary and non-strategic local destinations.

It was reported that policies and procedures regarding tourist, non-strategic local destination (previously called 3rd party) or temporary directional signing were last updated in 2010. The prescribed signing legislation and guidance from the Government changed in 2016 and consequently the Council's policies and procedures had been reviewed with the revised versions submitted for approval.

Resolved:-

That approval be given to the updated policies, procedures and charges as detailed in this report.

100. INTERIM REPORT ON THE CONSULTATION PROCESS FOR THE FUTURE DIRECTION OF PUBLIC HEALTH RESULTING FROM FURTHER REDUCTIONS TO THE PUBLIC HEALTH GRANT

Consideration was given to a report which detailed the interim findings from the consultation process up to 1 September 2016 for the future direction of Public Health services in Rotherham.

It was reported that a series of measures had been introduced to balance the Public Health budget for 2016/17 and proposals for future savings in 2017/18 had also been explored and achieved through existing contract tenders which were due to expire by March 2017. It was noted that any final proposals for further savings would be considered alongside other service proposals as part of the Council's 2017 /18 budget setting process. The findings of the public consultation would also be considered and will inform the future direction of Public Health services in Rotherham.

Resolved:-

1. That the interim findings of the Public Health consultation be noted.
2. That, following initial responses, the timeline for further stakeholder and public consultation be endorsed.
3. That a further report on the five year vision for Public Health in Rotherham be submitted to a future meeting.

101. REVIEW OF NEIGHBOURHOOD WORKING

Consideration was given to a report which set out the proposed new Vision Statement for Neighbourhood Working in Rotherham, as part of the Corporate Improvement Plan's objective for a new model of citizen engagement and neighbourhood working.

It was reported that the scope of the review had comprised three elements:

- creating a council wide policy and approach to neighbourhood working,
- developing a multi-agency approach to neighbourhood working and
- following the adoption of the new locality model a review of the role and funding of the Neighbourhood Partnerships and Engagement Service.

A Members Working Group had been established to lead the review, which had undertaken visits to four local authorities to experience and learn from different best practice models of neighbourhood working, as well as a desk-top review of different local authority neighbourhood models.

Having undertaken a review, the following draft Vision Statement had been developed together with three working Principles that underpin its delivery:

“Putting communities at the heart of everything we do by

- *Councillors working with their communities on what matters to them*
- *Listening and working together to make a difference*
- *Supporting people from different backgrounds to get on well together*

..... to help make people healthier, happier, safer and proud”

It was noted that the Neighbourhood Working Review would continue its work to identify how the principles and vision could be put into practice in future, with a specific focus on the future of Area Assemblies and options for devolved budgets for ward councillors.

Resolved:-

1. That the progress on the review and the proposed new Vision Statement and Principles for neighbourhood working be noted.
2. That a further report be submitted outlining proposals from the Elected Member Working Group on the future of the Council's Area Assemblies and options for a devolved budget for councillors.

102. EXCLUSION OF THE PRESS AND PUBLIC.

Resolved:-

That under section 100(A) of the Local Government Act 1972, the Public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 3 of Part 1 of schedule 12(A) of such Act indicated, as now amended by the Local Government (Access to information) (Variation) Order 2006.

103. TRANSFER OF HRA LAND AT ELGAR DRIVE/ MORTIMER ROAD, MALTBY

Consideration was given to a report in respect of the transfer of Housing Revenue Account land at Elgar Drive and Mortimer Road in Maltby.

Resolved:-

1. That approval be given to the transfer of HRA land at Elgar Drive/ Mortimer Road, Maltby to South Yorkshire Housing Association for nil value.
2. That it be noted that delivery of this wholly affordable housing scheme is challenging for South Yorkshire Housing Association and they will lose money over the 35 year mortgage term. However, they are willing to honour their commitment to the Council to deliver these new homes.
3. That it be noted the Council will receive “nomination rights” and the properties will be occupied by people who are on the Council’s waiting list and that process will be managed by Keychoices.

**CABINET AND COMMISSIONERS'
DECISION MAKING MEETING
Monday, 14th November, 2016**

Present:- Councillor Read (in the Chair); Councillors Alam, Commissioner Bradwell, Hoddinott, Lelliott, Roche, Watson and Yasseen.

Also Present: Commissioners Bradwell, Kenny, Myers and Ney. Councillor Steele (Chair of the Overview and Scrutiny Management Board)

Apologies for absence were received from Councillors Beck.

104. DECLARATIONS OF INTEREST

Commissioner Kenny declared a personal interest in respect of Item 8, Bassinthorpe Farm – Proposed Disposal of Land Forming Part of Bassingthorpe Farm Housing Allocation, on the basis of being Chair of the Wentworth Woodhouse Preservation Trust.

Mr. Damien Wilson declared a personal interest in respect of Item 8, Bassinthorpe Farm – Proposed Disposal of Land Forming Part of Bassingthorpe Farm Housing Allocation, on the basis of being a Fitzwilliam Wentworth Estate tenant.

105. QUESTIONS FROM MEMBERS OF THE PUBLIC

A member of the public referred to an virtual urgent decision taken by Commissioner Myers in May, 2016 and queried why these reports could not have been included on an agenda as part of the decision making process for the Cabinet and Commissioners.

It had also not been established whether or not Commissioner Myers had contacted the Overview and Scrutiny Management Board Chairman in relation to the taking of an urgent decision as per the constitutional framework.

Further information was also sought on the authorisation of time, days and spend if Commissioner Myers was taking virtual decisions when not in Rotherham.

In response, Commissioner Myers indicated the Commissioners worked a ten hour day, filled in timesheets, which he subsequently authorised for the other Commissioners and his own authorised by Commissioner Ney.

He reiterated the Commissioners were not subject to the provisions of the Council's Constitution, whereas the Cabinet, when exercising its executive decision making powers, would be required to operate within the constitutional framework.

The member of the public asked further if he could be provided with written details in relation to the advice/agreement by the Monitoring Officer and the Civil Service in relation to decision making by Commissioners and whether this was included on the Council's website.

In a further point the member of the public referred to Commissioner Myers' comments regarding the anticipated period of time of intervention, his own personal viewpoint on progress and how it was time for the Commissioners to leave. He claimed the few "bad apples" had disappeared before the Commissioners arrived and the Leader and responsible Councillors could have turned the Council around again without spending over £750k. This money could have been better spent on making a difference. Attempts to achieve a more independent demographic Council had fallen short with a reduction in numbers following the election to one Independent.

In response, Commissioners Myers reiterated his previous responses to the question which had been aired previously. He further explained that when the Commissioners arrived in Rotherham it was necessary to establish protocols to discharge their responsibilities and those protocols had been approved by the former Monitoring Officer, who had accepted and understood that Commissioners operated outside of the Council's Constitution. Copies of the protocols had been displayed on the Council's website. However, there was no written documentation relating to the verbal advice provided by the Monitoring Officer on the discharge of the duties of the Commissioners.

In a supplementary question the member of the public expressed his grave misgivings that no record of discussions or advice was minuted.

In response Commissioner Myers confirmed that the Secretary of State had appointed Commissioners for a period of up to four years and that considerable progress had already been made resulting in a third of decision making powers being returned to the Council in February, 2016.

Reports on progress were submitted every three months reports and, subject to a preliminary decision by the Secretary of State, the licensing function would be returned to the Council. A further report had also been submitted last Friday recommending the return of additional functionality, but this detail could not yet be made public until a reply had been received from the Secretary of State.

A Commissioner presence in some form would remain in the Council until March, 2019 and the associated costs as functionality was returned would, therefore, reduce.

In a further supplementary the member of the public pointed out the Commissioners were not elected and, therefore, should continue to hold

meetings to give members of the public the opportunity to ask questions. A practice which had since ceased. He further pointed out that whilst consideration was being given to the return of the Licensing function, the changes to this service could have been achieved by the current Chairperson without Commissioner intervention. In addition, he queried why the Regeneration and Environment function was subject to intervention when no concerns had been highlighted, which further contributed to the burden of Commissioner costs of £700 per day.

Commissioner Myers referred to the engagement with the Local Government Association and the promotion of opportunities for Councillors and those person who wished to become involved in Local Government, of which only the electorate could decide on.

Commissioner Myers further elaborated on the reasons for Government intervention, how Commissioners were recruited and how all costs associated with the Commissioners was clearly displayed on the Council's website each month. These costs would continue into 2017.

106. MINUTES OF THE PREVIOUS MEETINGS HELD ON 12 SEPTEMBER AND 10 OCTOBER 2016

Resolved:-

That the minutes of the Cabinet and Commissioners' Decision Making Meetings held on 26th September and 10th October, 2016 be agreed as true and correct records of the proceedings.

107. THE IMPLEMENTATION OF THE REGIONAL ADOPTION AGENCY (RAA)

Consideration was given to a report which provided an update on the national and regional position regarding the development of Regional Adoption Agencies (RAAs) and sought approval for the engagement of Rotherham Council, alongside the other Yorkshire and Humber Local Authorities, in partnership with the Voluntary Adoption Agencies, to build on the existing regional consortium framework to establish 3 RAAs; South; West; and North & Humber, supported by a regional hub.

It was reported that the Government saw Regionalising Adoption as a key strategy to meet its aims of adoption reform. The 2016 Children and Adoption 2016 White paper required all councils to become part of a Regional Adoption Agency (RAA) by the end of the current parliament; the Secretary of State having power to enforce if necessary.

The key objectives of regionalisation were in accord with those of Rotherham's Adoption Service:-

- To increase the speed of decision making for children whose needs could be met through adoption.

- To increase the number and speed of recruitment of adoptive families able to meet their needs.
- To improve the quality and availability of support to families after adoption.

The four options, as detailed in the report, would bring about better collaboration, guidance, outcomes and economies of scale. Option 4 was favoured given it seemed able to provide the most effective and efficient means of developing and delivering the new Regional Adoption Agency.

This report had also been considered by the Overview and Scrutiny Management Board where it was suggested further collaboration take place with officers and Elected Members to provide further information on why this proposal needed to be taken forward, with a full understanding of the business case and proposed implementation.

Commissioner Bradwell agreed:-

1. That the report be received and the progress and work completed to date be noted.
2. That the planned delegation of a range of adoption functions to the new Regional Adoption Agency be endorsed.
3. That the proposal to develop a detailed business case to underpin a new model with Doncaster Children's Services Trust (DCST) hosting the new RAA on behalf of all Local Authority members be supported.
4. That the Improving Lives Select Committee receive a further report on the proposed implementation in due course.

108. BASSINGTHORPE FARM: PROPOSED DISPOSAL OF LAND FORMING PART OF BASSINGTHORPE FARM HOUSING ALLOCATION

Consideration was given to a report which sought in principle approval to dispose of the Council-owned land that formed part of the Bassingthorpe Farm housing allocation, in line with the recommended 'delivery route'. This key strategic site was removed from the green belt in 2014 and represented a pivotal part of Rotherham's housing allocation defined in the Local Plan. The project was a proposed joint venture between the Council and Fitzwilliam Wentworth Estate (FWE), bringing land forward in partnership to deliver 2,400 homes to the North West of Rotherham town centre.

The initial study area for the Bassingthorpe Farm project was shown in Appendix 1 of the report submitted and was comprised of land under the ownership of either the FWE or the Council. FWE owned the majority of the landholdings, with the gross land area split approximately:-

73% owned by Fitzwilliam Wentworth Estates; and
27% owned by the Council.

The ownership was also shown in Appendix 1 by the colour coded shading. The land in question was broadly in agricultural use and formed part of Rotherham's Green Belt between residential areas in Greasbrough to the west and a commercial area to the east at Barbot Hall and Parkgate.

Bassingthorpe Farm was identified as a strategic allocation in the Local Plan Core Strategy and was removed from the Green Belt on its adoption on 10th September, 2014. The Concept Framework for the site proposed 2400 new dwellings and also included 11 Ha of employment land, a local health centre, primary school, and a local centre together with the green and social infrastructure necessary to create facilities to serve the new and existing communities. The concept framework identified a developable area of approximately 80 Ha (198 acres).

The delivery of the site was critical if the Council was to meet its housing delivery targets and a Steering Group had been established to ensure delivery of the site was progressed and ensure proper governance. Representation on the Steering Group included senior officers from across Planning, Regeneration, Housing, Finance and Property Services, along with representation from FWE, the HCA and the Cabinet Member for Jobs and the Local Economy.

In addition to the Bassingthorpe strategic allocation, there were two further sites labelled A and B at Appendix 1 that officers had previously secured authority to dispose of. However, due to the connectivity and relationship with Bassingthorpe Farm, consideration was being given to inclusion of those sites in the overall Bassingthorpe project. Alternatively, the sites could be marketed in advance of the Bassingthorpe project to try and achieve exemplar developments in advance of Bassingthorpe commencing.

Members recognised the importance of this site in meeting housing need and its allocation in the Local Plan. However, the right infrastructure was sustained to minimise disruption when the development commenced.

Commissioner Myers, having consulted with Commissioner Kenny, agreed:-

1. That the land owned by the Council forming part of the Bassingthorpe housing allocation be disposed of, as part of the Council's commitment to deliver more homes in the Borough.
2. That the recommended delivery route to secure a 'Promoter Partner' or 'Delivery Partner' be progressed by undertaking an Official

Journal of the European Union (OJEU) procurement exercise to market the site, in partnership with Fitzwilliam Wentworth Estates.

3. That the Strategic Director of Regeneration and Environment Services be authorised to negotiate the Collaboration Agreement and Equalisation Agreement, the final terms of which will be reported to a future Cabinet and Commissioners' Decision Making Meeting for consideration.
4. That the Assistant Director of Legal Services be authorised to prepare the Collaboration Agreement and Equalisation Agreement between the landowners.
5. That approval be given to an exemption under Standing Order 38 from Standing Order 48 (requirement for contracts valued at more than £50,000 to be tendered) to enable the work detailed in item 3.4 of this report to be awarded to the contractor.

109. TOWN CENTRE REGENERATION - STRATEGIC ACQUISITION OF UNITS AT RIVERSIDE PRECINCT

Consideration was given to a report which sought approval for the Council to acquire the remaining interests in the properties forming part of Riverside Precinct to assist in the redevelopment of Forge Island and to deliver wider Town Centre regeneration. Riverside Precinct was the land and property on the other side of the footbridge from Forge Island.

Forge Island was a major component of Rotherham town centre's regeneration and a catalyst for the regeneration of adjacent areas, including Riverside Precinct. Riverside Precinct offers a direct link from the Minster area of the town centre via Corporation Street through to Forge Island. The Council held the freehold interest on the entire precinct edged red, with the individual units having subsequently been let on a mix of occupational short term leases, and long term 99/999 year leases. The units hatched at Appendix 1 of the report submitted were the lease interests for which authority was sought to acquire.

The options available to the Council in respect of an acquisition of units was as follows: -

Option 1 – do nothing and leave the units to the market. This option removes the need for the Council to commit resources including finance to acquire long lease interests. It was worth noting that one of the vacant long lease interests had been marketed both To Let and For Sale with no current interest. This option would make any future redevelopment options difficult to implement.

Option 2 – enter into formal negotiations to purchase the remaining free leasehold interests via private treaty negotiation of Units 1, 2, 5 & 8.

It is proposed that Option 2 be pursued in order that the Council had control of Riverside Precinct. This acquisition would form a key part of the redevelopment providing a link from Forge Island through onto Corporation Street and into the core of the town centre. If the Council was not successful in the acquisition of the Forge Island site, this would assist in securing the long term future of the Precinct.

This report had been considered by the Overview and Scrutiny Management Board who were satisfied with the drives for regeneration of this area.

It was noted that the proposal fell within the previous £17m already allocated by Council for regeneration projects in the town centre as part of the Capital Strategy (2016-21).

Commissioner Kenny agreed:-

1. That the Assistant Director of Planning, Regeneration and Transport be authorised to negotiate the acquisition of the assets as described at Option 2 of the report submitted and the Assistant Director of Legal Services is authorised to complete the necessary legal documentation for the acquisition.
2. That funding for the acquisition be authorised from the £17 million Town centre allocation in the Capital Strategy (2016-2021).

110. ALL SAINTS TOILETS - REVIEW OF SERVICE PROVISION

Consideration was given to a report which presented the findings of the consultation undertaken on alternative options with users and businesses to assess their feasibility and made recommendations regarding the future provision of the facility and ability to achieve the required savings.

The public toilets in All Saints Square were managed by the Council and provided a service for the general public visiting the Town Centre.

The cost of the facility was not covered by the income generated by the entrance charges i.e. in recent years employee costs had increased whilst income has decreased. The budget setting report approved by the Council on 2nd March, 2016, agreed a saving of £6,000 which was to be achieved by the installation of a turn-style system creating an unattended facility. This was no longer a feasible option following a full surveyor's investigation which identified that it would not allow accompanied/assisted adult access to the toilets or access for wheelchairs and some child carriers. In addition, an initial consultation with Town Centre businesses identified that there were significant concerns regarding safety and security if the facility became unattended.

In Rotherham there were limited opportunities for users to find alternative facilities in the vicinity of All Saints' Square particularly given the independent businesses had limited toilet provision and it would be

unrealistic to ask them to open their facilities to non-customers as per Community Toilet Schemes introduced elsewhere.

Given the sensitivities of the subject it was felt that a full consultation process with existing users of the facility and town centre businesses was required. The consultation showed that public toilets were of importance to all groups and a significant proportion were dissatisfied with a perceived under-provision of facilities. Users were largely supportive of an increase in charging, particularly given that cleanliness, safety and security were their main priorities when choosing public toilets. Businesses were not supportive of increasing charges.

The toilets were clearly valued by vulnerable groups including the elderly, those with mobility issues and those with children and Members suggested that appropriate signage be on display indicating the locality and availability of other provision.

Commissioner Kenny agreed:-

1. That a Public Toilet Facility in All Saints Square continue to be provided by the Council.
2. That an increase to the entrance charge of 10p (raising the charge up to 30p) for adults only be approved.
3. That the opening times of the facility be reduced by 2 hours per day, from 08:30-17:00 to 09:30-16:00.

111. DELIVERY OF THE HOLMES TAIL GOIT PUMPING STATION

Consideration was given to a report which provided detailed information regarding issues concerning flood risk and the works required prior to the development of the New York football stadium both through the planning approval given and the subsequent lease granted by the Council.

The key component of the flood protection scheme was works to the Holmes Tail Goit watercourse, specifically the installation of a new pump and pumping station, designed to force surface water into the River Don in the event of flooding.

The agreement of the lease for the stadium provided that Rotherham United (RU) Estates Limited would undertake the works and thereafter the Council would take over maintenance of the Goit and associated pumping station. RU Estates Limited expressed difficulty in paying the associated costs of the works and sought a contribution from the Council, although some works had been completed.

Further to recent discussions between the Council and RU Estates, a final estimate had been provided by RU Estates of £2,217,777 for the completion of the scheme (May 2016). An independent estimate of the

new works generally supported the figure. The estimated project cost was now within the identified funding contributions.

The Council had provisionally agreed to undertake the management of the project, including the procurement and delivery on site although this agreement had not been formally documented.

Commissioner Kenny agreed:-

1. That the commitment in the Council's approved Capital Programme to the value of £1,599,632 inclusive of the Environment Agency (EA) contribution of £212,000 be noted and the fixed contribution by RU Estates of £684,258 be endorsed.
2. That it be noted that the contingency with the current works estimate is only £66,113 and that this figure may be exceeded, and that expenditure over and above this figure would also rest with the Council.
3. That the Assistant Director Legal Services be authorised to negotiate with RU Estates to finalise Heads of Terms for a formal deed of settlement and variation to the lease setting out the respective rights and responsibilities of the parties as set out at 8.1 of the report submitted.
4. That tenders be sought to procure a contractor to deliver the project subject to the tender prices received being within the threshold of the current estimates outlined above.

112. CORPORATE PLAN 2016-17 QUARTER 2 PERFORMANCE REPORT

Consideration was given to a report which detailed the performance against measures within the Corporate Plan for period from 1st July to 30th September, 2016.

It was reported that at the end of the second quarter, 23 measures were progressing above or in line with the target set, which represented 22.3% of the total measures. 14 of performance measures in the second quarter had not progressed in accordance in accordance with the target set of 13.6% overall.

The report, therefore, provided an overview of progress and exceptions as well as wider information, key facts and intelligence such customer feedback, quality assurance and external regulation, which was supplemented by reported performance by Cabinet Members for service areas.

Councillor Alam highlighted the exceeding of the completion of PDR's 95% target, the percentage of complaints closed within the timescales had seen a slight improvement and the only areas which needed closely

monitoring were sickness absence rates and agency costs.

Councillor Roche pointed out that while information for Public Health was not yet available, having consulted with the Director of Public Health, good progress was being made.

In terms of Adult Social Care good progress was being made across all indicators except one which continued to require improvement.

Councillor Watson highlighted that whilst improvement continued to be made across this priority area to ensure that every child made the best start in life, some areas still continued to require improvement and a number of actions and interventions were currently being implemented.

Councillor Hoddinott reported on actions which had seen improvements across waste and missed bin collections, enforcement and the importance of driving through progress in licensing, particularly around the BTEC qualification, safeguarding training and CCTV in vehicles.

It was also pointed out that there had been a 28% increase in reported domestic violence from last year and this area was to be considered by the improving Lives Select Commission at their next meeting. This was a priority for the Safer Rotherham Partnership Board, as was anti-social behaviour, and further information could be provided on the current position, particularly around hotspots, mitigating risks and understanding of resource allocation.

Councillor Yasseen highlighted the support to vulnerable people particularly around food in crisis support, which was indicating an increase in levels of need.

Councillor Lelliott confirmed the data relating to measures focused around economic growth remained unavailable due to the annual frequency of data. Recent progress included development of the Sheffield City Region, the Advanced Manufacturing Park, work on the town centre, support to small businesses and occupancy rates.

Councillor Steele, Chairman of the Overview and Scrutiny Management Board, confirmed scrutiny were to focus some attention on agency staff and how spend could be reduced.

Further information was sought by Cabinet Members on the improvements in performance of the new RDASH CAMHS service structure, which should be reported into the next quarter's report.

Delayed transfers from hospital had improved, but still remained amber rated. Services were working hard to transfer people from hospital quickly and safely.

The number of carer assessments still remained lower than expected, but

investigations were taking place to understand the reasons and develop improvement actions to target this going forward.

In coming to a decision Commissioner Myers welcomed the input from Cabinet Members and was satisfied that the performance management arrangements were now in place to take forward the priority actions under each of the vision priorities.

Commissioner Myers agreed:-

1. That the overall position and direction of travel in relation to performance be noted.
2. That consideration be given to measures which have not progressed in accordance with the target set and the actions required to improve performance, including future performance clinics.
3. That the future performance reporting timetable for 2016/17 be noted.

113. DAY CARE AND TRANSPORT CHARGES

Consideration was given to a report which provided details of the outcome of the consultation with customers and carers on the proposed increase in charges for Day Care and transport and further consideration on the future charges for Day Care and transport.

Further information was provided on the number of service users, the current cohort profiles, the consultation with customers and carers on the proposed phased increases and the responses, which were set down in full as part of the report.

The options and recommended proposals for day care and transport to day centres and the potential impact on usage were also considered.

Councillor Steele, Chairman of the Overview and Scrutiny Management Board, confirmed this report had been presented to Scrutiny and it was suggested that there be careful monitoring of the user position prior to second implementation of charge increases. To this end a further update report should be presented to the Cabinet and Commissioners during July, 2017.

Commissioner Myers agreed:-

1. That the information contained in this report be received.
2. That the proposed charges for Day Care be increased on a phased basis from £4.47 to £15.00 per session from 1st January, 2017, and that prior to a further increase to £30.00 per session from 1st October, 2017 an update report on access and usage be presented

to the Cabinet/Commissioners in July, 2017.

3. That the charges for Transport be increased to £5.00 per return journey.

114. CONSULTATION ON THE MODERNISATION OF THE LEARNING DISABILITY OFFER AND THE FUTURE OF IN-HOUSE SERVICES FOR ADULTS WITH A LEARNING DISABILITY AND/OR AUTISM

Consideration was given to a report which detailed how the Council wished to consult with a range of stakeholders regarding the future Learning Disability offer to develop an approach that allowed people to exercise choice and maintain control of their lives. The national context in relation to Adult Care and the future of service provision for adults with a Learning Disability was reflected in three key initiatives:-

- Care Act, 2014
- Shaping the Future of Care Together, 2015
- Transforming Care for people with Learning Disabilities, 2015

Such initiatives confirmed the commitment to personalisation and shaping responses to individual circumstances, enabling people to exercise choice and maintain control over their own lives whilst promoting efficiency and value for money in the use of shrinking resources. The challenge at both national and local level was to develop robust, sustainable support which promoted prevention and early intervention.

Further to the approval of the report 'Implementing a strategic approach to the commissioning and delivery of Learning Disability Services' in May 2016 and Learning Disabilities - Shaping the Future Cabinet Report on 10th October 2016, this report further built on the outlined direction of travel and set out the subsequent next steps and recommendations for consideration. The report recognised that this had to be a three year improvement and transformation journey to ensure that the approach was planned and implemented in a safe and equitable way across the whole of the borough.

The proposal was to offer people accommodation and support which would promote independence and choice and enable them to live the life that they chose with the support when they actually needed it. The approach would be based on the fundamental principle of a 'life journey' from transition through to adulthood, supporting people with a Learning Disability to access services which were personalised and which captured the needs and wishes based on person centred planning by social care practitioners.

In real terms this would mean that people would have access to enablement services to ensure that independence was the primary driver and outcome, which would include employment opportunities, leisure and

a real choice as to where and how they lived. There would be a focus on excellent advice and information and the delivery of improved outcomes for people in more cost effective ways with an emphasis on what people could do rather than what they were unable to do. This was described as a strength based approach and would mean having a modern offer that met people's needs and did not just rely on traditional building based care.

This new 'offer' meant that the current traditional in-house services needed to be incrementally modernised and transformed. The current building based offer of day care, respite and residential care restricted the independence, choice and control of current customers and was costly, although still recognised that such care remained appropriate in the short to medium term for a small cohort of people with extremely complex needs. This was not sustainable, not cost effective and did not offer a safe solution for adults with a Learning Disability and their carers.

The proposed new service 'offer' had to be supported by proactive and innovative commissioning and this was outlined in the Cabinet Report of the 10th October, 2016, which would shape the future service to ensure that there was a choice for people to access their support for community support in a different way. The proposed commissioning approach would ensure that the market responded to the needs of individuals now and in the future. This would be co-produced with people with a Learning Disability to facilitate the shaping of the market and in so doing inform the quality of support and the management of risk.

Cabinet Members acknowledged that this was a difficult decision, but the driving factors were to develop a robust and sustainable level of support which promoted prevention and early intervention. However, consultation on the reconfiguration of the Learning Disability Service should commence and that all service users should be engaged fully and their views sought.

Commissioner Myers agreed:-

1. That approval be given to commence consultation on the reconfiguration of the Learning Disability services including options for the future of in-house services.
2. That a further report be submitted on the outcome of the consultation with recommendations of the new Learning Disability offer.

115. DEVOLUTION DEAL IMPLEMENTATION - SHEFFIELD CITY REGION

Consideration was given to a report which This item was withdrawn from the agenda on 2 November 2016 as the Secretary of State has not yet confirmed the detail of the parliamentary orders in respect of implementation of the Devolution Deal for the Sheffield City Region.

116. SEPTEMBER FINANCIAL MONITORING REPORT

Consideration was given to a report which set out the financial position at the end of September and was based on actual costs and income for the first six months of the financial year and forecast costs and income for the remaining six months of 2016/17.

The current position showed a forecasted revenue overspend of £8.635m after currently identified management actions totalling £3.781m. These management actions were further detailed within recovery plans included as Appendix 2 to this report, and would enable the Council to meet the current forecast outturn position. They were not, however, expected to further reduce the current forecast overspend, for this to happen additional management actions/recovery plans would need to be explored and developed to further reduce the projected overspend within Children & Young People's Services and Adult Care & Housing; and additionally to further improve the balanced or forecast underspend position within the remaining Directorates.

This forecast overspend was set against a backdrop of the Council successfully delivering savings of £117m over the last five years and having to save a further £21m in 2016/17. The majority of the approved budget savings for 2016/17 were being achieved, the main exception being the £1 million saving from the review of staff terms and conditions of employment agreed by Full Council in March which would not now be delivered in the expected timescales in 2016/17. Further work was in train to bring forward options for consideration in due course as there is a further £1m to be achieved within 2017/18 (£2m full year effect).

The key pressures contributing to the current forecast overspend were:-

- The continuing service demand and agency staffing cost pressures for safeguarding vulnerable children across the Borough and the strengthening of Social Work and management capacity; and
- Demand pressures for Direct Payments and Managed Accounts, Residential and Domiciliary Care across all Adult client groups.

All Directorates continued to identify and implement additional management actions to further mitigate the forecast overspend and to tightly control spend until the end of March, 2017. A further report would be submitted to Cabinet in December updating on the progress made to address the specific pressures in the demand-led services and to accelerate the Council's improvement activity in order to bring spending further in line with budget as soon as possible.

There were a number of investment proposals outlined with the MTFS update report (elsewhere on this agenda), which subject to their approval, would help to ensure that the current year forecast outturn position could

be delivered. Due to the timing of their approval, and time taken to implement, they were expected to have a limited impact on improving the current in year position. Although they were not expected to reduce the current forecast overspend any further for 2016/17, they would enable significant savings to be achieved from 2017/18.

There was also a significant forecast overspend (£4.830m) on the Dedicated Schools Grant (DSG) High Needs Block. This was a forecast increase of £3.8m in a six month period. Whilst this did not affect the Council's bottom line directly it was imperative that a recovery strategy was developed in order to address this position. This recovery strategy had now been produced and was detailed within this report.

In response to reduced Government funding, the Council needed to reduce its net spending by around £42m over the next three years with at least £13m of that falling in 2017/18. Should the current level of overspending continue or additional budget be required for any parts of the Council, the required overall budget reduction next year would be higher to that effect and would have to be identified from the remaining Council services. Whilst the Council held sufficient reserves to accommodate unexpected events they were not sufficient to support ongoing service overspending. Therefore, if overspending were to continue at this level, urgent and drastic action could become necessary which would inevitably impact directly on the citizens of Rotherham.

Appendix 1 to this report showed the detailed reasons for forecast under and over spends by Directorate after management actions which have/are already being implemented.

The Capital Programme was currently on target to deliver within the approved budget for 2016/17.

Councillor Yasseen, Cabinet Member for Neighbourhood Working and Cultural Services, welcomed the neighbourhood investment into Area Assemblies which should have an impact on community priorities. Evaluation of the impact this funding had made would be captured and monitored.

Resolved:-

1. That the current forecast overspend after management actions of £8.635m for 2016/17. (Paragraph 3.1) be noted.
2. That the need for additional management actions to be urgently developed, agreed and implemented and for these to be documented in future Cabinet Financial Monitoring Reports be endorsed.
3. That Directorate management actions to mitigate forecast overspends be clearly and comprehensively documented, including an evaluation of the likely financial impact of these actions (quality

assured by Finance Business Partners), and a detailed implementation plan be produced which is regularly reviewed by each Directorate Leadership Team.

4. That the Recovery Plans included within this report, that provide a summary of the current proposed management actions, and performance against those actions currently used to help mitigate the forecast overspend, be noted,
5. That any additional actions be recommended which could be implemented to help manage down the current forecast overspend.
6. That the recovery strategy for the forecast overspend on the Dedicated Schools Grant High Needs Block (Paragraph 3.15) be noted and approved.
7. That this report should be considered in conjunction with the Medium Term Financial Strategy (MTFS) update report, elsewhere on this agenda, be noted.
8. That Appendix 3, which details the budget savings approved by the Council in March 2016, that are now to be delivered by other means, be noted and approved.
9. That the current forecast outturn position on the approved Capital Programme for 2016/17 and 2017-2021 (Sections 3.57 to 3.73 and Appendix 4) be noted.
10. That the following projects be supported for inclusion in the Approved Capital Programme 2016/17.
 - **Area Assembly – Neighbourhood Investment - £140,000**
(already approved 'in principle' by Council on 2nd March 2016).
 - **Swinton Civic Hall Refurbishment - £44,868**
 - **Replacement of Damaged Waste Bins - £150,775**
 - **Capitalisation of Cleansing Equipment - £40,000**
 - **Capitalisation of GIS Transport Software - £25,000**
11. That Council be recommended to include the above schemes in the Approved Capital Programme.
12. That Council be recommended to approve the changes to budgets identified in Appendix 6 for projects which are already included in the Approved Capital Programme.

117. MEDIUM TERM FINANCIAL STRATEGY (MTFS) UPDATE REPORT

Consideration was given to a report which set out proposals for additional investment in respect of Children and Young People's Services, Adult Services and Corporate Support Services over the next three to five years

and the impact on the Council's current Medium Term Financial Plan.

The proposed investment over the current financial plan period was summarised as set out in the report and were requested in order to:-

- Provide the funding needed to deliver the Sustainable Children's Service Strategy detailed in this report;
- Provide capacity to ensure delivery of the Adult Social Care Development Programme; and
- Fund Corporate Support Services both in respect of support for Children's Services and to address savings assumed in the MTFS which have since been confirmed as undeliverable.

Allocating the additional funding requested would significantly increase the Council's estimated funding gap in 2017/18 and then start to bring the gap back down over the life of the Medium Term Financial Strategy (MTFS) as shown below. The actual final profile of the Medium Term Financial Strategy would depend on choices to be made as part of the forthcoming Budget and are further explained in Section 7 of this report.

It was assumed that the funding in the current year would need to be drawn from reserves and that the final value of this would depend on the overall outturn position of the Council as all services across the Council were attempting to reduce the overall overspend.

It was assumed in this report that in each of the subsequent years, the gap would be addressed through the budget process and further financial planning considerations. A review of all current planned use of reserves was underway and options would be brought forward for rescheduling this use in order to ensure a balanced budget and financial strategy going forward. However, inevitably there would be more savings to be made across the Council in order to fund these investments and cost pressures.

Councillor Watson, Deputy Leader, highlighted some of the reasons for the need for further investment into Children and Young People's Services, largely around the increase in numbers of looked after children, compared to previous years, which without intervention was likely to rise even further, the changes to the performance management framework and I.T. system and the challenges in reducing unit costs.

Councillor Steele, Chairman of the Overview and Scrutiny Management Board, confirmed this report had been considered as part of the pre-scrutiny process. The investment and spend for Children and Young People's Services would be closely monitored and evaluated by the Improving Lives Select Commission.

Resolved:-

1. That the contents of this report be noted.
2. That the proposed additional resource allocations required by the

Children's Services Sustainability Strategy, Adult Social Care and Corporate Support Services as summarised in section 2.6 be approved.

3. That the additional funding requirement for the demand cost pressure in Children's Services of £7.848m in 2016/17 be subject to the final outturn at year end be noted, but is likely to require funding from reserves should the current year budget not be brought back in balance.
4. That the specific investment proposals of £608k in 2016/17, summarised in paragraph 2.6 and detailed throughout the report, and the proposal that this be funded in 2016/17 from the Transformation Reserve and for the addition of these items to the MTFS for future years be noted.
5. That all other corporate earmarked reserves, where there is no formal planned use in the current year, be earmarked for consideration as part of the funding strategy for the proposals in this report and the wider financial strategy as part of budget considerations be approved.
6. That the revised Estimated Funding Gap for the period 2017/18 to 2019/20 of £24.130m, £7.854m and £18.863m respectively, outlined in paragraph 2.6 in light of these proposed funding allocations and in advance of any use of reserves be noted.
7. That tight monitoring and control be approved and implemented in relation to the investments and that the additional budgets be only allocated as the expenditure and investment action is secured and implemented.
8. That Council be recommended to approve the proposed budget adjustment for 2016/17 summarised in section 2.6 of this report.
9. That Council be recommended to approve the updating of the Medium Term Financial Strategy as set out in this report.
10. That the Improving Lives Select Commission closely monitor the investment and spend for Children and Young People's Services and its effectiveness.

118. ADOPTION OF COMMUNITY INFRASTRUCTURE LEVY (CIL) CHARGING SCHEDULE

Consideration was given to a report which sought approval for the adoption of Rotherham's Community Infrastructure Levy (CIL). This was a new means of securing funding for the infrastructure required as a result of development proposed in the Council's Local Plan.

An independent examination had been held into the CIL Charging Schedule, which set out the rates the Council proposed to charge qualifying development. The examiner concluded that the Council's proposals struck an appropriate balance between funding infrastructure via the levy and the potential effects on the economic viability of development in the Borough. Having passed examination, the Council could now progress to adopt and implement the Levy.

This approach would largely replace Section 106 financial contributions that were negotiated on a site by site basis. However, Section 106 would continue, where appropriate, to secure affordable housing and on-site mitigation.

Implemented the Community Infrastructure Levy would have many benefits and would ensure that rates were set at an affordable level.

Resolved:-

1. That the Rotherham CIL Charging Schedule be approved and recommended to Council to be adopted, with a provisional implementation date of 6th April, 2017.
2. That the Rotherham CIL Instalments Policy be approved.
3. That the procurement of appropriate software to implement and monitor the CIL charge be approved, subject to the Council's normal procurement policies.

119. SCHOOL CROSSING PATROL CONSULTATION UPDATE

Consideration was given to a report which detailed how the provision of school crossing patrols was a discretionary service. It was not a legal requirement, although where patrols did exist there was a legal requirement for the Council to manage them. It was a highly regarded public service which assisted in the daily crossing of children to and from school. There were currently 74 school crossing patrol points in Rotherham which were funded by the Council.

A review of school crossing patrols had been undertaken, informed by an independent survey of patrol points and evaluation of risk, which was requested by Elected Members, and a savings target for the service of £30,000. A consultation was also undertaken with schools during June and July, 2016.

This report, therefore, presented the findings of the survey and consultation and made recommendations regarding the future of the service, including the proposal to introduce a service level agreement for some school crossing patrol services with schools.

Cabinet Members welcomed the consultation approach to secure the continuity of a valued service alongside the proposals to improve road safety.

Resolved:-

1. That school crossing patrols at crossing points be funded only where national and local road safety criteria are met.
2. That schools, currently in receipt of a patroller at a crossing which does not meet this criteria, be offered a charged Service Level Agreement to allow the service to continue.
3. That a full independent survey review of school crossing patrol points take place every four years, with the first survey being undertaken in 2017/18.

120. ROTHERHAM CONSTRUCTION PARTNERSHIP FRAMEWORK

Consideration was given to a report which sought authority for the procurement of a Construction Contractor Framework for the following lots:-

- Flat Roofing (individual contracts not exceeding £250k)
- Pitched Roofing (not exceeding £250k)
- Demolition (not exceeding £250k)
- Asbestos Removal (not exceeding £250k)
- Building Works 1 (up to £150k)
- Building Works 2 (between £150-£500k)
- Structural Repairs (not exceeding £50k)
- Mechanical Works (not exceeding £200k) and Electrical Works (not exceeding £200k).

Resolved:-

1. That the procurement of a framework of contractors to cover the works detailed in this report be approved.
2. That the Council enter into framework contract agreements with the contractors appointed.

121. EXCLUSION OF PRESS AND PUBLIC

Resolved:-

That under section 100(A) of the Local Government Act 1972, the Public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as

defined in Paragraph 3 of Part 1 of schedule 12(A) of such Act indicated, as now amended by the Local Government (Access to information) (Variation) Order 2006.

122. PROCUREMENT OF ELECTORAL MANAGEMENT SOFTWARE SYSTEM - RENEWAL OF MAINTENANCE CONTRACT

Consideration was given to a report which detailed how the contract for support and maintenance of the Electoral Management Software system expired on 31st March, 2015 and was extended until 31st March, 2016 by a decision of the Commissioners to grant an exemption under Standing Orders.

A further twelve month exemption was granted by a decision of Cabinet made on 11th April, 2016. Since that Cabinet decision the statutory instrument confirming that there was to be an election of the Mayor for the Sheffield City Region Combined Authority in May, 2017 had been made.

This report sought a further exemption under Standing Orders so as to avoid the implementation of any new electoral management software system during the lead up to the mayoral elections so as to minimise the risk of any problems with that implementation jeopardising the delivery of the mayoral election.

There would then be the opportunity to carry out a full procurement exercise with the implementation of any new system to take place before the next major scheduled elections (European Parliamentary elections) in 2019. This would give confidence that the work needed to take place between the elections could be completed.

Cabinet Members welcomed the proposals moving forward, but suggested an earlier procurement of a new system be pursued should the mayoral elections in 2017 not proceed.

Resolved:-

1. That the contract for support and maintenance of the Electoral Management Software system remain with Xpress Software Solutions until after the City Region Mayoral election scheduled to take place in May, 2017.
2. That an exemption be granted under Standing Order 38 from the provisions of Standing Order 47 (contracts valued at less than £50,000).
3. That a full competitive tender exercise be undertaken so that any changes could be implemented after the City Region Mayoral election has taken place or sooner should the City Region Mayoral election not proceed.

123. DISCRETIONARY RATE RELIEF APPLICATION

Consideration was given to a report which asked for consideration of the submitted application for the award of a discretionary business rate relief for the premises listed in the report. This was in accordance with the Council's Discretionary Business Rates Relief Policy (approved 24th April, 2013).

Resolved:-

That the request for discretionary business rate relief as detailed in the application for the premises listed in this report be declined.

Summary Sheet

Council – 7 December 2016

Title:

September Financial Monitoring Report

Is this a Key Decision and has it been included on the Forward Plan?

Yes – key decision taken by Cabinet on 14 November 2016

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director of Finance and Customer Services

Report Author(s)

Pete Hudson, Chief Finance Manager

01709 822032 or peter.hudson@rotherham.gov.uk

Ward(s) Affected

All wards

Summary

At its meeting on 14 November 2016, the Cabinet agreed a number of recommendations in respect of the budget monitoring report for the period up to the end of September 2016. The Cabinet also agreed to recommend to the Council that a number of schemes be included in the Approved Capital Programme, along with changes to budgets for schemes that were already included within the approved programme.

In order to give effect to the recommendations from Cabinet, consideration and approval by Council must be given to the recommendations set out below. The report detailing the reasoning behind the recommendations is appended in order to provide Members with sufficient knowledge to agree the proposals.

Recommendations

1. That Council agree to include the following schemes in the Approved Capital Programme:
 - Area Assembly – Neighbourhood Investment - £140,000 (already approved 'in principle' by Council on 2nd March 2016).
 - Swinton Civic Hall Refurbishment - £44,868
 - Replacement of Damaged Waste Bins - £150,775
 - Capitalisation of Cleansing Equipment - £40,000
 - Capitalisation of GIS Transport Software - £25,000

2. That Council approve the changes to budgets identified in Appendix 6 of the appended report for projects which are already included in the Approved Capital Programme.

List of Appendices Included

Report to Cabinet and Commissioners' Decision Making Meeting – 14 November 2016 'September Financial Monitoring Report'

Background Papers

Minutes of the Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Council Approval Required

Yes

Exempt from the Press and Public

No

Summary Sheet

Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Title

September Financial Monitoring Report 2016/17

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Judith Badger – Strategic Director of Finance and Customer Services

Report Author(s)

Pete Hudson – Chief Finance Manager, Finance & Customer Services

Email: peter.hudson@rotherham.gov.uk

Ward(s) Affected

All

Executive Summary

This report sets out the financial position at the end of September and is based on actual costs and income for the first six months of the financial year and forecast costs and income for the remaining six months of 2016/17.

The current position shows a forecast revenue overspend of £8.635m after currently identified management actions totalling £3.781m. These management actions are further detailed within recovery plans included as Appendix 2 to this report, and will enable the Council to meet the current forecast outturn position. They are not however expected to further reduce the current forecast overspend, for this to happen additional management actions/recovery plans will need to be explored and developed to further reduce the projected overspend within Children & Young People's Services and Adult Care & Housing; and additionally to further improve the balanced or forecast underspend position within the remaining Directorates.

This forecast overspend is set against a backdrop of the Council successfully delivering savings of £117m over the last five years and having to save a further £21m in 2016/17. The majority of the approved budget savings for 2016/17 are being achieved, the main exception being the £1 million saving from the review of staff terms and conditions of employment agreed by Full Council in March which will not now be delivered in the expected timescales in 2016/17. Further work is in train to bring forward options for consideration in due course as there is a further £1m to be achieved within 2017/18 (£2m full year effect).

The key pressures contributing to the current forecast overspend are:

- The continuing service demand and agency staffing cost pressures for safeguarding vulnerable children across the Borough and the strengthening of Social Work and management capacity; and
- Demand pressures for Direct Payments and Managed Accounts, Residential and Domiciliary Care across all Adult client groups.

All Directorates continue to identify and implement additional management actions to further mitigate the forecast overspend and to tightly control spend until the end of March 2017. A further report will be submitted to Cabinet in December updating on the progress made to address the specific pressures in the demand-led services and to accelerate the Council's improvement activity in order to bring spending further in line with budget as soon as possible.

There are a number of investment proposals outlined with the MTFS update report (elsewhere on this agenda), which subject to their approval, will help to ensure that the current year forecast outturn position can be delivered. Due to the timing of their approval, and time taken to implement, they are expected to have a limited impact on improving the current in year position. Although they are not expected to reduce the current forecast overspend any further for 2016/17, they will enable significant savings to be achieved from 2017/18.

There is also a significant forecast overspend (£4.830m) on the Dedicated Schools Grant (DSG) High Needs Block. This is a forecast increase of £3.8m in a six month period. Whilst this doesn't affect the Council's bottom line directly it is imperative that a recovery strategy is developed in order to address this position. This recovery strategy has now been produced and is detailed within this report.

In response to reduced Government funding, the Council needs to reduce its net spending by around £42m over the next 3 years with at least £13m of that falling in 2017/18. Should the current level of overspending continue or additional budget be required for any parts of the Council, the required overall budget reduction next year will be higher to that effect and would have to be identified from the remaining Council services. Whilst the Council holds sufficient reserves to accommodate unexpected events they are not sufficient to support ongoing service overspending. Therefore, if overspending were to continue at this level, urgent and drastic action could become necessary which would inevitably impact directly on the citizens of Rotherham.

Appendix 1 to this report shows the detailed reasons for forecast under and over spends by Directorate after management actions which have/are already being implemented.

The Capital Programme is currently on target to deliver within the approved budget for 2016/17.

Revenue Report Recommendations

That Cabinet:

- Notes the current forecast overspend after management actions of £8.635m for 2016/17. (Paragraph 3.1)
- Endorses the need for additional management actions to be urgently developed, agreed and implemented and for these to be documented in future Cabinet Financial Monitoring Reports.
- Require that Directorate management actions to mitigate forecast overspends are clearly and comprehensively documented, including an evaluation of the likely financial impact of these actions (quality assured by Finance Business Partners), and a detailed implementation plan is produced which is regularly reviewed by each Directorate Leadership Team.
- Note the Recovery Plans included within this report that provide a summary of the current proposed management actions, and performance against those actions currently used to help mitigate the forecast overspend
- Recommend any additional actions which could be implemented to help manage down the current forecast overspend.
- Note and approve the recovery strategy for the forecast overspend on the Dedicated Schools Grant High Needs Block. (Paragraph 3.15)
- Note that this report should be considered in conjunction with the Medium Term Financial Strategy (MTFS) update report elsewhere on this agenda.
- Note and approve Appendix 3 which details the budget savings approved by the Council in March 2016 that are now to be delivered by other means.

Capital Report Recommendations

That Cabinet:

- Notes the current forecast outturn position on the approved Capital Programme for 2016/17 and 2017-2021. (Sections 3.57 to 3.73 and Appendix 4)
- That the following projects be supported for inclusion in the Approved Capital Programme 2016/17.
 - **Area Assembly – Neighbourhood Investment - £140,000** (already approved 'in principle' by Council on 2nd March 2016).
 - **Swinton Civic Hall Refurbishment - £44,868**
 - **Replacement of Damaged Waste Bins - £150,775**
 - **Capitalisation of Cleansing Equipment - £40,000**
 - **Capitalisation of GIS Transport Software - £25,000**
- That Council be recommended to include the above schemes in the Approved Capital Programme.

- That Council be recommended to approve the changes to budgets identified in Appendix 6 for projects which are already included in the Approved Capital Programme.

List of Appendices Included

Appendix 1 – Detailed Directorate analysis of revenue forecast under and overspends

Appendix 2 – Recovery Plans (Mitigating Actions to reduce forecast overspends in CYPS and Adult Care)

Appendix 3 – Budget Savings to be delivered by alternate means to those approved in March 2016

Appendix 4 – Capital Budget Report Summary

Appendix 5 - Summary of key variances to the Capital Programme by Directorate

Appendix 6 - Summary of Budget Variations seeking Cabinet approval 2016/17 to 2020/21

Background Papers

Revenue Budget and Council Tax Setting Report for 2016/17 to Council 2nd March 2016

Capital Programme Budget Setting Report - 2016/17 TO 2020/21 to Council on 2nd March 2016

July 2016/17 Financial Monitoring Report to Cabinet – 12th September 2016
Consultation with Strategic Directors

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Yes – Overview and Scrutiny Management Board – 18 November 2016

Council Approval Required

No

Exempt from the Press and Public

No

1. Recommendations

That Cabinet:

- 1.1 Notes the current forecast overspend after management actions of £8.635m for 2016/17. (Paragraph 3.1)
- 1.2 Endorses the need for additional management actions to be urgently developed, agreed and implemented and for these to be documented in future Cabinet Financial Monitoring Reports.
- 1.3 Require that Directorate management actions to mitigate forecast overspends are clearly and comprehensively documented, including an evaluation of the likely financial impact of these actions (quality assured by Finance Business Partners), and a detailed implementation plan is produced which is regularly reviewed by each Directorate Leadership Team.
- 1.4 Note the Recovery Plans included within this report that provide a summary of the current proposed management actions, and performance against those actions currently used to help mitigate the forecast overspend
- 1.5 Recommend any additional actions which could be implemented to help manage down the current forecast overspend.
- 1.6 Note and approve the recovery strategy for the forecast overspend on the Dedicated Schools Grant High Needs Block.(Paragraph 3.15)
- 1.7 Note that this report should be considered in conjunction with the Medium Term Financial Strategy (MTFS) update report elsewhere on this agenda.
- 1.8 Note and approve Appendix 3 which details the budget savings approved by the Council in March 2016 that are now to be delivered by other means.

Capital Report Recommendations

That Cabinet:

- Notes the current forecast outturn position on the approved Capital Programme for 2016/17 and 2017-2021. (Sections 3.57 to 3.73 and Appendix 4)
- 1.9 That the following projects be supported for inclusion in the Approved Capital Programme 2016/17.
 - Area Assembly – Neighbourhood Investment - £140,000 (already approved 'in principle' by Council on 2nd March 2016).
 - Swinton Civic Hall Refurbishment - £44,868
 - Replacement of Damaged Waste Bins - £150,775
 - Capitalisation of Cleansing Equipment - £40,000
 - Capitalisation of GIS Transport Software - £25,000
 - 1.10 That Council be recommended to include the above schemes in the Approved Capital Programme.

- 1.11 That Council be recommended to approve the changes to budgets identified in Appendix 6 for projects which are already included in the Approved Capital Programme.

2. Background

- 2.1 As part of its performance and control framework the Council is required to produce regular reports for the Strategic Leadership Team and Cabinet to keep them informed of financial performance on a timely basis such that where necessary, actions can be agreed and implemented to bring spend in line with the approved budget for the financial year.
- 2.2 Delivery of the Council's Revenue Budget and Medium Term Financial Strategy within the parameters agreed at the start of the current financial year is essential if the objectives of the Council's Policy Agenda are to be achieved. Financial performance is a key element within the assessment of the Council's overall performance framework.
- 2.3 The MTFs Update report (elsewhere on this agenda) should be read in conjunction with this report. Subject to the report recommendations being approved, they will provide Adult Care services with the scope to engage additional short term resource to help ensure delivery of the approved savings for 2016/17 and 2017/18 and any further savings which may be required from the 2017/18 budget setting process.
- 2.4 From the September budget monitoring cycle monthly scrutiny and challenge meetings have been implemented. These involve each Directorate Management Team and the relevant Cabinet Members discussing and considering with the Cabinet Member for Finance and the Assistant Director of Finance their forecast outturn position for the year and what additional actions need to be implemented to significantly mitigate the forecast overspend. The value of any agreed actions are quantified and reflected in the reported forecast outturn position.

3. Key Issues

- 3.1 Table 1 below shows the summary forecast revenue outturn position by Directorate. The table shows the forecast outturn position both before and after any management actions which have already been quantified and implemented. As Directorates agree further management actions to mitigate forecast overspends this will be incorporated within future budget monitoring reports. A more detailed analysis of the Directorate's forecast under and overspends is included in Appendix 1.

Table 1: September Cumulative - Forecast Revenue Outturn 2016/17

Directorate / Service	Annual Budget 2016/17	Forecast Outturn 2016/17	Forecast Variance (over (+) / under (-) spend) BEFORE management actions	Forecast Variance (over (+) / under (-) spend) AFTER management actions
	£'000	£'000	£'000	£'000
Children & Young People's Services	56,468	65,324	+9,713	+7,848
Adult Care & Housing	67,927	72,950	+5,023	+3,107
Regeneration & Environment Services	46,357	45,932	-425	-425
Finance & Customer Services	12,065	12,065	0	0
Assistant Chief Executive	5,381	5,381	0	0
Capital Financing, Levies and Central Services	11,323	9,428	-1,895	-1,895
TOTAL	199,521	211,080	+12,416	+8,635
Public Health (Specific Grant)	17,157	17,157	0	0
Dedicated Schools Grant (Non Delegated)	20,440	25,270	+4,830	+4,830
Housing Revenue Account (HRA)	83,584	79,872	-3,712	-3,712

The following sections (paragraphs 3.2 to 3.49) provide key reasons for the forecast level of annual revenue under or overspend within Directorates. More detailed information is included in Appendix 1.

Children & Young People's Directorate (+£7.848m forecast overspend)

- 3.2 The September revenue full year forecast is £7.848m over budget. This is a £1.061m increase in the forecast overspend since the August report to SLT for the following reasons:

- Recruitment of Newly Qualified Social Workers (£0.359m)
(N.B. this cost is subject to a bid, elsewhere on the agenda for investment which would fund this expenditure in full.)
- Additional pressure from Leaving Care Allowances (£0.266m)
- Increase in the number of Looked After Children (£0.150m)
- Additional pressure from Agency staff cover (£0.235m)
- Continuation of the CYPS Resourcing Team for a further six months on an invest to save basis to enable earlier recruitment of permanent staff into established posts, enabling a quicker release of agency staff than would otherwise have been possible (£0.050m).

- 3.3 There are two main areas which are contributing to the Children's Services in-year position. The strain on the placement budget and the pressure on the staffing budget. The current Looked After Children (LAC) budget would support approximately 400 placements, 48 less than Rotherham's total of 448 LAC as at 30th September 2016. There has been a requirement to engage agency social workers and team managers with the necessary experience to reverse poor performance, fill vacancies, and reduce average caseloads to a reasonable level. The staffing budget pressure will gradually reduce as new social care employees are appointed and allocated appropriate caseloads. The main areas within the Directorate that are seeing significant overspends due to these pressures are detailed below.
- 3.4 First Response, which includes Rotherham's Multi-Agency Safeguarding Hub (The MASH), and the Child Sexual Exploitation Team (EVOLVE) are two examples of services that have had to engage temporary staff (£1.004m) with locality social work teams (£1.241m), Safeguarding (£769k) and Social Care Management (£325k) being the other main areas of pressure within the Children's Social Care Service employee budget. These costs represent the additional cost of agency staff over the budget for the approved social care establishment.
- 3.5 The Children in Care Service is projecting an over spend of £3.859m. The adverse movement in the variation is due to additional staffing costs for reasons outlined above, a position accentuated for a time limited period resulting from dual working as recently appointed newly qualified social workers work alongside existing agency staff to ensure the smooth and successful transition of caseloads. There is mounting pressure on the Looked After Children (LAC) placements budget which includes the cost of Independent Fostering Placements, Out of Authority provision and Fostering Allowances. This latest position incorporates the implementation of the new foster carer payment scheme as approved by Cabinet on 12th September which will deliver better outcomes for children in care and will reduce the number of children in more expensive care settings through an 'invest to save' approach. At the end of September the number of LAC in Rotherham was 448, from 427 at 1st April 2016. The forecast to the end of March takes into account the evidence both locally and nationally and brings this figure up to an estimate of 460. If this number continues to increase then there will be a further pressure on social care budgets and a risk that the reported position will worsen before the end of the financial year.

- 3.6 In addition, the number of care proceedings is continuing to rise which means that there is an additional demand for legal work. The Council continues to use external legal counsel to assist with the workload. The in-year pressure on the CYPS Legal budget is £270k.
- 3.7 Expenditure on Leaving Care allowances has doubled over the last two years. This is due to a number of reasons including: a reduction in Supporting People funding; closure of Nelson Street as the building was not fit for purpose - meaning six placements had to be commissioned through other providers at a premium; Staying Put costs exceed the grant support we receive (£71k grant compared with £188 costs due to higher numbers and higher costs of placements); and generally there are more placements at higher costs. Remedial action is being put in place to address the rising costs and includes: reviewing placements to ensure provision is appropriate; providing lower cost accommodation for over 18's through a transitional landlord scheme and in partnership with Housing; and increasing lower cost provision via new providers.
- 3.8 The forecast outturn also includes Complex Needs placements pressure of £364k on the Special Educational Needs and Disabilities (SEND) budget, within Education and Skills, funded from mainstream Council resources and £258k with regard to reduced income assumptions within School Effectiveness.
- 3.9 The Commissioning, Performance and Quality Service are experiencing a £288k pressure due to additional Business Support Staff required to support the social work activity within Children's Social Care.

Recovery Plan (CYPS Revenue Budget)

- 3.10 The service has committed to implementing management actions which will partially mitigate the impact of the pressures reported above and will reduce the forecast overspend by £1.865m to the reported £7.848m. An update to these mitigating actions is presented at Appendix 2, however in brief they include:
- The Residential Homes review is expected to release £750k over 2016/17 to support some of the wider LAC budget pressures;
 - The School Improvement Service (SIS) had been facing a budget pressure of £600k due to the loss of grant funding. This has been mitigated through income generation and some cost reduction where possible without impacting service delivery, reducing the pressure down to £258k;
 - In-year savings in Early Help of £403k from vacancy management and a firm control over non-essential expenditure will contribute to the SIS gap above and to reducing other budget pressures in social care;
 - A spending freeze on the CYPS workforce development budget of £70k is being used to help fund the necessary spend over budget on social care business support;
 - Staffing projections include the forecast release of agency staff before the end of the financial year which will reduce cost by £300k; and

- Other mitigation of cost pressure has resulted in re-profiling expenditure; exploration and use of external funding options; and a directorate wide moratorium on non-essential spend.
- 3.11 The mitigating management actions that have been outlined above are contributing to keeping the budget pressures in the service at the current reported level; and focused across a small number of budget heads. The budgets, Children in Need and Social Care Staffing, and the reasons for the significant cost pressures have been regularly reported in Financial Monitoring reports since the Council entered its improvement phase following Intervention in 2014.
- 3.12 Children's Services has already this year reached its target savings of £1.267m from reductions in residential provision, Early Help, and School Improvement.
- 3.13 Further cost avoidance of £756k has been achieved through successful recruitment to permanent senior management and social worker positions which has enabled the release of interim staff.
- 3.14 A review of funding that we are able to retain for central services from the Dedicated Schools Grant (DSG) has identified £250k of expenditure previously being funded from the Education service revenue budgets that can be transferred to the DSG.
- 3.15 Equally, management actions have started to bear fruit in terms of a reduction in the unit cost for looked after children. Over the last year the unit cost has reduced by £86 per week. This equates to £2.057m over a full year (based on 460 placements). It is evidence that the interventions that have so far been put in place are beginning to have the desired effect from a cost perspective; and that the cost pressure this financial year could have been more severe had these actions not been taken.
- 3.16 Future recovery of the budget position is explained in detail in the Children's Services Sufficiency Strategy, part of the Council's MTFS paper elsewhere on the agenda. In-year actions will continue to be focused on maintaining, and reducing where possible, the current overall position in the context of increasing placement numbers and continued reliance, albeit at a lower number, on a significant number of agency social workers and team managers.

Dedicated Schools Grant (inc Recovery Plan)

- 3.17 The service is also currently forecasting an over spend on its Dedicated Schools Grant (DSG) High Needs Block of £4.830m. At the end of 2015/16 the outturn position showed an overall underspend of £24k on the non-delegated DSG, comprised as follows:
- Early Years Block: £0.430m Underspend
 - Schools Block: £0.598m Underspend
 - High Needs Block: £1.004m Overspend

- 3.18 The Strategic Director of Children and Young People's Service is required to provide a formal recovery strategy and action plan for the forecast overspend on the Dedicated Schools Grant High Needs Block to be brought back to Cabinet.
- 3.19 The current forecast outturn for 2016/17 is estimating a £4.830m over spend:
- Early Years Block: £0.000m Balanced
 - Schools Block: £0.000m Balanced
 - High Needs Block: £4.830m Overspend
- 3.20 The options for dealing with an over spend on the non-delegated DSG are for the Council to fund in full, part or not at all. Given the pressures the Council faces with its own resources it is not proposed that any of the overspend be met by the Council. Any DSG overspend carried forward at year end needs to be funded by schools in the following year or the year after that.
- 3.21 In order to begin to address the significant budget pressure within the High Needs Block it is useful to summarise a brief history. For almost ten years the High Needs Budget had no strategic overview or planning of provision. In summer 2015 a permanent Head of Inclusion was appointed to strategically manage provision for Special Educational Needs and Disability (SEND) and to develop a more robust response to the implementation of the SEND reforms. Since this time the following Departmental actions have been taken, or are in train:
- Restructure and reconfiguration of the presentation of the High Needs Budget to make it operationally understandable to those outside SEND and Schools Forum.
 - Setting of new 2016/17 budget with regard to under/overspends of previous year.
 - Constitution of a High Needs Budget working group chaired by Head of Inclusion with members from Schools Forum; relaunched in October 2016 with the Head of Finance for CYPS added to the membership.
 - External review of Special School funding and setting of new budgets to a consistent and agreed formula.
 - A strategy developed and implemented (including a financial element) to meeting the increasing needs of children and young people with Social Emotional and Mental Health challenges (SEMH Strategy) across Rotherham. This has included a reconfiguration of Pupil Referral Unit provision to address increasing numbers of secondary school exclusions and effect a reduction.
 - A multiagency sufficiency exercise with all SEND stakeholders (including parents, schools, LA education, health, social care, private/voluntary providers) resulting in a clearer picture of what SEND provision exists within Rotherham, where there are gaps, and ideas for development.
 - Completion of a new SEND performance dashboard to aid measuring of success and to identify pressure areas within the system.

- Identification of two major financial pressure areas on the High Needs Budget (out of authority specialist placements and rising exclusions).
 - Identification of joint funding issues between the High Needs Budget and those that sit within Social Care and Health for SEND. Now being addressed through a strategic and operational review in partnership with Health colleagues.
- 3.22 The Rotherham context is further exacerbated due to the high level of SEND, the rapid rise in Education Health and Care (EHC) Plans, and a rise in parental requests for out of authority specialist placements due to rising numbers but lack of more planned provision within Rotherham.
- 3.23 Special Educational Needs and Disability is a key work stream within the Children and Young People's Partnership Improvement Programme. It is through this programme of work that the issues with service provision and delivery – and the associated impact on the High Needs Block – will be addressed. There are ten strands within the SEND Improvement Plan but in particular the success of the following strands will be paramount in the recovery of the High Needs Budget.
- SEND 3: Develop the use of early intervention, targeted provision through a graduated response, personalised approaches and support for 'step down' from high levels of need. Joint commissioning of budgets and resources for children and young people with the most complex needs wherever practicable and advantageous to our service users.
 - SEND 4: Develop fully effective EHC assessments of need, support and planning completed within statutory timescales. Review and reshape the use of the High Needs Block to better meet needs, in partnership with Schools Forum and in line with the new Schools Funding Formula.
 - SEND 6: Review current SEN Support and graduated response practice alongside the continuous development of the Local Offer. Ensure that the local offer of provision is founded on strong partnerships and a well-trained universal workforce across Social Care, Early Years, Schools, Colleges, Police, Health and the Voluntary and Community Sector to identify and meet need in universal settings.
 - SEND 10: Implement the Joint Commissioning Strategy for SEND (Jointly planning and commissioning education, health and care services for complex cases wherever practicable and advantageous to our service users.
- 3.24 This recovery and improvement plan will not be a quick fix to the budget pressure. However closer co-operation and understanding of the issues with partners, particularly schools, will enable the Service in partnership with Finance to bring the High Needs Block back in balance over a two year period – in line with the guidance for dealing with DSG overspending budgets.

Adult Services (+£3.551m forecast overspend) and Housing (-£444k forecast underspend)

- 3.25 The service is currently forecasting an overspend of £5.023m across the two main functions of Adult Care and Housing. This is reduced to £3.107m following mitigating actions agreed by the Directorate Management Team.
- 3.26 Adult Care Services are currently forecasting an overall overspend of £5.467m for 2016/17 before mitigating actions and a forecast overspend of £3.551m after mitigating actions. The main budget pressures continue to be in respect of Direct Payments and Managed Accounts, Residential and Domiciliary care across all client groups.
- 3.27 The main budget pressure within the Directorate continues to be the increased demand for Direct Payments and Managed Accounts (£2.9m). This forecast pressure includes the full year impact in 2016/17 of the 29% increase in clients receiving a Direct Payment in 2015/16. The increase in client base is due to a mixture of demographic pressures and clients moving from a domiciliary care contract. In total this has seen 180 new clients in 2015/16, plus an additional net increase of 64 new clients since April 2016.
- 3.28 A task group established to review Direct Payments is still in place and continues to analyse high cost care packages to ensure they are appropriately aligned to client need and to review the processes and procedures associated with assessment to ensure they are fit for purpose. An action plan is being developed by senior managers to address the ongoing issues, which includes reviewing Managed Accounts and capacity within the service to carry out the reviews. The expected financial impact of this action plan will be reflected in future financial monitoring reports.
- 3.29 There are also pressures on the residential and nursing care budgets across all client groups as a result of an increase in the average cost of placements and lower than forecast 'Continuing Health Care' income contributions against the approved budget (forecast overspend of £1.9m across all client groups). The Assistant Director of Commissioning is providing oversight on the review of Learning Disability high cost placements which is anticipated to make significant savings (£1.380m). As these are quantified they will be reflected in future financial monitoring reports, £165k has been achieved to-date.
- 3.30 There is also a forecast budget pressure of £1m in respect of the provision of Domiciliary Care across all client groups due to an increase in the number of clients (56) and a 4% increase in the number of commissioned and delivered hours plus a recurrent income pressure on fees and charges (£300k).
- 3.31 The above forecast overspends are being partially reduced by projected underspends within Learning Disability Day Care Services and Supported Living provision due to higher than anticipated staff turnover (-£377k).

- 3.32 Neighbourhood services' (Housing) latest forecast is an underspend of -£444k mainly due to the recruitment to staff vacancies being put on hold pending the outcome of a review of the Area Assembly and Community Cohesion services plus additional income from the Furnished Homes scheme.

Recovery Plan

- 3.33 The demand for residential placements is reducing however budget pressures remain due to the increasing cost of care packages. However, the demand for domiciliary care and direct payments is increasing. There are also underlying budget pressures from unachieved budget savings from previous years, for example, Continuing Health Care funding and a reduction in the level of client contributions to services after financial assessment. The attached recovery plan appendix 2, shows the progress towards achieving the management actions that are reducing the forecast overspend within Adult Care and Housing. However in summary they are;
- 3.34 The continued review of out of area and high cost care packages across all services to identify opportunities to reduce costs and rigorously pursue all Continuing Health Care funding applications with the Clinical Commissioning Group. To-date a total of £174k has been achieved against management actions. Monthly Finance and Performance meetings continue to be held with senior managers to review in detail the budget forecasts, monitor demographic pressures and identify further savings opportunities and mitigate the pressures. Further progress continues on the delivery of the Adult Services Development Programme to improve the outcomes for service users and this is largely on track to deliver the 2016/17 approved savings included in the budget setting process.
- 3.35 There are investment proposals within the Medium Term Financial Strategy update that will assist the service in reducing the level of overspend however due to the timeframes for the approval and implementation of these proposals they will have greatest impact upon the new financial year. These investment proposals include additional resource for managing and delivering the major project savings included in the Adult Care Development Plan, and short-term establishment of a Brokerage Team and Direct Payments Team.

Public Health (Forecast balanced outturn)

- 3.36 The forecast outturn is to spend to budget at this stage including a small transfer to the Public Health Reserve. This forecast outturn takes into account the Government's 2016/17 reduction in grant funding which has largely been mitigated through the use of the balance on the Public Health grant reserve.

Regeneration and Environment Services (-£425k forecast underspend)

- 3.37 The Regeneration and Environment Directorate Management Team have reviewed the forecast outturn position following the September monitoring cycle.

- 3.38 The September review has led to an overall reduction in the underspend forecast by £2k to £425k. This reflects the fact that Facilities Services and School Crossing Patrol have been transferred across from CYPS during the monitoring period. This has led to a projected underspend of £165k being transferred to R&E. Offsetting this transferred underspend a number of adverse movements have occurred during the month. These include Home to School Transport, as a result of provision now having been agreed for the new academic year; the new Street Scene Manager costs being factored in from October 2016 which relate to an undelivered savings target. There is also pressure from a reduced underspend on Theatres, as a result of vacant posts being advertised to support the Pantomime production and reduced fee income generation due to a shortfall in the level of capital project work available within Transportation Services for the teams charges to be allocated against.
- 3.39 Detailed information on the key forecast variances that make up the overall underspend of £425k is provided below, however in summary, the main forecast overspends within the Directorate are within Transportation (£172k), Street Scene Services (£166k), Planning and Building Control (£100k), Green Spaces (£99k) and Community Safety and Streetscene, Corporate Accounts (£82k). These forecast overspends are fully mitigated by forecast underspends in other areas such as Facilities Management (-£313k), Safer Neighbourhoods (-£267k), Rotherham Investment and Development Office (RIDO) (-£262k), and Facilities Services (-£138k).
- 3.40 Transportation (+£172k) – There are two main reported pressures within Transportation, £151k in Highways as a result of reduced fee earning work being brought forward, and a pressure of £42k as a result of the use of agency staff for interim management cover.
- 3.41 Street Scene Services (+£166k) – Corporate Transport has a forecast overspend of £203k, principally due to Home to School Transport (+£85k), as a result of the level of provision now agreed for the new academic year and the delayed implementation of savings within the Corporate Transport Unit (+£76k). A review of the Fleet service will commence shortly, this review will provide an opportunity to identify ways to sustainably reduce underlying revenue costs, whilst preserving or improving service levels and quality standards across the Council's fleet service. The review will identify a programme of change that will deliver these objectives.
- 3.42 Planning and Building Control (+£100k) – This is a key recurring pressure on income as it is dependent on major projects coming forward in the Borough, which are largely outside of the Authority's control. Fees from the Gullivers Development and Waverley have been factored into the current forecast.
- 3.43 Facilities Management (-£313k) – This forecast underspend is largely as a result of savings from buildings being vacated and/or sold (-£265k), leading to a reduction in the costs of managing these sites. The service has also managed to reduce the final settlement for the dilapidations works at the former RBT Innovations Centre which has saved a further £42k. This has helped to offset additional costs of £42k in respect of community buildings resulting from the delay to the planned closure programme.

- 3.44 RIDO (-£262k) – The forecast saving has been brought forward by utilising available one-off grants and contributions funding from the Department of Business, Energy and Industrial Strategy (BEIS) to support the service's budget in 2016/17.
- 3.45 Safer Neighbourhoods (-£267k) – Forecast underspend on Community Protection £279k due to the service carrying a number of vacant posts, which are now to be recruited to (recruitment to be completed by January 2017) and additional income generation. This is partially reduced by an overspend (£12k) on essential maintenance and repair costs at Landfill sites.
- 3.46 The current forecast underspend excludes any pressure which may be incurred on the Winter Maintenance budget. This is weather dependent and is highlighted as a risk at this stage. In addition, Libraries and Customer Services have now completed a public consultation exercise to determine future service delivery options. As a result the budgeted savings assumptions have been re-profiled into 2017/18 with the result that there will be a pressure of £213k in 2016/17. This pressure is fully mitigated by forecast underspends across the wider Directorate.
- 3.47 Income assumptions in respect of Rother Valley Country Park (RVCP) have been reviewed following the end of the summer trading period given the largely weather dependent nature of the income generated at the Park. Although favourable weather in July and August has been reflected by a strong performance, it is not sufficient to offset the £120k income target pressure and as a result an overspend of £56k is currently being reported. This will continue to be reviewed on a monthly basis. Again this pressure is fully mitigated by forecast underspends across the wider Directorate.

Finance & Customer Services (forecast balanced outturn)

- 3.48 Overall the Directorate is forecasting to deliver in line with budget. The main pressures relate to the unlikely delivery in 2016/17 of a budget saving relating to sale of data storage space (£50k), legal staff cost pressures (£20k), a forecast overspend on statutory and planning notices (£120k) and unachievable income targets within central and planned print (£71k). These pressures will be mitigated by underspends within Electoral Services (£47k), staffing underspends within Procurement and Internal Audit from vacant posts (£81k), reduced pension charges and training budget underspends (£26k) and an underspend in Revenues and Benefits from vacant posts and maximising flexibility in the use of grant funding (£107k).

Assistant Chief Executive (forecast balanced outturn)

- 3.49 Overall the Directorate is forecasting to deliver a balanced outturn position. The details of the various forecast pressures and savings can be seen within Appendix 1, however the main forecast pressures are from increased staffing costs across the Directorate £103k, loss of traded income from Schools and Academies within the HR & Payroll service £35k, and unfunded subscription and contract costs within Communications and Media £33k.

- 3.50 These pressures are expected to be fully mitigated by staff cost savings within Policy and Partnerships £64k, additional one year funding from Local Government Association (LGA) £29k, reduced costs relating to members including Member Allowances £154k, and management actions agreed across the Directorate to ensure spend is contained within budget for the year.

Corporate & Central Services (Forecast -£1.895m underspend)

- 3.51 The Corporate and Central services forecast now assumes that a £1.895m underspend will be delivered, and will be used to help mitigate the Council's current forecast overspend. Previously a balanced outturn had been assumed. The main reasons for the change are:

- The savings in relation to changes in staff terms & conditions of £1m for 2016/17 will not be sufficiently progressed to deliver the in- year saving. This will need to be considered alongside the further £1m saving required to be delivered in 2017/18.
- The £1m contingency budget for social care (adults and children's) will be used to mitigate the in-year pressures in each of these two Directorates, with Budget virements to be processed in the coming months once an agreed split is reached. It should be noted that this is a 1 year only budget allocation.
- The capital financing budget is forecast to now underspend by £1.4m as a result of the Council being able to reschedule a market loan, changing interest rate forecasts post-Brexit Referenda, and a reduced borrowing need in year.
- Less superannuation payments to the SY Pensions Fund than budgeted creating a forecast saving of £338k this financial year.
- The Council has been informed that the cost of the ITA / Coroners levies will be less than budgeted, and a saving of £244k is forecast.
- The forecasts for the level for Education Support Grant received from the Department for Education are expected to reduce by £373k due to the increased number of schools now expecting to convert to academies by the year end. The grant is scaled back each quarter as further schools convert.

Housing Revenue Account (HRA) – (Forecast -£3.712m underspend)

- 3.52 The Housing Revenue Account is a statutory ring-fenced account that the Council has to maintain in respect of the income and expenditure incurred in relation to its council dwellings and associated assets. The forecast for the HRA is a transfer to reserves of -£3.712m mainly due to delays in the strategic acquisitions programme until 2017/18. There is also a forecast underspend in respect of lower than anticipated HRA capital financing costs (-£180k), a forecast underspend on the provision for bad debts (-£296k) and additional rental income due to more property acquisitions than budgeted plus a reduction in loss of income through void properties (-£350k).

2016/17 Savings to be delivered by other means

- 3.53 The attached Appendix 3 details a number of savings proposals from those approved by the Council in March 2016 that will now be delivered by other means. Where the original savings offered can no longer be achieved, alternative options have been found. Some of these alternatives are recurrent, others are non-recurrent. Cabinet is asked to approve these.

Collection Fund

- 3.54 The Collection Fund is the technical term for the statutory fund into which Council Tax and Business Rates income and costs are accounted for. It is forecast that the budgeted level of Council Tax and Business Rates will both be achieved.

Capital Programme

Background – The Capital Programme 2016/17 – 2020/21

- 3.55 The Council's Capital Strategy and Capital Programme (2016-2021) was approved by Council on the 2nd March 2016. Minute 146 below:
- 3.56 **Resolved:- That the new Capital Strategy, as presented in Appendix A and Capital Programme, as presented in Appendix B (for £279.095m in the period 2016/17 to 2020/21), which will require prudential borrowing of £53.602m to fund non-HRA schemes over the five year period, for which provision has been made in the revenue budget for the associated financing costs, be approved.**
- 3.57 Further updates to the Capital Programme were approved by the Cabinet/Commissioners Decision Making Meeting of the 11th April 2016 in relation to the Housing Investment Programme 2016/17 and the CYPS Capital Programme 2016-2018.
- 3.58 In addition, Cabinet/Commissioners Decision Making Meeting of the 11th July 2016 approved carry forwards totalling £4.363m from 2015/16 into the 2016/17 Capital Programme.

Background – The Capital Programme 2016/17 – 2020/21

- 3.59 The table below shows the current forecast outturn position for the approved Capital Programme (2016-2021) by Directorate. This is showing a forecast underspend of £3.003m in 2016/17, with the re-profiling of the majority of this expenditure into 2017/18. The majority of this underspend / re-profiling of expenditure relates to the Adult Care & Housing Directorate. The three tables below set out the 2016/17 position, the current programme for the period 2017/18 to 2020/21 and the 5 year programme by Directorate respectively. The key reasons for the underspends are identified in the Directorate commentaries below.

Directorate	Current Year (2016/17)		
	Budget	Forecast	Variance
Adult Care & Housing	34,253,761	31,572,946	-2,680,815
Children & Young Peoples Services	8,311,136	8,209,095	-102,041
Finance & Customer Services	2,909,039	2,782,334	-126,705
Regeneration & Environment	15,924,701	15,831,189	-93,512
Total	61,398,637	58,395,564	-3,003,073

Directorate	Future Years (2017/18 to 2020/21)		
	Budget	Forecast	Variance
Adult Care & Housing	36,747,000	39,330,316	2,583,316
Children & Young Peoples Services	9,971,803	10,171,803	200,000
Finance & Customer Services	621,600	621,600	0
Regeneration & Environment	9,875,906	9,969,906	94,000
Total	57,216,309	60,093,625	2,877,316

Directorate	Total (5 Years – 2016/17 to 2020/21)		
	Budget	Forecast	Variance
Adult Care & Housing	71,000,761	70,903,261	-97,500
Children & Young Peoples Serv	18,282,939	18,380,898	97,959
Finance & Customer Services	3,530,639	3,403,934	-126,705
Regeneration & Environment	25,800,607	25,801,095	488
Total	118,614,946	118,489,189	-125,757

- 3.60 Appendix 4 shows the summary Expenditure and Funding information by Directorate.

Adult Care and Housing (ACH) Capital Programme 2016/17 to 2017/18

- 3.61 The key element of the ACH programme is the Annual Housing Investment programme to maintain decency, carry out stock improvements, aids and adaptations and new stock provision, energy efficiency and environmental works to our 21,000 Council homes. These properties currently meet Rotherham decent homes plus standard and we continue to improve access and reduce CO2 emissions.

- 3.62 There have been significant national policy changes since the original Housing Investment Programme was set for 2016-17. These include a rent reduction of 1% per year for the period 2016-17 to 2019-20 and the introduction of a High Value Property Levy. As a result of these changes, there has already been a significant reduction in forecast income to the HRA. The pressures on HRA budgets will increase further once the Council has been informed from government how the High Value Property Levy will be calculated. Based on information published to date this may result in a charge of up to £3.5m per annum.
- 3.63 The policy changes in the Housing and Planning Bill and Welfare Reform Bill, will potentially also increase Right to Buy sales. Although this will generate capital receipts, over the longer term income to the HRA will reduce. This will mean there are fewer resources to invest in Council housing throughout the borough. As a result the Housing Investment Programme for 2016-17 has been reduced to reflect this. Alongside the review of capital costs the Housing Service are also embarking on a review of HRA revenue costs.
- 3.64 The Adult Care and Housing (ACH) Capital Programme 2016/17 forecast outturn is £31.573m, which represents a projected underspend of £2.681m, with a re-profiling of £2.583m of this expenditure into 2017/18. The key reasons for the underspend are detailed in Appendix 6, in particular there is a forecast underspend of £2.498m in respect of the Strategic Acquisitions programme, principally as a result of the fact that although talks are progressing with the landlord regarding the purchase of properties on the Little London estate, Maltby (£1.7m), this spend has been re-profiled into 2017/18. Requests for budget variations are shown in Appendix 6.
- 3.65 In addition, approval is sought for the Area Assembly Neighbourhood Investment Project (£140,000) to be added to the Capital Programme. This was one of the Stage 2 – Agreed in Principle projects that were part of the approved Capital Strategy. Proposals have now been developed for capital spend on public realm works, following consultation within each Area Assembly to identify the key neighbourhood issues that would benefit from small scale capital works.

Children and Young People's Services (CYPS) Capital Programme 2016/17 to 2017/18

- 3.66 The CYPS Capital Team's priorities for the available capital grant funding are;
- Schools to be kept safe, dry and warm for all pupils;
 - Sufficient pupil places for a rising population.
- 3.67 There are two main grant funding streams available, the details of which are below:

- School Condition Allocation is a grant fund that is devolved to local authorities to improve the infrastructure of the school estate in line with the local asset management plans. It places the emphasis on the local authority to prioritise essential building condition work within their school estate; which includes primary schools, secondary schools, special schools, City Learning Centres and Children's Centres. The projects which will benefit from this grant funding over the period are the capital maintenance projects. A budget is allocated each year and the individual school priorities are assessed according to need and the priority of keeping schools safe, dry and warm.
- Basic Need grant funding enables local authorities to provide additional school places to cope with growing numbers. This grant is allocated by the Department for Education (DfE) over 3 years and is in recognition of the unprecedented increase in pupil numbers being experienced by many local authorities.

3.68 The CYPS programme forecast outturn for 2016/17 is £8.209m, which represents a forecast underspend of £102,000. This reflects a re-profiling of expenditure on the Foster Care Adaptations project of £200,000 into 2017/18 and 3 small overspends on projects which are highlighted in Appendix 5. The total forecast planned expenditure over the remaining year of the programme is £10.172m, which represents an increase of £200,000 from the previous budget.

Finance and Customer Services

3.69 The Finance and Customer Services programme 2016/17 forecast outturn is £2.782m, which represents a forecast underspend of £127,000. The total planned expenditure over the remaining year of the programme is £622,000. Projects within this Directorate relate to the Council's ICT and Digital Strategy. The underspend relates to the Liquidlogic system implementation, the budget for which is currently being reviewed as plans progress to achieve go live on the Adult's system. Projects relating to the Council's Internet Firewall Replacement and Network Infrastructure Refresh, approved by the Cabinet and Commissioners Decision making Meeting of the 12th September 2016 will be added to the next monitoring report.

Regeneration and Environment

3.70 The key themes for capital expenditure within the Regeneration and Environment (R&E) Directorate include:

- Investment in Highways infrastructure projects and maintenance. This includes £2m investment in 2016/17 in the Borough's unclassified roads network, as part of a programme to permanently repair 50km of the network, building on the £3m investment in 2015/16 with works being clearly targeted at maximising the improvement to the durability and condition of the network.

- Works focussed on maintaining the operational functionality of Council-owned buildings such as office spaces, schools, markets, libraries and museums. This includes works to CYPs properties (£900,000).

3.71 The R&E forecast programme outturn is £15.831m, which represents an underspend of £94,000. This underspend has been re-profiled into 2017/18. In addition, there are a number of projects, referred to in Appendix 6, for which approval is sought to add them to the Capital Programme.

Funding of the Capital Programme

3.72 The tables below show the current forecast outturn position for the funding of the approved Capital Programme by Directorate; Current Year (2016/17), 2017/18 to 2020/21 and the 5 year period in total. This reflects the forecast underspend of £3.003m in 2016/17, with the re-profiling of the majority of this expenditure into future years. The principal changes are in respect of the timing of RCCOs and Prudential Borrowing, reflecting the re-profiling of the ACH projects.

Funding Stream	Current Year (2016/17)		
	Budget	Forecast	Variance
Grants And Contributions	16,967,883	17,056,342	88,459
Major Repairs Allowance	21,059,352	21,027,579	-31,773
Prudential Borrowing	12,803,181	12,437,227	-365,954
Revenue Contribution	8,159,490	5,465,685	-2,693,805
Usable Capital Receipts	2,408,731	2,408,731	0
Total	61,398,637	58,395,564	-3,003,073

Funding Stream	Future Years (2017/18 to 2020/21)		
	Budget	Forecast	Variance
Grants And Contributions	14,597,276	14,617,276	20,000
Major Repairs Allowance	20,946,000	20,948,452	2,452
Prudential Borrowing	7,592,033	7,866,033	274,000
Revenue Contribution	12,981,000	15,561,864	2,580,864
Usable Capital Receipts	1,100,000	1,100,000	0
Total	57,216,309	60,093,625	2,877,316

Funding Stream	Total 5 Years (2016/17 to 2020/21)		
	Budget	Forecast	Variance
Grants And Contributions	31,565,159	31,673,618	108,459
Major Repairs Allowance	42,005,352	41,976,031	-29,321
Prudential Borrowing	20,395,214	20,303,260	-91,954
Revenue Contribution	21,140,490	21,027,549	-112,941
Usable Capital Receipts	3,508,731	3,508,731	0
Total	118,614,946	118,489,189	-125,757

Pipeline Projects

3.73 The following projects were approved for inclusion in the Capital Programme at the Cabinet and Commissioners' Decision Making Meeting of 10 October 2016.

- Operational Property Investment Programme 2016/17 - £324,000
- Town Centre Regeneration – Acquisition of Forge Island
- Maltby Hall Infants School Demolition - £116,877

3.74 In addition, work has progressed on a number of projects that were included in the Capital Strategy (2016-2021), in particular as part of the Stage 2 – Agreed in Principle projects, for which reports are either on this agenda or will be presented to future Cabinet and Commissioners Decision Making Meetings.

These include :

Stage 1 : Approved Capital Programme

- Delivery of the Holmes Tail Goit Pumping Station

Stage 2 : Agreed in Principle

- Town Centre Regeneration - Riverside Precinct Acquisition
- Bassingthorpe Farm Development - £385,000
- Various Critical Building Condition Works
- Maltby Library Relocation

Stage 3 – Development Pool

- Critical Building Condition Works - Wath Montgomery Square

New Projects

- Upgrading of fluorescent street lighting to LED – Final Phase (Invest to Save Project)

3.75 Work is now progressing on the development of the town centre master plan, which will inform the additional schemes being put forward for consideration in respect of the £17m funding identified for town centre regeneration.

General Fund Capital Receipts Position as at 7th October 2016

- 3.76 The Council is continuing to undertake a comprehensive review of its assets and buildings portfolio with the aim of rationalising both its operational and non-operational asset holdings, which may contribute both to future capital receipts to support the revenue budget, using the new capital receipts flexibilities introduced from the 1st April 2016, the capital programme and generate revenue savings. Within the 2016/17 Revenue Budget, an assumption has been made that Capital Receipts of £2m will be generated in 2016/17, to fund expenditure relating to transforming Council services to generate future revenue efficiency savings. The table below provides the latest estimated General Fund capital receipts position as at 7th October 2016. There are £3.576m of brought forward uncommitted capital resources as at 1st April 2016. In addition, £17.625m of capital receipts were committed to part finance the capital expenditure plans set out in the approved Capital Strategy.

	2016/17	2017/18	2018/19	2019/20	2020/21	Total
	£000	£000	£000	£000	£000	£000
Uncommitted	3,576	0	0	0	0	3,576
Completed	590	0	0	0	0	590
Low Risk	1,072	175	0	0	0	1,247
Medium Risk	1,073	240	0	1,575	41	2,929
High Risk	454	1,700	4,280	1,030	0	7,464
Maximum Total Capital Receipts	6,765	2,115	4,280	2,605	41	15,806

- 3.77 As can be seen from the table above, the Council is on track to deliver the required £2m sales in 2016/17. The completed sales in the year to date include land at Rawson Road, (Eastwood), Maltby Craggs Nursery site and 49-53 St. Ann's Road. A major receipt is expected shortly from the sale of Greasbrough Road Depot.

4. Options considered and recommended proposal

- 4.1 With regard to the current forecast revenue overspend, further management actions need to be urgently developed and implemented within all of the Council's Directorates to mitigate the current forecast overspend.

5. Consultation

- 5.1 Budget Managers, Holders and Operators across the Council and the Strategic Leadership Team (SLT). In addition there are now a series of monthly budget challenge meetings taking place to review the forecast positions for each Directorate before they are finalised with the aim of improving the Council's overall forecast position. These involve each Directorate Management Team, the relevant Cabinet Members, the Cabinet Member for Finance and the Assistant Director of Finance

6. Timetable and Accountability for Implementing this Decision

- 6.1 Strategic Directors, Managers and Budget Holders will ensure continued close management and scrutiny of spend for the remainder of the financial year.
- 6.2 Financial Monitoring reports will be taken to Cabinet and Overview and Scrutiny meetings during the year. Planned Cabinet reports are:

12th December 2016

9th January 2017

13th February 2017 (Estimated Outturn)

7. Financial and Procurement Implications

- 7.1 There is currently a projected overspend of £8.6m after management actions and specific financial details and implications are set out within section 3 of this report. The situation is being closely monitored and a programme of budget challenge sessions have been implemented by the Chief Executive in order to ensure that budget holders manage their budgets responsibly and mitigating actions are taken to retrieve the situation. Further direct restrictions on spend are being considered in order to reduce the overspend as much as possible by the end of the financial year. A separate report on this agenda sets out investments proposals which will help to address this overspend position over time and help to reduce the demand cost pressure.
- 7.2 There is already a need to identify £42m of further savings and cost reductions over the next 3 years and the additional investment proposed in the other report on this agenda will increase that in the medium to long term. Recognising the likely need to use reserves to fund some of this in the short term, the Council's current financial (financing) plans are being reviewed to consider a variety of options for re-profiling the current planned use of reserves and to identify any areas of spend that can be properly capitalised in order to reduce the pressure on the revenue budget. There will be choices in this regard all with different implications on the Medium Term Financial Plan and respective annual budget gaps. Proposals will be brought back to Cabinet once there is a decision in relation to the proposed investment on this agenda and when there is a clearer view of likely outturn/financial recovery for the current year. A full review of all financial planning assumptions is also underway in order to enable a clear view of the financial horizon and inform future decisions.

8. Legal Implications

- 8.1 No direct implications.

9. Human Resources Implications

- 9.1 No direct implications.

10. Implications for Children and Young People and Vulnerable Adults

- 10.1 No direct implications.

11 Equalities and Human Rights Implications

11.1 No direct implications.

12. Implications for Partners and Other Directorates

12.1 No direct implications. As management actions are developed some of these may impact Partners. Timely and effective communication will therefore be essential in these circumstances.

13. Risks and Mitigation

13.1 At a time of economic difficulty and tight financial constraints, managing spend in line with the Council's Budget is paramount. Careful scrutiny of expenditure and income across all services and close budget monitoring therefore remain a top priority if the Council is to deliver both its annual and medium term financial plans while sustaining its overall financial resilience.

13.2 Any potential further cost of CSE claims over and above that already provided for in the 2015/16 accounts or identified in-year to date is not included in this report.

13.3 Potential pressures on the winter maintenance budget arising from adverse weather are not reflected in this report.

13.4 Although both Council Tax and Business Rates collection levels are on target there is a minimal risk that this could change during the remaining months of the year.

13.5 The Council's 2016/17 Budget included a requirement to fund the first £2m of severance costs from in-year capital receipts. The forecast level of receipts for 2016/17 is circa £3.1m however the confirmed level of capital receipts for the first six months of 2016/17 is £590k, of the remaining £2.510m still to be achieved £2.145m is viewed as being medium or low risk.

13.6 The current forecast outturn position is predicated on the delivery of management actions totalling £3.781m, split across Children & Young People's Services £1.865m and £1.916m within Adult Care & Housing. The recovery plan at Appendix 2 provides an update on current progress made in the delivery of the action plans however it should be noted that any failure to deliver upon these will result in an increased forecast overspend position.

14. Accountable Officer(s)

Pete Hudson – Chief Finance Manager

Approvals Obtained from:-

Strategic Director of Finance and Customer Services:- Judith Badger

Assistant Director of Legal Services:- Dermot Pearson

This report is published on the Council's website or can be found at:-

<http://moderngov.rotherham.gov.uk/ieDocHome.aspx?Categories=>

Directorate:

Children & Young People's Services

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Evolve (Child Sexual Exploitation)	248		Staffing	Presently vacant posts are being covered via agency & interim staff whose costs are greater than the substantive budgets for these vacant posts.
First Response	756		Staffing	Presently vacant posts are being covered via agency & interim staff whose costs are greater than the substantive budgets for these vacant posts, 689k. There are also overspends on interpretation costs £27k
Locality Social Work Teams	1,241		Staffing, Direct payments	Pressure of using agency staff in the interim period until vacancies are filled is £1.072m. There is also a £169k forecast pressure from demand for Direct Payments from both an increase in number (currently 83 cases) and from clients who have more complex needs. This forecast allows for the recruitment and appointment of the additional newly Qualified Social Workers.
Safeguarding Services	769		Staffing	Presently vacant posts are being covered via agency & interim staff whose costs are greater than the substantive budgets for these vacant posts.
Directorate and Social Care Management	573		Staffing, supplies & services	Staff cost pressure from interim costs, additional temporary recruitment of staff (£325k), additional recruitment costs (£181k) and additional HR recruitment staff (to March) (£86k)
Looked After Children	3,859		Placements, staffing, allowances, supplies & services	Overspend includes £1.082m for Independent Fostering Placements due to the forecast requirement for 150 placements against a budgeted provision of 115. There are pressures on inter-agency adoption fees £201K and £261K on Special Guardianship Orders due to an increase in the number of adoptions and placements with family members. Additionally there are pressures of £549K for Fostering Allowances and £383K Leaving Care Allowances. There is also the pressure of vacant posts being covered by agency and interim staff in the short to medium term whilst permanent positions are filled (£1.383m). The forecast allows for an increase in the number of Looked After Children to 460 by March 2017 and provides for more higher cost 16+ placements.
Legal Costs	270		Legal	Additional demand for legal work from an increasing number of care proceedings (based on 130)
Inclusion Services	364		Placements	Contribution towards 9 Complex Needs placements which are social care related

Directorate:

Children & Young People's Services

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
School Effectiveness	258		Income	Reduced income assumptions as traded activities have not generated sufficient resources to meet budgeted figures
Commissioning, Performance & Quality	288		Staffing, supplies & services	Business Support staffing pressure being addressed through the CYPS Business Support review which it is expected will, into 2017/18, both address the pressure and deliver further savings. Additionally there are forecast pressures from the CSE support contracts costs.
Directorate Wide Costs	196		Staffing, pensions	Forecast staff cost pressure of £176k for interim staff and £20k pension costs (former employees)
Other	34		Various	Various minor overspends across the directorate
Residential Review		-750	Various	Savings expected to achieve in year including the saving from the closure of St Edmunds.
Early Help Localities		-258	Staffing, Supplies & services	Strategic Director has requested in year savings targets to be set against the Children's Centres. Forecast savings due to delays in recruitment & essential spend only on supplies
Total	8,856	-1,008		
Net Under/Overspend	7,848			

Directorate:

Adult Care & Housing

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
<u>Adult Social Care</u>				
Adults General	53		staffing & Income	Forecast staff cost pressure due to extension of employment contract for agency worker to end December 2016 as part of the Adults Development Programme.
<u>Older People</u>				
Independent Residential Care	420		Third Party Payments	Budget pressure due to reduction in Care Act funding (£371k). Although, the overall number of placements are reducing (-70), the average net cost to the Council for nursing care package is increasing for around 200 service users. A shortfall on Continuing Health Care income is being offset by increasing income from charges on property.
Direct Provision residential Care		-30	Supplies & Services	Forecast underspend from efficiencies on non pay budgets
Enabling/Domiciliary Care	1,051		Third Party Payments	Continued increase in average weekly cost of Domiciliary Care due to additional demand (56 users), impact of national living wage plus recurrent budget pressure in respect of income from fees and charges (charges are based on financial assessments and currently 58% of clients do not pay towards the cost of their care).
Assessment & Care Management		-152	Staffing & Income	Non recurrent Health Funding brought forward from 2015/16 & higher than anticipated staff turnover
Direct Payments	1,169		Third Party Payments	Full year impact of 39% increase in clients in 2015/16, reduced by Better Care Funding (£500k). Increase in client base is due to a mixture of demographic pressures and clients moving from a Domiciliary Care Contract, in total this has seen 180 new clients. There has also been an additional net increase of 20 new clients from April (+4%). Reviews being undertaken by a Task Group are resulting in some reductions in individual care packages. Action being taken to review packages & reduce overall costs.
Extra Care/Day Care/Transport		-49	Staffing and Income	Higher than anticipated staff turnover. Forecast includes potential additional Income generated if proposed increase in charges are agreed after consultation (-£35k).
Client Community Support		-31	Staffing	Higher than anticipated staff turnover

Directorate:

Adult Care & Housing

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
<u>Learning Disabilities</u>				
Supported Living		-215	Staffing	Higher than anticipated staff turnover on a number of schemes
Residential Care		-592	Third Party Payments & Income	Includes anticipated outcome of the review of high cost placements. The current forecast underspend is based on actual expenditure and activity for the 1st quarter, less the calculated impact of service review and an increase in the level of Continuing Health Care income recoverable by the service. This is reduced by additional cost of service as savings are implemented and current cost of cover increases. Includes Management Actions of £1.380m.
Day Care		-162	Staffing	Higher than anticipated staff turnover. Service under review as part of Adults Development Programme
Direct Payments		-26	Third Party Payments	Full year impact of 30% increase in clients in 2015/16 offset by further savings as a result of management actions to review managed accounts (£40k). Additional 16 service users since April 2016 (+7%).
Domiciliary Care/ community support		-5	Third Party Payments	Decline in demand for community support plus budget pressure on fees & charges
Assessment & Care Management	96		Third Party Payments	Forecast staff cost pressure from use of agency staff to undertake review of care packages
<u>Mental Health</u>				
Independent Residential Care	463		Third Party Payments	Full year impact of high cost placements in 2015/16, including transfer of cost of a Rotherham resident placement by a Neighbouring Authority and loss of Continuing Health Care funding for another placement. A further 2 placements since April.
Direct Payments	258		Third Party Payments	Full impact of 12% increase in demand in 2015/16 plus loss of one -off funding from Public Health. Includes expected savings as result of reviewing managed accounts (-£68k). Additional 7 service users since April (+5%).
Day Care/Community Support		-19	Staffing & Third Party	Contract Efficiency Savings & Higher than anticipated staff turnover

Directorate:

Adult Care & Housing

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Assessment & Care Management		-118	Staffing	Higher than anticipated staff turnover
<u>Physical & Sensory</u>				
Direct Payments	923		Third Party Payments	Full impact of 10% increase in demand in 2015/16 plus additional increase of 21 clients since April 2016 (+7%). Includes savings as a result of management actions to review managed accounts (£238k).
Independent Residential Care	547		Third Party Payments	Full year impact of significant increase in client numbers in 2015/16 (12 placements, 5 new clients plus loss of Continuing Health Care funding for 7 clients).
Domiciliary Care	7		Third Party Payments	Initially a decrease in client numbers (-7%) but now seeing a steady increase starting to emerge from September, also an increase in the average cost .
Day Care/Equipment/Advice & Information		-116	Third Party Payments/Supplies and Services	Reduction in demand for Independent Day care plus savings from alternative provision of some day care services
<u>Safeguarding</u>		-74	Staffing & income	Higher than anticipated staff turnover & additional income recovery from Court of Protection
<u>Housing Related Support</u>	76		Third Party Payments	Forecast shortfall in achieving 2016/17 budget savings on service contracts, partially offset by other minor variances
<u>Commissioning & Performance</u>	77		Staffing	Forecast pressure from employment of temporary staff in commissioning plus reduction in contribution from HRA.
<u>Housing</u>				
Strategic Housing Investment	10		Staffing	Small forecast overspend due to lower than anticipated staff turnover
Housing Options		-410	Staffing/Income	Delay in recruitment to vacant post plus increase in fee income in respect of Furnished homes scheme
Neighbourhood Partnerships		-44	Staffing	Recruitment to staff vacancies on hold pending review of Area Assembly and Community Cohesion services

Directorate: Adult Care & Housing

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Total	5,150	-2,043		
Net Under/Overspend	3,107			

Directorate:

Regeneration & Environment

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Business Unit				Service Total (£k) overspend
Business Unit		-15	Staffing	A small pressure due to agency costs will be funded by use of some one off monies, as per DMT decision 23/9/16. An earlier DMT decision has been implemented to offer a reduced training programme to contribute towards a balanced position for R&E.
Community Safety & Street Scene				Service Total (£k) overspend
Network Management		-64	Staffing, Supplies and Services & Income	There are currently expected savings from Street Lighting -£66k, a forecast surplus on Parking income -£12k, and staff savings due to vacant posts in Streetworks -£5k, which are reduced to -£64k for the service area, due to an unachievable vacancy factor and other small pressures +£19k. The Street Lighting savings are generated through reduced energy bills following the capital improvement works to the authority's Street Lamps. As in previous years there is a risk that the Winter Service budget will over spend (weather dependant).
Street Scene Services	166		Staffing, Supplies and Services & Income	The service is forecasting and overspend overall due to a pressure of 203k within Corporate Transport Unit due to delayed implementation of the savings proposals (£76k), and Home to School Transport (£85k) due to new term changes in demand. Further requests are being worked through for this service, with a review of service provision to take place shortly. Further pressures within this area are due to unachievable income targets relating to taxi assessments and driver training which were part of the savings targets for 2016/17. The overspend is offset partially by Cleansing and Grounds Services net position, a -£37k underspend from savings on the Community Services group account -£27K as a result of the vacant Grounds Maintenance Manager post, staff savings in Cleansing -£5k and a review of non pay budgets in Grounds Maintenance -£5k.

Directorate:

Regeneration & Environment

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
CSS Corporate Accounts	82		Staffing	Overall forecast £82K overspend on Community Safety & Streetscene Corporate accounts due to the delayed implementation of a staffing restructure in relation to M3 Manager posts and a budget virement to Love My Streets to fund a new co-ordinator post. The swing from last month is as a result of the new Street Scene Manager costs being factored in wef October 2016 and the Love My Streets account (which has a small underspend) moving under the Street Scene - Cleansing Services part of the structure as requested by the Assistant Director of CSS.
Community Safety		-7	Staffing	Overall reporting -£7K underspend on Community Safety, this is due to a budget staff cost saving on a Domestic Violence post being filled wef October (post transferred into RnE this month). Anti-Social Behaviour is showing a forecast +£1K overspend.
Business Regulation	23		Staffing	Staff cost pressures remain in Licensing as a result of the high vacancy factor and the use of agency staff +£98k, with all appointments likely to be completed by December 2016. This pressure is partially mitigated by staff savings within Food, Safety and Animal Health & Safety -£37k, and Trading Standards -£33k. In addition Bereavement Services has a forecast underspend of -£5k due to reduction in the expected spend on essential maintenance at chapels/cemeteries.
Safer Neighbourhoods		-267	Staffing & Supplies and Services	Forecast underspend on Community Protection -145k due to the service carrying a number of vacant posts, approval has now been granted to advertise for these vacancies (recruitment to be completed by January 2017). In addition there is a forecast recovery on income of -92k for selective licensing that was not built into the services budget. Across the services there are a number of non-pay savings -13k.
EP & Health & Safety		-42	Staffing	Forecast saving due to due to vacant posts within Emergency Planning (-£14k) and within Health & Safety (-£28k).
Culture, Sport & Tourism				Service Total (£k) overspend

Directorate:

Regeneration & Environment

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Green Spaces	99		Premises & Income	Rother Valley Country Park (RVCP) income assumptions have been reviewed, there is now a forecast overspend of +£56k, due to unachievable prior year savings and anticipated shortfall on parking income. There are some other small forecast pressures across the wider Green Spaces on repairs +£27k. Small forecast staff cost pressure
Sports Development	1		Staffing	
Leisure Facilities	0	0		Forecast over recovery of income on rechargeable tree works -£12k and reduced staffing costs -£10k. Anticipated income shortfall £6k due to reduced staffing hours.
Trees & Woodlands		-22	Staffing & Income	
Landscape Design	6		Income	The key pressure shown here relates to Libraries savings proposals (EDS 5) the majority of which will now be implemented in 2017/18. This is partially offset by reduced staffing costs mainly due to the new Assistant Director post remaining vacant, together with other vacant posts across management.
General Management	216		Staffing	
Heritage Service		0		Forecast saving due to some vacant posts, which are now being advertised. Forecast saving due to staff savings by not filling of vacant posts, however, a pressure of +£24k would be realised if approval to use the museum reserve is not agreed.
Theatres		-40	Staffing, Supplies and Services & Income	
Museum, Galleries & Archives		-14	Staffing & Supplies and Services	
Tourism	0		Income	

Directorate:

Regeneration & Environment

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Libraries		-133	Staffing & Supplies and Services	Post consultation a review of budgets has yielded an increased forecast underspend, predominantly due to vacancies and a reduced use of relief pay budgets -£45k. In addition the materials fund is forecast to save -82k at this stage in the financial year.
Management		-2	Supplies and Services	Forecast saving on non pay budget following review of budgets
Customer Services		-55	Staffing, Supplies & Services and Income	Net forecast underspend following consultation work -55k. There is now a forecast staff saving of -£16k, and expected additional HRA and DWP funding -£39k.
Planning, Regeneration & Transportation				Service Total (£k) overspend
Estates	29		Staffing & Income	Forecast pressure from reduced capacity for income generation due to a vacant post +£16k, and an increase in the amount of non fee earning jobs undertaken by the team. In addition there are a number of properties projecting and under recovery of income +£13k.
Facilities Management		-313	Premises & Income	Net forecast saving from Land and Property Bank, -£265K underspend due to reduced estate (buildings being vacated and/or sold) this forecast includes -£42K movement from dilapidations provision for Phoenix Riverside/Innovations Centre. Facilities Management Team - £41k underspend (pay vacancies) and Corporate Property Portfolio -£42K underspend. These savings are reduced by a pressure on Community Buildings +£42K from a delay in the planned closure programme and a historic unachievable savings target.

Directorate:

Regeneration & Environment

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Building Design and Corporate Projects	42		Income	This projection is based on known current workload. Until major capital expenditure decisions are made future workload is unpredictable. This is an ongoing long term pressure due to reduced workload and planned and unplanned changes to the team. A Trading Review is underway to assess long term issues regarding traded services.
Corporate Environmental Team	13		Staffing & Supplies and Services	Forecast pressure from a Carbon Reduction payment being +£7k higher than budgeted and a staff cost pressure +£6k.
Children's Capital Team	1		Staffing	Forecast staff cost pressure
Corporate Property Management	4		Staffing	Forecast staff cost pressure
CYPS Property	3		Premises related costs	High levels of reactive maintenance and increased costs of building cleaning offset by savings on closed properties. Any unforeseen reactive works to the year end could increase this overspend.
EDS Property	25		Premises related costs	Currently forecasting an overspend, mainly due to increased costs of building cleaning, and a shortfall on the budget for the RAIN Building.
NAS Property		-30	Premises related costs	Forecast savings due to closure of buildings and savings on utility bills, should mitigate energy savings offered and charged within the corporate landlord portfolio.
Regeneration/Economic Development	19		Income	Small forecast pressure against budget, relating to rental properties across the Town Centre.

Directorate:

Regeneration & Environment

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Managed Workspace (Business Centres)	0		Premises related costs	DMT decision to ensure these budgets are balanced by the financial year end.
Management	4		Staffing	Small variance due to increased costs on Employer Liability Insurance
Markets	0	0		Balanced budget position expected due to pending new contract for Thursday Market and resulting savings.
Planning & Building Control	100		Supplies & Services and Income	£100k pressure anticipated due to reduced Development Control income. Steps being taken across the board to reduce this pressure where possible which has seen a £17k improvement from last month. Budget officers investigating the possibility of capitalising the purchase of the CIL software which could realise a further £25k reduction.
Rotherham Investment & Development Office (RIDO)		-262	Income	DMT Star Chamber (3/8/16) - decision taken to forecast a -£286k saving, by use of -£86k balance sheet monies, funding from Department of Business Innovation and Skills (BIS) -£193k and a small staff saving -7k. This underspend is offset by staff cost pressures, and non-pay pressures.
Transportation	172		Staffing and income	There are two main reported pressures within Transportation, £151k in Highways as a result of reduced fee earning work being brought forward, and a pressure of £42k as a result of the use of agency staff for the interim management cover. These pressures are offset by a saving from a vacant post.
Services Transferred from CYPs during September				
Facilities Services		-138	Staffing and income	Reduced staff costs and additional income generation.
School Crossing Patrol		-27	Staffing	Service making use of relief staff and minimal cover, on a risk assessment basis, rather than recruiting to vacant posts, in anticipation of future years savings.
Total	1,008	-1,432		

Directorate: Regeneration & Environment

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Net Under/Overspend	-425			

Directorate:

Assistant Chief Executive

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Outturn Variance 2016/17		Nature of under/overspend: (e.g.. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Communications and Media	64		Staffing & Supplies & Services	Unfunded Systems & Subscription costs £33k, staff pressures due to maternity leave/contract extension offset by in year vacancies £21k. There is also an under achievement on income from Design studio £10k.
Democratic Services		-86	Staffing, supplies & services, income & Members allowances	Additional staff cost pressure £35k, estimated under achieved Town Hall Catering income £38K. These pressures are offset by savings on members allowances, national insurance/pension payments and projected underspend on room hire/hospitality/travel costs for members -£154k, in addition there is a projected underspend on Town Twinning Events - £5k.
Human Resources (HR) & Payroll - Corporate Services	28		Staffing, supplies & services, income	There are staff pressures of 84k due to interim management arrangements, maternity cover costs, costs relating to Trade Union staff and cost of advertising Head of HR post. There is also a loss of income from schools £35k. However these pressures are offset by forecast additional income on the council's salary sacrifice schemes and recharges on staff advertisement -£91k.
HR & Payroll - Service Centre	5		Staffing, supplies & services, income	Loss of traded income from schools £20K, unachieved income on VAT reclaim from mileage receipts £20K and loss of income due to drop in demand for DBS checks £7k. Pressures on the printing/postages and contracted services budgets £35k. These pressures are partially offset by underspends on salaries due to vacant posts, maternity leave and staff working less than contracted hours -£77k.
Policy and Partnerships		-93	Staffing, income	Staff underspend due to vacant posts -£64K, and use of additional 1 year funding from the Local Government Association (LGA) -£29K.
Directorate Wide	82			Additional forecast staff costs across the Directorate.
Total	179	-179		
Net Under/Overspend	0			

Directorate:

Finance & Customer & Corporate Services

Appendix 1

Budget Monitoring Period: Forecast Outturn as at September 2016

Service	Outturn Variance 2016/17		Nature of under/overspend: (e.g.. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
ICT	50		Supplies and services	Forecast non-delivery of budget saving in respect of sale of capacity in data centre.
Legal Services	20		Staffing	Staff cost pressure
Elections		-47	Staffing & Supplies & Services	Staff cost pressure £16k, projected overspend on postage £9k, offset by underspend on Municipal election due to shared May election -£72k
Statutory Costs	120		Supplies and services	Forecast overspend due to volume of statutory notices/planning notices.
Business Unit	71		Staffing & Income	Forecast staff cost pressure throughout the accounts £9k, plus an unachievable income target relating to Central Print and Planned Print £100k. This is partially offset by a vacant post -31K and underspend against Corporate mail room costs -£7k.
Procurement		-44	Staffing, Supplies & Services & Income	Underspend on salaries due to vacancies within the team less cost of advertising -£64k, additional income relating to System Management Fee -£5k, these underspends are offset by costs associated with service review £25k
Financial Services	0	-26	Staffing, Supplies & Services & Income	Staff cost pressures mitigated by vacancies -£18k and lower than anticipated pension charges (former employees) -£16k. Other supplies & services costs £13k, offset in part by underspend on training budget -£5k.
Revenues & Benefits		-107	Staffing	Forecast underspend due to vacancy control and maximising grant income flexibility across the Directorate
Internal Audit & Insurance		-37	Staffing	Forecast underspend from staff vacancies
Total	261	-261		
Net Under/Overspend	0			

Recovery Plan

This recovery plan sets out management actions that are being implemented in order to achieve the current forecast overspend within Children & Young People's Services £7.848m, and Adult Care & Housing £3.107m. It provides a progress report on each of the management action plans including the value delivered to date, with an indication of the expected level that can be achieved by the end of the financial year. Separately it lists any further proposals that are being reviewed and considered that may enable the forecast overspend to be reduced further.

Children & Young People's Services £7.848m (management actions built into forecast £1.865m)

Management Action Proposal (Included within forecast outturn)	Planned Impact £'000	Progress update	Estimated Impact £'000
The Residential Homes review is expected to release £750k over 2016/17 to support some of the wider LAC budget pressures.	750	Value delivered is the full £750k	750
School Improvement Service (SIS) had been facing a budget pressure of £600k due to the loss of grant funding. This has been mitigated through income generation and some cost reduction where possible without impacting service delivery, reducing the pressure down to £258k	342	Income levels are secure to March 2017.	342
In-year savings in Early Help of £403k from vacancy management and a firm control over non-essential expenditure will contribute to the SIS gap above and to reducing other budget pressures in	403	This is the full year forecast – any recruitment to vacancies due to business need before the end of March 2017 would risk achieving the full £403k.	403

social care			
Spending freeze on the CYPS workforce development budget of £70k is being used to help fund the necessary spend over budget on social care business support	70	Risks include a lack of staff development at a critical time for the service. The decision was linked to an investment bid for consideration in future years, which if not approved could have an adverse impact on this freeze due to the need to provide a minimum training offer.	70
Staffing projections include the forecast release of agency staff before the end of the financial year which will reduce cost by £300k	300	Recruitment to experienced social worker posts has been successful over the summer (6). In addition 22 newly qualified social workers will start work this month which will enable a phased release over the next twelve months of agency staff.	300
Total Value of Management Actions	£1,865		£1,865

Adult Care & Housing £3,107m (management actions built into forecast £1.916m)

Management Action Proposal (Included within forecast outturn)	Planned Impact £'000	Progress update	Estimated Impact £'000
Review of high cost residential placements predominantly within Learning Disability Services. The number of placements and cost is significantly higher when compared with other Councils of similar size and demographics	1,215	<p>To-date the review process has realised £165k savings in respect of reduced cost of care packages.</p> <p>To review out of borough placements in line with national guidance "Better Care Together" and ensure it is still in best interests of individuals and best value.</p> <p>The key driver within the Adult Care Development Programme is to continue to reduce all permanent admissions to residential care including directly from hospital (currently 169 placements). This will involve developing new care models such as additional Supported Living capacity across the borough.</p> <p>Investment into a Brokerage team would enable in year savings in relation to negotiating lower new packages and would assist in negotiations on price with providers in a consistent way.</p>	1,215
Review all service users currently receiving a Managed Account with the offer of receiving a Direct Payment from a provider on the commissioned framework	666	To work actively with customers to move to a classic direct payment and ensure best value.	666
Increase charges for attendance at	35	The proposal is to increase the charges for day care and the provision	35

Council Day Care Services including transport to and from the centre		of transport on a phased basis with the aim of achieving full cost recovery. A consultation with users and carers on the proposals was completed at the end of September and a further report is to be considered by Cabinet in November on the implementation of the proposals. If approved the saving will be achieved in 2016/17.	
Total Value of Management Actions	£1,916		£1,916

In addition to the identified management actions included within the current forecast outturn there are further work streams being reviewed in order to address the remaining forecast overspend. At present these have not been included within the current forecast overspend.

Management Action Proposal (Not presently included in forecast outturn)	Planned Impact £'000	Progress update	Estimated Impact £'000
Strategic Director will be meeting all managers down to Team Manager level, to outline budget position and discuss expectations in managing costs and also to seek their views on what is creating the costs.	0	Date arranged – 10 th of November 2016	
12 high cost cases have been given to the CHC (Social Care) team to reassess where there is an expectation of CHC income. Performance & Quality will be monitoring these cases in relation to process, effort and outcome.	250	A dedicated team within Adult Services has been established to review the awarding of Continuing Health Care Funding (CHC). A Strategic Board and Operational group comprising of officers from RCCG, Children's and Adult Social Care has now been established to review the process and challenge CHC funded packages. This will be reported on next month.	250

Housing are also been advised to assist with the recovery of the forecast overspend including looking at all underspends to impact the bottom line of the ACH directorate	100	Manage budgets and ensure spend only when necessary.	100
Other management actions are being identified and the recovery plan will be updated on a weekly basis			
Total Value of Management Actions	2,266		2,266

Budget Savings being delivered via alternative means to those approved in March 2016

Directorate	Budget Year	Savings Reference	Savings Description	Alternate means of delivery	2016/17 £'000	Recurrent OR Non-recurrent
Regeneration & Environment	2014/15	SP 5	Waste Service - Undertake a fundamental re-design of waste operations	Specific saving not yet achieved - South Yorkshire wide review of waste services and waste strategy currently being undertaken. In addition, undertaking a review of all Waste budgets. Saving will potentially be achieved from savings in the Waste PFI budget.	200	(Proposed action is non-recurrent at this stage. Once the review outcome has been determined the proposed method of delivering this saving from 2017/18 will need to be brought to Cabinet for consideration and approval).
	2014/15	SP 9	Corporate Transport Unit - Increase the expected vehicle life, spreading the acquisition costs	Savings in plant account achieved through a combination of new lease agreements and fuel savings	250	Recurrent
	2014/15	SP 13	Rother Valley Country Park - invest to save proposals including caravan park & associated facilities	Caravan park proposals not yet agreed, so specific saving will not be achieved in 2016/17. On the basis of income to the end of September a residual pressure of £56k is currently forecast. It is proposed that if it is determined that the additional income is not deliverable and on a sustained basis, an alternative basis for delivering the saving will be brought to Cabinet for consideration	120	Non-recurrent

				and approval. Overall, the Directorate is forecasting an underspend as at the end of September of £425k so this pressure is fully mitigated in year.		
	2016/17	EDS 11A	Conversion to an un-manned facility at All Saints Toilets	Report to go to Cabinet 14th November 2016. Overall, the Directorate is forecasting an underspend as at the end of September of £425k so this pressure is fully mitigated in year.	6	
	2016/17	EDS 16B	A Reduction in construction capacity and fee earning staff. This requires an ongoing review alongside the Capital Strategy to ensure capacity exists to deliver the Capital Programme.	Saving not deliverable in full due to the reduction in capital expenditure across the Council impacting on Service income generation. (£42k forecast residual pressure) Overall, the Directorate is forecasting an underspend as at the end of September of £425k so this pressure is fully mitigated in year.	95	
	2016/17	EDS 20G	Revert to an alternate week collection on domestic refuse at Christmas / New Year	Christmas / New Year Collections has been agreed. An additional £11k cost forecast for 2016/17. Overall, the Directorate is forecasting an underspend as at the end of September of £425 so this pressure is fully mitigated in year.	30	
Finance & Customer Services	2016/17	RES 08B	The Council's data centre at Riverside House meets the highest standards of	Options to generate income to be developed. As at the end of	50	Non-recurrent

			security. It was originally configured by the RBT strategic partnership and provided an asset that contains spare capacity which, because of the level of security it provides, is attractive to others requiring space to store their systems. A current review confirms there is a market for secure data facilities, and this proposal is to sell spare capacity that exists within the data centre.	September the Directorate is forecasting a balanced outturn so this pressure is fully mitigated in year.		
Finance & Customer Services	2016/17	RES 08C	This proposal is to reduce overtime costs by carrying out some 'patching' work in normal working hours. Major systems could be excluded, with patching for these still done out of normal working hours.	It is proposed that this saving will now be delivered through the overall service restructure	30	Recurrent
Finance & Customer Services	2016/17	RES 08D	This proposal would replace 24/7 support with extended support during weekdays (e.g. 7am to 7pm Monday to Friday).	It is proposed that this saving will now be delivered through the overall service restructure	15	Recurrent
Assistant Chief Executive	2016/17	RES 18A	Town Hall Catering - End the practice of serving hot lunches at the Town Hall and instead use Riverside House café. Reduce staffing from 6 (3.4 fte) to 3 (1.86 fte). Provision of the lunchtime special to Riverside House café to be provided from an alternative Council catering facility (Facilities Management to determine)	The provision of the lunchtime special at the Riverside House café ceased at the end of August 2016. The practice of serving hot lunches at the Town Hall has not ceased as it remains the only income generating opportunity available to attempt to meet the income target that remains against this budget. The Corporate	55	

				Catering Review will form the basis of how to proceed in respect of the catering service at the town hall. As at the end of September the Directorate is forecasting a balanced outturn so this pressure is fully mitigated in year.		
--	--	--	--	--	--	--

Appendix 4 - Summary Capital Report as at 30th September 2016

Expenditure:

Directorate	Service	Service Area	Sub Service	Current Year			Future Years															Total Project				
							2017/18			2018/19			2019/20			2020/21			Remaining Future Years							
				Budget	Forecast	Variance	Budget	Forecast	Variance	Budget	Forecast	Variance	Budget	Forecast	Variance	Budget	Forecast	Variance	Budget	Forecast	Variance	Budget	Forecast	Variance		
Children & Young Peoples Serv	CYPS - RMBC	Schools	Schools PFI Life Cycle Program	1,660,000	1,660,000	0	1,577,000	1,577,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,237,000	3,237,000	0	
			Schools - Spcls - Major	500,000	500,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	500,000	500,000	0	
			Schools - Capitalised Enh	1,800,000	1,800,000	0	1,700,000	1,700,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,500,000	3,500,000	0	
			Schools - Secs - Major	41,238	41,238	0	2,500,000	2,500,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,541,238	2,541,238	0	
			Schools - Prims - Major	2,126,160	2,226,033	99,873	2,650,000	2,650,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,776,160	4,876,033	99,873	
			Schools - PRUs	500,000	500,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	500,000	500,000	0	
			Total	6,627,398	6,727,271	99,873	8,427,000	8,427,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15,054,398	15,154,271	99,873	
		Other CYPS	Kitchens - Schools	115,000	113,086	-1,914	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	115,000	113,086	-1,914
			Children & Families	1,031,210	831,210	-200,000	1,006,803	1,206,803	200,000	0	0	0	0	0	0	0	0	0	0	0	0	0	2,038,013	2,038,013	0	
			Total	1,146,210	944,296	-201,914	1,006,803	1,206,803	200,000	0	0	0	0	0	0	0	0	0	0	0	0	0	2,153,013	2,151,099	-1,914	
	DFC	DFC - RMBC	DFC - RMBC all	537,528	537,528	0	538,000	538,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,075,528	1,075,528	0	
			Total	537,528	537,528	0	538,000	538,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,075,528	1,075,528	0	
			Total	537,528	537,528	0	538,000	538,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,075,528	1,075,528	0	
		Total	8,311,136	8,209,095	-102,041	9,971,803	10,171,803	200,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18,282,939	18,380,898	97,959	
	Regeneration & Environment	Planning, Regen & Transport	Transportation & Highways	Connectivity	795,000	795,000	0	430,000	430,000	0	0	0	0	0	0	0	0	0	0	0	0	0	1,225,000	1,225,000	0	
Bridges				624,795	624,795	0	562,204	562,204	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,186,999	1,186,999	0	
Network Management				400,000	400,000	0	420,000	420,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	820,000	820,000	0	
LSTF & Smarter Choices				60,000	60,000	0	25,000	25,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	85,000	85,000	0	
Major Schemes				1,536,052	1,462,052	-74,000	1,149,000	1,223,000	74,000	983,677	983,677	0	296,581	296,581	0	0	0	0	0	0	0	0	3,965,310	3,965,310	0	
Sustainable Tran Exemplar Prog				1,232,000	1,232,000	0	714,000	714,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,946,000	1,946,000	0	
Local Safety Schemes				525,000	525,000	0	350,000	350,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	875,000	875,000	0	
Total				5,172,847	5,098,847	-74,000	3,650,204	3,724,204	74,000	983,677	983,677	0	296,581	296,581	0	0	0	0	0	0	0	0	10,103,309	10,103,309	0	
Regen			Physical Regeneration	6,000	6,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,000	6,000	0	
			Total	6,000	6,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,000	6,000	0	
Corp Property Unit			Corporate Property Cap Proj	793,520	794,008	488	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	793,520	794,008	488	
			Total	793,520	794,008	488	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	793,520	794,008	488	
Business Retail & Investment			Investment	76,866	76,866	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	76,866	76,866	0	
			Total	76,866	76,866	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	76,866	76,866	0	
Total			6,049,233	5,975,721	-73,512	3,650,204	3,724,204	74,000	983,677	983,677	0	296,581	296,581	0	0	0	0	0	0	0	0	0	10,979,695	10,980,183	488	
Culture, Sport & Tourism		Leisure & Community Service	Green Spaces	161,047	141,047	-20,000	0	20,000	20,000	0	0	0	0	0	0	0	0	0	0	0	0	0	161,047	161,047	0	
			Total	161,047	141,047	-20,000	0	20,000	20,000	0	0	0	0	0	0	0	0	0	0	0	0	0	161,047	161,047	0	
		Cultural Heritage & Sports	Libraries	576,954	576,954	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	576,954	576,954	0	
			Total	576,954	576,954	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	576,954	576,954	0	
		Total	738,001	718,001	-20,000	0	20,000	20,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	738,001	738,001	0	
Community Safety &Street Scene		Network Management	Highways Delivery	5,883,020	5,883,020	0	2,956,000	2,956,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,839,020	8,839,020	0	
			Street Lighting	1,388,201	1,388,201	0	896,616	896,616	0	890,069	890,069	0	172,759	172,759	0	0	0	0	0	0	0	0	3,347,645	3,347,645	0	
			Drainage	1,788,615	1,788,615	0	30,000	30,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,818,615	1,818,615	0	
			Total	9,059,836	9,059,836	0	3,882,616	3,882,616	0	890,069	890,069	0	172,759	172,759	0	0	0	0	0	0	0	0	0	14,005,280	14,005,280	0
		Safer Neighbourhoods	Safer Neighbourhoods	77,631	77,631	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77,631	77,631	0	
			Total	77,631	77,631	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77,631	77,631	0	
		Total	9,137,467	9,137,467	0	3,882,616	3,882,616	0	890,069	890,069	0	172,759	172,759	0	0	0	0	0	0	0	0	0	14,082,911	14,082,911	0	
		Total	15,924,701	15,831,189	-93,512	7,532,820	7,626,820	94,000	1,873,746	1,873,746	0	469,340	469,340	0	0	0	0	0	0	0	0	0	25,800,607	25,801,095	488	
Adult Care & Housing	Neighbourhood Capital Programm	Neighbourood Regeneration & Re	Canklow Clearance	211,000	211,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	211,000	211,000	0		
			Affordable Housing	167,156	167,156	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	167,156	167,156	0	
			Bellows Road	200,000	200,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	200,000	200,000	0	
			Monksbridge Demolition	72,000	72,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	72,000	72,000	0	
			Total	650,156	650,156	0	0	0	0</																	

Page 115

Funding:

Page 115

Appendix 5**Summary of key variances to the Capital Programme by Directorate****Adult Care and Housing**

Project	2016/17 Budget £000	2016/17 Forecast £000	Variance £000	Comments
Strategic Acquisitions	5,060	2,562	-2,498	A programme of building and purchasing new homes to increase stock numbers. Talks progressing on acquisition of properties at Little London (Maltby). £1.7m Budget to be re-profiled into 2017/18. Unallocated funding of £681k won't be spent in 2016/17 so reprofiled.
Disabled Persons Units (DPU) Bungalows	621	496	-125	Underspend on construction of 4 DPUs at Kimberworth and Thurcroft. Original forecast included payment accrued for in 2015/16.
IHMS (IT System)	353	283	-70	Ongoing implementation of the new integrated Housing Investment Management System. Payment to be made in 2017/18. Budget re-profiled.
Replacement of Central Heating	2,750	2,738	-12	Ongoing programme of Central Heating replacements in order to reduce the revenue burden as a result of increasing repairs to boilers reaching the end of their life. Small underspend forecast.
Furnished Homes	960	994	34	Increase in uptake of new furnished tenancies. Revenue saving by capitalising spend on new furniture and white goods.

Children and Young People's Service

Project	2016/17 Budget £000	2016/17 Forecast £000	Variance £000	Comments
Dalton Listerdale J& I School	0	16	+16	Additional work to the balcony as agreed with the School.
Aston Lodge Replacement Nursery	0	9	+9	Overspend due to an extension of time cost and the dismantling of a canopy.
Badsley Moor Primary Classroom	195	271	+76	Additional works to the dining room, roof and a replacement boiler.
Adaptations – Foster Care	774	574	-200	Works to private properties to increase the Borough capacity for foster care placements. Budget re-profiled into 2017/18.

Finance and Customer Services

Project	2016/17 Budget £000	2016/17 Forecast £000	Variance £000	Comments
Liquid Logic Implementation	1,412	1,285	-127	Implementation of new ICT system to meet key requirements of the Jay report to rectify severe deficiencies within the existing CYPS and Adult Care. Budget currently being reviewed as plans progress to achieve go live on the Adult's system.

Regeneration and Environment

Project	2016/17 Budget £000	2016/17 Forecast £000	Variance £000	Comments
Packman Way, Wath - Playground	20	0	-20	Ground surveys have led to change from the original site. Currently out to consultation. Project re-profiled into 2017/18.
A630 Parkway Widening	474	400	-74	Widening of A630 Parkway from 2 lanes to 3 from Europa Way to junction with M1. Reprofiting of expenditure into 2017/18 as a result of delays in the appointment of consultants

Appendix 6

Summary of Budget Variations seeking Cabinet approval 2016/17 to 2020/21

Project Inclusions for approval:

Adult Care and Housing

Project Name &	2016/17 Budget to be approved £000	Funding	Description	Comment
Area Assembly – Neighbourhood Investment	140	Prudential Borrowing	£20k Capital Pot for each Area Assembly for public realm, etc.	Stage 2 – Capital Strategy Project. Proposals have been developed following consultation within each Area Assembly to identify key neighbourhood issues.

Regeneration and Environment

Project Name &	2016/17 Budget to be approved £000	Funding	Description	Comment
Swinton Civic Hall Refurbishment	45	Prudential Borrowing	Renew dance floor	Stage 2 – Capital Strategy Project. The floor is uneven and represents a trip hazard. Income is received from lettings, so a failure to undertake the works will risk a building closure impacting on income generation.
Replacement of Damaged Waste Bins	151	Prudential Borrowing – Funded by Service	Capitalisation of Waste Bins	Included in Capital Programme in previous years. Capitalisation generates an annual revenue saving.

Street Cleansing Equipment	40	Prudential Borrowing – Funded by Service	Capitalisation to create revenue saving	Part of R&E forecast revenue outturn position. Capitalisation generates an annual revenue saving.
GIS Transport Module	25	Prudential Borrowing – Funded by Service	Capitalisation to create revenue saving	Part of R&E forecast revenue outturn position. Capitalisation generates an annual revenue saving.

Adult Care and Housing

Project Variations to be approved

Project	2016/17 Current Budget £000	Variation £000	2016/17 New Budget £000	Comment
Strategic Acquisitions	5,060	-2,498	2,562	A programme of building and purchasing new homes to increase stock numbers. Budget to be re-profiled into 2017/18. In particular, budget for Little London (£1.7m) re-profiled into 2017/18. Talks are progressing with the landlord, however, original timetable will not be met. Unallocated funding of £681,000 to be re-profiled into 2017/18.
Disabled Persons Units (DPU) Bungalows	621	-125	496	Underspend on construction of 4 DPUs at Kimberworth and Thurcroft. Original forecast included payment accrued for in 2015/16.
IHMS (IT System)	353	-70	283	Ongoing implementation of the new integrated Housing Investment Management System. Payment to be made in 2017/18. Budget re-profiled.

Project	2017/18 Current Budget £000	Variation £000	2017/18 New Budget £000	Comment
Strategic Acquisitions	7,150	+2,498	9,648	A programme of building and purchasing new homes to increase stock numbers. Budget to be re-profiled into 2017/18. In particular, budget for Little London (£1.7m) re-profiled into 2017/18. Talks are progressing with the landlord, however, original timetable will not be met. Unallocated funding of £681,000 to be re-profiled into 2017/18.
IHMS (IT System)	0	+83	83	Ongoing implementation of the new integrated Housing Investment Management System. Payment to be made in 2017/18. Budget re-profiled, with small increase funded by RCCO.

Children and Young People's Service

Project	2016/17 Current Budget £000	Variation £000	2016/17 New Budget £000	Comment
Dalton Listerdale J& I School	0	+16	16	Additional work to balcony as agreed with School. To be funded by grant.
Aston Lodge Replacement Nursery	0	+9	9	Overspend due to an extension of time cost and the dismantling of a canopy. To be funded by grant.
Badsley Moor Primary Classroom	195	+76	271	Additional works to the dining room, roof and a replacement boiler. To be funded by grant.
Adaptations – Foster Care	774	-200	574	Works to private properties to increase the Borough capacity for foster care placements. Budget re-profiled into 2017/18.

Project	2017/18 Current Budget £000	Variation £000	2017/18 New Budget £000	Comment
Adaptations – Foster Care	883	+200	1,083	Works to private properties to increase the Borough capacity for foster care placements. Budget re-profiled into 2017/18.

Regeneration and Environment

Project	2016/17 Current Budget £000	Variation £000	2016/17 New Budget £000	Comment
Packman Way, Wath - Playground	20	-20	0	Provision of new playground. Ground surveys have led to change from the original site. Currently out to consultation. Project re-profiled into 2017/18.
A630 Parkway Widening	474	-74	400	Widening of A630 Parkway from 2 lanes to 3 from Europa Way to junction with M1. Reprofiting of expenditure into 2017/18 as a result of delays in the appointment of consultants.

Project	2017/18 Current Budget £000	Variation £000	2017/18 New Budget £000	Comment
Packman Way, Wath - Playground	0	+20	20	Provision of new playground. Ground surveys have led to change from the original site. Proposed new site currently out to consultation. Project re-profiled into 2017/18.
A630 Parkway Widening	837	+74	911	Widening of A630 Parkway from 2 lanes to 3 from Europa Way to junction with M1. Reprofiting of expenditure into 2017/18 as a result of delays in the appointment of consultants.

Summary Sheet

Council – 7 December 2016

Title:

Medium Term Financial Strategy (MTFS) Update Report

Is this a Key Decision and has it been included on the Forward Plan?

Yes – key decision taken by Cabinet on 14 November 2016

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director of Finance and Customer Services

Report Author(s)

Pete Hudson, Chief Finance Manager

01709 822032 or peter.hudson@rotherham.gov.uk

Ward(s) Affected

All wards

Summary

At its meeting on 14 November 2016, the Cabinet agreed to recommend adjustments to the 2016-17 budget which involve additional budget allocations being made to fund the reconfiguration of services in the Adult Care and Housing, Children and Young People and Finance and Customer Services directorates reducing demand and delivering savings to ensure that the Council's services are sustainable in the medium term.

In order to give effect to the recommendations from Cabinet, consideration and approval by Council must be given to the recommendations set out below. The report detailing the reasoning behind the recommendations is appended in order to provide Members with sufficient knowledge to agree the proposals.

Recommendations

1. That Council be recommended to approve the proposed budget adjustment for 2016-17 summarised in section 2.6 of the appended report.
2. That Council be recommended to approve the updating of the Medium Term Financial Strategy, as set out in the appended report.

List of Appendices Included

Report to Cabinet and Commissioners' Decision Making Meeting – 14 November 2016 'Medium Term Financial Strategy (MTFS) Update Report'

Background Papers

Minutes of the Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Council Approval Required

Yes

Exempt from the Press and Public

No

Summary Sheet

Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Title:

Medium Term Financial Strategy (MTFS) Update Report

Is this a Key Decision and has it been included on the Forward Plan?

Yes

Strategic Director Approving Submission of the Report

Strategic Director of Finance and Customer Services

Report Author(s)

Anne Ellis – Finance Manager

01709 822019 anne.ellis@rotherham.gov.uk

Pete Hudson – Chief Finance Manager

01709 822032 Peter.hudson@rotherham.gov.uk

Mark Chambers – Head of CYPS Finance

01709 822238 Mark.chambers@rotherham.gov.uk

Ward(s) Affected

All

Summary

This report sets out proposals for additional investment in respect of Children and Young People's Services, Adult Services and Corporate Support Services over the next 3 to 5 years and the impact on the Council's current Medium Term Financial Plan. The proposed investment over the current financial plan period is summarised below:

	2016/17	2017/18	2018/19	2019/20
	£m	£m	£m	£m
Proposed CYPS Additional Funding – Pressure/Demand	7.848	8.346	10.402	12.458
Proposed CYPS Investment/ (Saving)	0.179	1.331	(1.474)	(4.534)
Proposed Adult Care Investment	0.128	0.481	0.210	0
Proposed Corporate Services Investment	0.301	0.847	1.062	1.062
Total Additional Funding	8.456	11.005	10.200	8.986

The additional resources are requested in order to:

- Provide the funding needed to deliver the Sustainable Children’s Service Strategy detailed in this report;
- Provide capacity to ensure delivery of the Adult Social Care Development Programme; and
- Fund Corporate Support Services both in respect of support for Children’s Services and to address savings assumed in the MTFS which have since been confirmed as undeliverable.

Allocating the additional funding requested will significantly increase the Council’s estimated funding gap in 2017/18 and then start to bring the gap back down over the life of the Medium Term Financial Strategy (MTFS) as shown below. The actual final profile of the Medium Term Financial Strategy will depend on choices to be made as part of the forthcoming Budget and are further explained in section 7 of this report.

It is assumed in the figures below that the funding in the current year will need to be drawn from reserves and that the final value of this will depend on the overall outturn position of the Council as all services across the Council are attempting to reduce the overall overspend. It is assumed in this report that in each of the subsequent years, the gap will be addressed through the budget process and further financial planning considerations. A review of all current planned use of reserves is underway and options will be brought forward for rescheduling this use in order to ensure a balanced budget and financial strategy going forward. However, inevitably there will be more savings to be made across the Council in order to fund these investments and cost pressures.

	2016/17	2017/18	2018/19	2019/20
	£m	£m	£m	£m
Estimated Funding Gap as Reported July 2016 MTFS		13.125	8.659	20.077
Additional funding requested in current year	8.456			
Revised Future Years Funding Gap as a result of this report		24.130	7.854	18.863

Recommendations

Cabinet are asked to:

- a. Note the contents of this report;
- b. Approve the proposed additional resource allocations required by the Children's Services Sustainability Strategy, Adult Social Care and Corporate Support Services as summarised in section 2.6;
- c. Note that the additional funding requirement for the demand cost pressure in Children's Services of £7.848m in 2016/17 will be subject to the final outturn at year end but is likely to require funding from reserves should the current year budget not be brought back in balance;
- d. Approve that the specific investment proposals of £608k in 2016/17, summarised in paragraph 2.6 and detailed throughout the report, be funded in 2016/17 from the Transformation Reserve and for the addition of these items to the MTFS for future years.
- e. Approve that all other corporate earmarked reserves, where there is no formal planned use in the current year, be earmarked for consideration as part of the funding strategy for the proposals in this report and the wider financial strategy as part of budget considerations.
- f. Note the revised Estimated Funding Gap for the period 2017/18 to 2019/20 of £24.130m, £7.854m and £18.863m respectively, outlined in paragraph 2.6 in light of these proposed funding allocations and in advance of any use of reserves.
- g. Approve that tight monitoring and control is implemented in relation to the investments and that the additional budgets are only allocated as the expenditure and investment action is secured and implemented.

List of Appendices Included

- Appendix 1 Children's Services Investment and Savings Proposals.

Background Papers

- RMBC corporate "Fresh Start" Improvement Plan, 26th May 2015
- Revenue Budget Setting Report 2016/17 – Report to Council 2nd March 2016
- Outline Medium Term Financial Strategy 2016-19 to Council 2nd March 2016.
- Report to Cabinet/Commissioners' Decision Making Meeting 11th July 2016
- The Council's Medium Term Financial Strategy 2016/17-2019/20

- Corporate Plan 2016-17
- Report to Cabinet/Commissioners' Decision Making Meeting 14th November 2016 - September Financial Monitoring Report

Consideration by any other Council Committee, Scrutiny or Advisory Panel

As part of the policy-led approach to the Budget and MTFS the Overview and Scrutiny Management Board (OSMB) will continue to have overall responsibility for scrutinising the Annual Budget including the ongoing updating of the MTFS.

Council Approval Required

No

Exempt from the Press and Public

No

Title. Medium Term Financial Strategy (MTFS) Update Report

1. Recommendations

Cabinet are asked to:

- a. Note the contents of this report;
- b. Approve the proposed additional resource allocations required by the Children's Services Sustainability Strategy, Adult Social Care and Corporate Support Services as summarised in section 2.6;
- c. Note that the additional funding requirement for the demand cost pressure in Children's Services of £7.848m in 2016/17 will be subject to the final outturn at year end but is likely to require funding from reserves should the current year budget not be brought back into balance;
- d. Approve that the specific investment proposals of £608k in 2016/17, summarised in paragraph 2.6 and detailed throughout the report, be funded in 2016/17 from the Transformation Reserve and for the addition of these items to the MTFS for future years.
- e. Approve that all other corporate earmarked reserves, where there is no formal planned use in the current year, be earmarked for consideration as part of the funding strategy for the proposals in this report and the wider financial strategy as part of budget considerations.
- f. Note the revised Estimated Funding Gap for the period 2017/18 to 2019/20 of £24.130m, £7.854m and £18.863m respectively, outlined in paragraph 2.6 in light of these proposed funding allocations and in advance of any use of reserves.
- g. Approve that tight monitoring and control is implemented in relation to the investments and that the additional budgets are only allocated as the expenditure and investment action is secured and implemented.

2. Background

The Financial Challenge

- 2.1 Between 2011 and 2016 the Council has had to address a funding gap of £117m, with a further £21m Budget gap being addressed in the current financial year, 2016/17. In July the estimated cumulative Budget Gap for the coming three financial years covered by the Council's Medium Term Financial Strategy (MTFS) was reported to be £41.9m as set out below.

	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m
Annual Funding Gap	0	13.125	8.659	20.077

- 2.2 The process of developing the MTFS and the Council's budget is an ongoing one and in July it was indicated that the projections would be subject to continuous review and would be updated as information and intelligence became available and this report is part of this process.
- 2.3 This current report deals with the investment and savings proposals for key services that have been identified in light of 2016/17 Revenue Budget monitoring and ongoing service reviews. The September Budget Monitoring report – which is considered elsewhere on this agenda shows a forecast General Fund overspend for the 2016/17 financial year of £8.635m after management actions. These pressures are largely in respect of Children's Social Care Services and as a result of delay in the implementation of the approved Adult Social Care Development Programme.
- 2.4 Although Children and Young People's Services (CYPS) and Adult Care Services have both committed to implementing management actions which will partially address the impact of their pressures in the current financial year, it is apparent that these Council Services are facing significant and sustained cost pressures driven by rising demand for services, which will need to be resolved over the life of the MTFS. In order to address the existing pressures, to mitigate future growth in demand and to ensure delivery of planned savings, both services have identified the requirement for additional budget allocations over the life of the MTFS beginning in the current financial year.
- 2.5 This report sets out additional proposed funding for these services over the current and following financial years. Further, the report recommends that additional funds be allocated to Corporate support services, predominantly Legal Services in relation to the increased support requirements of Children's Social Care.
- 2.6 The table below summarises the proposed change in funding in the current and next three financial years and the detail in respect of each element of funding is described in section 3 below. These proposals involve additional budget allocations to fund the reconfiguration of services, reducing demand and delivering savings to ensure that the Council's services are sustainable in the medium term.

	2016/17	2017/18	2018/19*	2019/20*
	£m	£m	£m	£m
Estimated Funding Gap as Reported July 2016 MTFS		13.125	8.659	20.077
Proposed CYPS Additional Funding – Pressure/Demand	7.848	8.346	+2.056	+2.056
Proposed CYPS Investment / (Saving)	0.179	1.331	-2.805	-3.060
Proposed Adult Care Investment	0.128	0.481	-0.271	-0.210
Proposed Corporate Services Investment	0.301	0.847	+0.215	0
Additional funding requested in current year	8.456			
Revised Future Years Funding Gap as a result of this report		24.130	7.854	18.863
Anticipated Reserve Funding 2016/17	7.848			
Proposed Transformation Reserve Funding 2016/17	0.608			
Future Recurring Budget Savings Required		24.130	7.854	18.863

* Investment/saving figures shown for 2018/19 and 2019/20 are the increase or decrease on the previous year investment/saving as opposed to the increase/decrease from the 2016/17 position

- 2.7 The proposed additional allocations are frontloaded and will require a budget adjustment of some £8.5m in the current financial year. However, this depends entirely on the final outturn for all services across the Council. It is proposed that the investments totalling £608k be funded from the transformation reserve and drawn down as the investment actions are implemented. The £7.848m demand pressure will require funding at year end from reserves determined once the outturn is known. It is likely at this stage that this will require the use of a combination of reserves currently set aside for other purposes but a final decision on this will be made when the outcome of a number of other issues are known e.g. the triennial valuation of pensions, reviews of capitalisation opportunities and review of business rates risk. It is proposed that all corporate earmarked reserves where there is no formal planned use in the current year be earmarked for consideration as part of the funding strategy for the proposals in this report and as part of the wider budget and financial strategy considerations.
- 2.8 Providing the additional budget resources required would add to the challenges facing the Council in coming years. The funding gap for 2017/18 would be increased over and above the £13m reported to date and would lead to the overall budget challenge over the term of the MTFS rising significantly beyond

the £41.9m already identified. However, the level of pressures being experienced by CYPS and Adult Services mean that action to address them is essential, whilst the Council's overall financial position requires that there will be an essential need to deliver significant ongoing savings.

- 2.9 It should be noted that the resource projections and assumptions underpinning the MTFS have not been revised at this stage, pending the Government's 2016 Autumn Statement (which will be on 23rd November) and the Indicative Local Government Finance Settlement which is expected to follow it. In this the Council will be no different from other authorities, which are also facing uncertainty about levels of government funding, the results of recent consultations, the 2017 Rates Revaluation and the future of the local government finance system.

3. KEY ISSUES

A Sustainability Strategy for Children's Services 2016-2021

- 3.1 This section of the report sets out the budget proposals from 2016/17 through to 2020/21 for Rotherham's Children & Young People's Service (CYPS). A robust financial plan with strong governance is inextricably linked with an effective sustainable Children and Young People's Service.
- 3.2 As part of Rotherham's ambition to be a child-centred borough, children and young people represent one of the most important investments that can be made in order to secure a vibrant, healthy and productive future for Rotherham people for generations to come.
- 3.3 The Outline Medium Term Financial Strategy (MTFS) for Rotherham Council was approved by Council on the 2nd March 2016 and had, as a first priority, the adoption of a plan for sustainable Children's Services. Budget proposals describing how sustainable services might be achieved over a five year term to March 2021 have been developed and are presented in this report. This is reinforced by the refreshed Children and Young People's Service (CYPS) Improvement Plan.
- 3.4 This paper builds on an update provided to Members and Commissioners in July 2016. The robustness of the budget proposals have necessarily been subject to comprehensive review completed by the Practice Partner for Children's Improvement (Lincolnshire County Council). This challenge process has resulted in some mitigation of in-year and future years' budget pressures.
- 3.5 The current forecast outturn position for the CYPS Directorate is for an over spend in the region of £7.848m. This is due to a continuation of the budget pressures which existed throughout 2015/16. As part of the improvement journey and in response to historical failures, there has been a necessity to engage with a significant number of agency staff to fill vacant posts and to secure the right knowledge, skills and leadership whilst permanent recruitment

is undertaken. In addition there is an ongoing pressure on the looked after children placements budget. In June CAFCASS reported the highest number of care applications ever at 1,268 nationally. This reflects an increasing picture of Looked After Children (LAC) numbers at a national level.

- 3.6 In Rotherham there are 460 Looked After Children as at 19th October 2016. If this number continues to increase then there will be further pressure on social care budgets and a risk that the reported position will worsen before the end of the financial year.
- 3.7 Children's Services has already this year reached its target budget savings of £1.267m from reductions in residential provision, Early Help, and School Improvement. Over the last year management intervention has reduced the LAC unit cost by £86 per week. This equates to £2.057m over a full year (based on 460 placements). Further cost avoidance of £756k has been achieved through successful recruitment to permanent senior management and social worker positions, which has released interim staff. In-year mitigation of £1.865m, taken in addition to the actions above, underlines the commitment from the service to control non-essential expenditure.
- 3.8 The in-year budgetary position for Children's Services is challenging and whilst some initiatives have been brought forward to mitigate the national picture of growing looked after children numbers, it is a commitment to the investment outlined in this paper that will enable a pathway to significant cost reduction and a sustainable children's service by the end of 2020/21.
- 3.9 The additional budget proposals for CYPS from 2016/17 to 2020/21 request some immediate funding support and initial investment, starting in 2016/17. Funding new initiatives will start to deliver savings in 2017/18 and over the medium term will bring expenditure within budget whilst continuing to protect the most vulnerable in society.
- 3.10 The full picture of the CYPS directorate pressures, investment, savings and/or cost avoidance from the proposed investment are displayed in Table 1. The savings, or cost avoidance, that will come from the delivery of the proposed investment – the focus of this paper – are shown in Table 2.

Table 1: Budget proposals to achieve a sustainable Children's Service budget

	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m
Net Budget	56.468	55.017	53.717	52.717	52.717
Pressure (Demand/Demographic)	7.848	7.346	8.402	9.458	10.514
Pressure (Reduction in Short Term Reserve)	0.000	1.000	2.000	3.000	3.000
Investment	0.179	3.607	3.716	3.527	3.579
Savings / Cost Avoidance (from Investment)	0.000	(2.276)	(5.190)	(8.061)	(9.601)

Net Forecast	64.495	64.694	62.645	60.641	60.209
Overall Investment / Funding Support	8.027	9.677	8.928	7.924	7.492

Table 2: Investment Bids – Summary of Cost and Benefit

	2016/17	2017/18	2018/19	2019/20	2020/21
	£m	£m	£m	£m	£m
Investment	0.179	3.607	3.716	3.527	3.579
Savings / Cost Avoidance (from Investment)	0.000	(2.276)	(5.190)	(8.061)	(9.601)
Net Investment / Saving	0.179	1.331	(1.474)	(4.534)	(6.022)

- 3.11 Whilst these proposals relate to Children's Social Care there will be wider consideration of other non-social care savings from Children's services as part of the Council's Budget process for 2017/18 to 2019/20.
- 3.12 Detailed analysis and explanation of the current Children's Services budget pressure of £7.848m is available in the latest Budget Monitoring Report elsewhere on the Cabinet Agenda. There are two areas which are responsible for the majority of the Children's Services in-year position. The strain on the Looked After Children (LAC) placement budget and the pressure on the staffing budget.
- 3.13 The current LAC budget would support approximately 400 placements, 60 less than Rotherham's total of 460 LAC. As a result £2.434m of the overall in-year budget pressure is due to the cost and number of placements. There will be further pressure on this budget in future years as £3m short term reserve funding built into the base is removed from 2017/18, £1m per year. These numbers will continue to rise without the transformation proposed. Analysis from the last six months indicates that if recent trends continue in Rotherham, the number of placements could increase by 48 per year.
- 3.14 The investment proposals outlined in this paper seek to address the growing numbers of Looked After Children and change the proportion of placement settings in favour of in-house foster care. Local authority foster care is widely acknowledged as providing the best outcomes for children in care and is the most cost effective setting from a budgetary point of view.
- 3.15 If the budget proposals have the desired effect then over the next four years there will be a net reduction in placements of: 4 in 2017/18; 13 in 2018/19; 22 in 2019/20; and 22 in 2020/21; in total a reduction of 61 placements to 399 by March 2021 from a forecast high of 460 in March 2017. In addition, the proportion of placements with local authority fostering will increase from 43% as of August 2016 to a forecast 62% in March 2021. Together these initiatives are

estimated to reduce projected expenditure, net the cost of investment, on placements by £5.603m in year 5.

- 3.16 The Children's Service response to intervention has meant there has been a requirement to engage agency social workers with the necessary experience to reverse poor performance, fill vacancies, and reduce average caseloads to a reasonable level.
- 3.17 As at the end of September 2016 the Children's Social Care budgeted establishment is 389 (full time equivalent) with 319 permanent employees in post and 81 interim or agency staff in vacant or, temporary posts.
- 3.18 There is a significant in-year pressure of £3.8m due to a reliance on interim and agency staff. The pressure is beginning to reduce and there have already been costs avoided of £756k through the successful recruitment of permanent employees. CYPS investment in a dedicated resourcing team has started to yield positive results through the recruitment of experienced social workers. There is a further £300k of cost reduction built into the current staffing estimates due to anticipated recruitment of more permanent employees, which will release agency staff.
- 3.19 Two of the budget proposals are aimed at reducing the use of agency staff and putting in place a robust and talented permanent workforce through a structured learning and development programme. On average a permanent experienced social worker costs £20k to £25k less per annum than the agency equivalent. Investment in the social care workforce could deliver £825k in cost avoidance. It will reduce staff turnover and increase the quality of social work practice, both of which will lead to further financial benefit in future years.

Key Issues and Practice Improvement Partner Review

- 3.20 With a vision to be 'outstanding' the challenge is enormous. The vision is aspirational and borne out of a promise to shape and provide excellent services.
- 3.21 The need to continue to improve services and outcomes for children and young people is clear and is set out in the Children's Improvement Plan. At the same time the Council is facing large budget reductions and this means that the Council cannot continue to deliver the current model of services. To deliver a sustainable children's service a business model tied into the Council's Outline MTFS must be developed directly related to the improvement journey, to drive out inefficiencies, rethink services and the way we work with families, and improve performance management.
- 3.22 The robustness of the budget proposals have necessarily been subject to scrutiny and a comprehensive review by Rotherham's Practice Improvement Partner Lincolnshire County Council (LCC). The challenge process has

resulted in some mitigation of in-year budget pressures; a review of the original profile and speed of budget reductions; and sharing of practice which has helped to further develop some of the 'invest to save' proposals into the sustainable plans presented in this paper.

3.23 A number of the recommendations from LCC's review of our improvement offer focused on the Dedicated Schools Grant (DSG) and in particular identified a gap in robust financial management of the opportunities and threats posed by recent changes to DSG guidance. The DSG budget falls outside of the remit of this report, however, by recognising the findings from Lincolnshire; the Council has begun work on a strategy to address the current pressure on the High Needs Block.

3.24 The Lincolnshire review identified some areas of expenditure that were funded from the Council's revenue budget, which guidance allowed to be funded from the DSG. As a result costs of up to £250k will be transferred across to the DSG from areas of pressure within the Education and Skills revenue budget.

3.25 The other areas of improvement and recommendations from LCC and subsequent actions taken by Children's Services are:

- Review the traded offer to schools within the School Improvement Service (SIS) – this was already a focus of review for the Education and Skills senior leadership and forms part of the savings being developed as part of the wider work towards Council future year savings.
- Consider the future of the Facilities and Catering Service in CYPS. The service has been transferred to Regeneration and Environment and will be subject to further ongoing review.
- Closer engagement with Public Health to influence how funding is allocated and commissioned to ensure it is focused on common outcomes. A consultation on the future use of the Public Health Grant has recently closed and the findings will shortly be reported.
- Develop a more integrated relationship between the Clinical Commissioning Group (CCG), Looked After Children (LAC) and Special Educational Needs and Disabilities (SEND) teams to ensure that the appropriate level of funding is allocated to LAC and SEND placements. A "One Council" approach with Adult Services is being taken to review current arrangements.
- Ensure close monitoring of agency expenditure to ensure a balanced budget is realised in future years.
- Lincolnshire exercised caution when considering the draft savings forecast, particularly the profile, as in their view they were "highly, highly ambitious". Each of the budget saving proposals have been reviewed and a revised savings profile from 2017/18 into future years applied bearing in mind how advanced the current financial year is and

when investment, to support the initiatives, is likely to be forthcoming. The savings have been subject to robust critique, but remain ambitious due to the size of the cost reduction required; and target a return to operation within budget by 2019/20.

Budget pressures and the need for investment

- 3.26 The cash limited budget for CYPS in 2016/17 is £56m, approved by Council in March 2016. As indicated in the letter from the Commissioner for Children's Social Care to the Secretary of State for Education (February 2016), the budget for 2016/17 is unlikely to meet the forecast demands. This has proven to be the case with a current forecast pressure of £7.848m, before further investment proposed in this paper. The 2016/17 cash limit is underpinned by £3m of reserves which reduces to £2m in 2017/18, £1m in 2018/19, and is removed altogether from 2019/20 onwards.
- 3.27 Significant efforts continue to be made by the CYPS Directorate to effect strong resource management to reduce or minimise the overall cost of services in the immediate term in 2016/17. The majority of this cost pressure is attributable to Looked After Children placements and agency staff to fill necessary vacant social care posts.
- 3.28 By 2020/21 Children's Services must be delivered within a sustainable cost envelope. The Council is committed to the delivery of financially sustainable Children's Services and it is believed that the overall revenue requirement can be reduced significantly. The journey to achieving this is shown in summary in Table 1, and explained in detail below.
- 3.29 The development of the Rotherham Looked After Children and Care Leavers Placement Sufficiency Strategy 2015-2018 set out the strategic actions to be taken to ensure children and young people on the edge of care are supported to stay with their parents or extended family and only come into care where it is absolutely necessary and justified in the best interests of the child or young person, and that all support options and strategies have been exhausted. There is a current gap in preventative provision and this budget proposal contains a range of interventions which require investment to provide those support options for the child.
- 3.30 The Looked After Children Peer Review, undertaken in October 2016, highlighted the legacy issues arising from poor social work practice and that they were likely to have a significant impact on the rate of improvement likely to be achieved within the Looked After Children Service. It is in this context that the improvements outlined in this paper must be considered as, in addition to financial benefits, they seek to ensure there are no longer widespread systemic failures.

- 3.31 As part of the improvement journey and in response to historical failures, there has been a necessity to engage a significant number of agency staff to fill vacant posts and to secure the right knowledge, skills and leadership. In order to ensure that the quality of social work practice consistently and systematically improves there is a need for investment in the workforce. The learning offer in Rotherham is not sufficiently developed.
- 3.32 Aligned with the improvement plan, this will take five years not three and will require further additional front loaded transformational investment to deliver further significant savings in 2018/19 and 2019/20.

Proposal and Justification - Budget Proposals 2017/18, demand management, cost reductions and avoidance

- 3.33 Do nothing is not a realistic option for the Council. The challenge of providing suitable placements for the increasing numbers of Looked After Children demands transformation in provision and initiatives aimed at controlling LAC numbers. The response to the findings from the Jay, Casey and Ofsted reports requires funding for new ways of working – including lower caseloads to drive through quality in practice, training and staff development. This commitment to the workforce will enable a transition from a reliance on agency staff to permanent Rotherham Council employees.
- 3.34 The budget proposals are based on the CYPS Directorate's professional view of need and how demand for services will be managed by investing in: the right support at the right time for families across early help and on the edge of care; children's social care and education settings to develop better, more affordable placement choices through a sufficiency strategy for Looked After Children.
- 3.35 The focus is now on enhanced management oversight of decision making at the 'front door' of children coming in to care and managing the demand for Looked After Children through a number of interventions which are preventative in nature, to reduce the future financial burden and ongoing increases in LAC.
- 3.36 The CYPS Directorate is on track to achieve planned savings of £2.318m agreed as part of the wider Council 3 year Medium Term Financial Strategy for 2016/17 to 2017/18. Each of the budget proposals contain a request for some initial upfront funding, which will be used to deliver subsequent savings which over the medium term pay back the investment and achieve cumulative savings net of investment of £6.022m by 2020/21. The savings outlined in this report are in addition to this amount. Details in respect of the proposed investments in Children's Social Care Services are set out below and in Appendix 1 to this report. (Please note: Net Savings are shown as negative figures; a positive number represents a net investment/cost in the year in question.)

3.37 CYPS 1A – Foster Carer Payments Scheme, Support and Development

	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Cumulative £m	Payback
Investment	0.000	1.276	1.718	2.182	2.182	7.359	
Gross Saving	0.000	(1.374)	(2.660)	(3.980)	(3.980)	(11.994)	
Net Saving	0.000	(0.098)	(0.942)	(1.798)	(1.798)	(4.635)	Year 2

% of placements with LA Fostering	43%	48%	53%	57%	62%		
-----------------------------------	-----	-----	-----	-----	-----	--	--

3.38 Rotherham, in common with local authorities across the Yorkshire and Humber region, has a shortage of all foster care placements, but particularly foster care placements for adolescents and larger sibling groups. The Council places too many young people in residential care. The implementation of the allowances offer and a resulting rise in placements with in-house foster carers could lead to long term savings on the overall Looked after Children budget. Over a year the investment will seek to provide a net 15 additional in-house foster places; as a consequence there would be a corresponding fall in the number of LAC being placed in more expensive settings (Independent Fostering Agency (IFA) and residential placements). This investment was approved at Cabinet on 12th September 2016.

3.39 CYPS 1B – Therapeutic Service

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.000	0.270	0.270	0.270	0.270	1.080	
Gross Saving	0.000	0.000	0.000	0.000	0.000	0.000	
Net Saving	0.000	0.270	0.270	0.270	0.270	1.080	N/A

3.40 This bid for budget investment seeks funding for additional clinical practitioners to deliver highly responsive and intensive interventions for Rotherham's children in care identified as needing it most. This proposal will operate in conjunction with the fostering 'offer' and special guardianships whereby children 'most at risk' within Rotherham will have access to good quality and responsive wrap around therapeutic support to address the child's specific emotional and mental well-being needs.

3.41 There will be a reduction in the likelihood of placement breakdowns and special guardianship breakdowns which often result in the use of more expensive placement provision, including re-entry to care or move to more expensive

residential placements. The service can deliver therapeutic interventions more cost effectively than by commissioned services. There is a clear argument that breaking the cycle of disruptions through intensive provision early in the child's care journey will lead to better emotional wellbeing for children and better outcomes for these children in later life. The monetary benefit, therefore, will be one of cost avoidance rather than a direct budget saving.

3.42 The proposal has direct staff costs of a social worker and business support officer and commissioning costs of 2.5 clinical practitioners.

3.43 CYPS 2 – Family Group Conferencing

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.100	0.164	0.164	0.164	0.164	0.756	
Gross Saving	0.000	(0.165)	(0.627)	(1.254)	(1.914)	(3.960)	
Net Saving	0.100	(0.001)	(0.463)	(1.090)	(1.750)	(3.204)	Year 2

LAC Reduction	0	15	27	30	30	102	
---------------	---	----	----	----	----	-----	--

3.44 Family Group Conferencing is an effective tool for identifying and engaging with wider family members at an early stage of concern about a child. This initiative seeks to minimise the financial pressure within the LAC budget by working to prevent children entering the care system.

3.45 The proposal is to deliver the service in-house. The workload is estimated to require a team of 1 coordinator and 4 family group conference practitioners. Some training would be required along with a small amount of other running costs. A total investment of £164k is required on a recurrent basis to deliver the expected savings above. It is estimated that Family Group Conferencing could prevent 15 children entering care in the first year, increasing to 25 – 30 per year thereafter.

3.46 CYPS 3 – Special Guardianship Looked After Children

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.000	0.052	0.103	0.155	0.206	0.516	
Gross Saving	0.000	(0.066)	(0.198)	(0.330)	(0.462)	(1.056)	
Net Saving	0.000	(0.014)	(0.095)	(0.175)	(0.256)	(0.540)	Year 2

LAC Reduction	0	6	6	6	6	24	
---------------	---	---	---	---	---	----	--

3.47 Special Guardianship Orders (SGO) offer the opportunity for family, friends or existing foster carers to give a permanent home to the child without Social Care input. The SGO arrangement attracts an allowance, the average cost of which is £8.6k per annum. The total cost of additional allowances for the estimated 6 additional SGO's through this initiative would be £52k per year. Whilst there is still a cost implication to Special Guardianship it is significantly less than a LAC placement.

3.48 CYPS 4 – Pause Project

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.018	0.000	0.000	0.000	0.000	0.018	
Gross Saving	0.000	0.000	0.000	0.000	0.000	0.000	
Net Saving	0.018	0.000	0.000	0.000	0.000	0.018	N/A

3.49 Rotherham works with a number of mothers who have had multiple children taken into care. Pause, an organisation co-founded by Sophie Humphreys, former head of safeguarding at Hackney, works entirely outside of the usual local authority structure and independently of the social care services. The programme engages with mothers on a one-to-one basis, creating a bespoke programme of intensive therapeutic activities and practical support.

3.50 Women working with Pause are supported to focus on themselves to take control of their lives. To do this they are required to take Long Acting Reversible Contraception (LARC) during the intervention, thereby creating a space to pause, reflect, learn and aspire.

3.51 Initial scoping identifies around 25 Rotherham women who could be worked with who have been the subject of a repeat removal of a child following pregnancy. This investment of £18k will be for a formal review of the scope in Rotherham. It is not proposed at this stage to implement the Pause project. Further consideration of this will be given following the completion of the review but it is worthy of note that initial work indicated that between 10 and 15 placements might be avoided per year.

3.52 CYPS 5 – Edge of Care

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.000	0.350	0.350	0.350	0.350	1.400	
Gross Saving	0.000	(0.165)	(0.594)	(1.188)	(1.848)	(3.795)	
Net Saving	0.000	0.185	(0.244)	(0.838)	(1.498)	(2.395)	Year 3

LAC Reduction	0	15	24	30	30	99	
---------------	---	----	----	----	----	----	--

3.53 The Edge of Care service will involve the recruitment and development of a team of practitioners who will offer structured direct support to young people and their families in crisis, to enable them to remain within their immediate or wider extended family. This recurrent investment is in a new Edge of Care team consisting of a team manager, social worker, psychologist, care co-ordinator (x2), family support worker (x4), and business support.

3.54 The implementation of Edge of Care and the expected reduction in LAC could lead to long term savings on the overall Looked After Children budget. It is estimated that Edge of Care could prevent 15 children entering care in the first year, increasing to 25 – 30 per year thereafter.

3.55 CYPS 6 – Multi-systemic Therapy (MST)

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.000	0.000	0.000	0.000	0.000	0.000	
Gross Saving	0.000	(0.044)	(0.132)	(0.220)	(0.308)	(0.704)	
Net Saving	0.000	(0.044)	(0.132)	(0.220)	(0.308)	(0.704)	Year 2

LAC Reduction	0	4	4	4	4	16	
---------------	---	---	---	---	---	----	--

3.56 MST is an intensive therapeutic programme that works within the whole ecology of a young person. The MST therapist will work with the whole family; the parents, the community and the school at the same time. The aim is to work in a solution-focused, strengths-based approach to empower the family to take responsibility for solving problems and to improve family functioning. The model acknowledges the fact that the family will be there for the young person into the future and beyond any service intervention.

3.57 A shared service agreement is in place between Barnsley and Rotherham. This means that each authority shares fifty percent of the cost and fifty percent of the capacity. The cost of this to RMBC is met through the Troubled Families Grant and so there is no call on mainstream resources to fund this investment. It is estimated that MST could prevent four children entering care per year.

3.58 CYPS 7 – Reunification Project

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.000	0.090	0.000	0.000	0.000	0.090	
Gross Saving	0.000	(0.132)	(0.264)	(0.264)	(0.264)	(0.924)	
Net Saving	0.000	(0.042)	(0.264)	(0.264)	(0.264)	(0.834)	Year 2

LAC Reduction	0	12	0	0	0	12	
---------------	---	----	---	---	---	----	--

3.59 A reunification scheme, endorsed by Ofsted and run by the NSPCC for Rotherham, based on providing good quality support and training to social care staff to enable successful reunification of LAC with their birth families is currently operating as a pilot. The contract is for a two year period to improve the care experience for children in Rotherham by ensuring that wherever possible they are looked after in their own family environment. This bid seeks budget investment for the second year of the pilot with the aim of reuniting 12 children with their families for the long term.

3.60 A further benefit of the pilot will be that skills will be transferred to existing workers which will ensure that reunification is embedded in everyday practice.

3.61 CYPS 8 – Single Assessment Review Duty Team

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.000	0.166	0.166	0.000	0.000	0.332	
Gross Saving	0.000	0.000	0.000	0.000	0.000	0.000	
Net Saving	0.000	0.166	0.166	0.000	0.000	0.332	N/A

3.62 As part of the improvement journey the timeliness and quality of assessments has been a key focus. Moving from a four to a five Duty team structure and a cycle of 20 days between each duty week would improve the ability to progress work and afford the team manager sufficient time to provide robust management oversight and complete all management tasks.

3.63 This investment in a fifth duty team will be time limited for two years from 2017/18 by which time performance is expected to have improved sufficiently through embedded improvements in work practice and with a settled workforce.

3.64 CYPS 9 – Appointment of Newly Qualified Social Workers (x 22)

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment	0.000	0.900	0.450	0.000	0.000	1.350	
Gross Saving	0.000	(0.330)	(0.715)	(0.825)	(0.825)	(2.695)	
Net Saving	0.000	0.570	(0.265)	(0.825)	(0.825)	(1.345)	Year 3

Agency Reduction by year end	11	22	11			44	
------------------------------	----	----	----	--	--	----	--

3.65 In order to meet the demands of the Workforce Development Improvement actions in the Children and Young People's Service Improvement Plan, the Council needs to continue with its annual recruitment of a cohort of Newly Qualified Social Workers (NQSW) into the Children and Young People's Services. It is business critical to continue this investment and the infrastructure is in place to support them with high quality placements and support. The recruitment of 22 permanent newly qualified social workers will fill existing social worker vacancies and enable the reduction in 22 agency workers over the next 12 months; reducing cost and creating a permanent stable workforce.

3.66 Continuing the strategy of growing experienced and qualified social workers will over the medium and longer term enable the transition from a significant reliance on agency staff, which has approached 20% of the workforce over the last two years, to permanent employees. The percentage of agency staff would be expected to fall below 10% from April 2018 resulting in a saving of between £800k and £1m.

3.67 CYPS10 – Workforce Development and Practice Improvement

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)	Cumulative (£m)	Payback
Investment *	0.061	0.339	0.494	0.406	0.406	1.706	

Investment **	0.000	0.489	0.489	0.489	0.489	1.956	
Gross Saving	0.000	0.000	0.000	0.000	0.000	0.000	
Net Saving	0.061	0.339	0.494	0.406	0.406	1.706	N/A

* Includes the costs of an expanded learning and development structure; the commissioning of bespoke learning and practice improvement operating models;

** Currently excludes additional resources for backfill whilst training undertaken.

3.68 Professional social work practice in Rotherham has been the subject of much scrutiny in recent years. The quality and impact of Social Work is one of the key issues leading to the inadequate OFSTED judgement in 2014. In order to ensure that the quality of Social Work practice consistently and systematically improves, a learning and development programme for Social Workers, through the professional journey from ASYE (Assessed and Supported Year in Employment), through the practitioner career and into Management and Leadership levels is essential. The most improved local authorities (Hackney / Leeds) have demonstrated the importance of linking social work development to professional methodology in achieving better outcomes (both for children and on inspection).

3.69 The learning offer in Rotherham is currently underdeveloped. A recent review of the learning offered to children's Social Workers in the borough identified that there are insufficient formal training sessions provided (individually and collectively these would be woefully inadequate to achieve the level of improvement in Social Work practice necessary).

3.70 It is essential that Rotherham Council invest in the training and development of its Social Workers, in order to improve practice and produce better outcomes for children (potentially leading to longer term savings across the corporate function), reduce the risk of continued inadequate OFSTED judgements and help to improve the retention of Social Workers in the borough in the longer term.

3.71 The investment for this proposal relates to the cost of implementing a new model of social care and the learning and development associated with this. Consideration is being given to service continuity and backfill of posts required and whilst under review is not included in the overall investment request at this present time. Therefore a subsequent bid for investment may be brought to Cabinet at a later date.

Approved and other budget saving proposals

3.72 The CYPS Directorate is delivering savings of £2.318m across 2016/17 to 2018/19 as part of the Outline MTFs which was approved at Budget Council in March 2016. These savings have already been netted off the starting net budget in Table 1.

3.73 In addition to the savings that have already been approved, and those which are dependent on budget investment, consideration is being given to further savings from the directorate to contribute to the overall Council budget reduction target for 2017/18 and future years.

Adult Social Care

3.74 In order to improve outcomes for service users an Adult Services Development Programme is being implemented, as approved by Council when the 2016/17 Budget was set on 2nd March 2016. This includes a range of measures to develop alternative provision for services such as: In-house learning disability residential and respite care and day care for older people and the creation of a focused enablement service. The programme also includes service reviews of:

- In-house day care for learning disabilities;
- Provision of in-house residential and intermediate care for older people;
- The provision of housing related support; and
- Out of area and high cost care packages across all services.

3.75 In addition, the Adult Services' Management and Assessment and Care Management Teams are being reviewed to identify further savings and opportunities to reduce costs. In setting the 2016/17 Budget and the MTFS, cumulative savings of £9.2m from the Development Programme were agreed for the current and 2 subsequent financial years.

3.76 As indicated with respect to monitoring of the 2016/17 Budget position and the September projected overspend of £3.551m after mitigating actions, the Adult Services budget continues to be placed under pressure.

3.77 In light of this overall budget pressure and in order to accelerate the Adult Care Development Programme as well as ensuring that the budget savings already agreed for 2016/17 to 2018/19 (£3.295m, £3.831m and £2,054m respectively) are delivered, the following time limited investment requirement has been identified:

Table 3 – Adult Social Care Funding Proposals

Adult Social Care	2016/17	2017/18	2018/19	2019/20
	£m	£m	£m	£m
Funding Proposal	0.128	0.481	0.210	0
Consisting of:				
Brokerage Team	0.053	0.210	0.210	0
Direct Payments Team	0.027	0.109	0	0
Project Management Support	0.048	0.162	0	0

3.78 The funding is required for temporary posts up to 2018/19 creating Brokerage and Direct Payment Teams and for the posts of Head of Care Manager and Programme Manager up to 2018/19.

- The 5 posts in the Brokerage team will support social workers to access commissioned services that best promote strengths and independence whilst offering value for money. They will apply commercial skills to examine existing service costs and negotiate prices with external providers.
- The role of Head of Care Management will be to provide the strategic direction to the front line services.

- The Programme Manager will provide strategic direction for the transformation and savings programmes ensuring that implementation is on course and milestones are achieved.

3.79 These posts are to provide additional specialist skills to support the transformational activity for the Adult Care Directorate and would also assist in achieving other savings proposals that may be brought forward for later years of the MTFS.

Corporate Support Services

3.80 The proposed investment in Corporate Services is predominantly in Legal Services principally to support the delivery of the CYPs Sustainable Childcare Strategy. The following table summarises the proposed additional funding allocations and the following paragraphs provide more details of the proposals.

Table 4 Corporate Support Services Funding Proposals

Corporate Support Services	2016/17	2017/18	2018/19	2019/20
	£m	£m	£m	£m
Funding Proposal	0.301	0.847	1.062	1.062
Consisting of:				
Legal Services	0.188	0.584	0.699	0.699
Other Support Services	0.113	0.263	0.363	0.363

Legal Services – Peer Review of Children’s Care Litigation

3.81 A peer review of children’s care litigation by Lincolnshire County Council has recommended an increase in staff levels in order to allow cases to be dealt with internally by the Council rather than using external agency staff and also to address an unacceptably high level of risk within the legal services caseloads. The review stated that the team was significantly understaffed. 11 new posts will be created to give 9 pairs of solicitors and paralegals to deal with child care litigation. The new posts will be a Team Leader and 4 solicitors plus 6 additional Paralegals. The total cost in a full year for implementing the proposals would be £0.529m from April 2017 onwards. The unfunded in-year pressure is estimated to be £188k.

- 3.82 In addition to the above, the July MTFS included legal staff savings proposals (approved in the March 2016 Budget Setting Report) of £55k in 2017/18 and a further £115k in 2018/19. In view of the outcome of the peer review and the additional funding requirement, these savings will no longer be deliverable.

Other Support Services

- 3.83 To strengthen the support arrangements for Children's Services, Commissioners agreed the recruitment of a Head of Children's Finance, the annual cost of this including oncosts being £81k from 2016/17.
- 3.84 In order to continue to address weaknesses identified in the Jay, Casey and Ofsted reports and to strengthen the Councils overall governance arrangements, recruitment to a permanent part time Risk Manager post has been necessary, the annual cost of this being £32k.
- 3.85 When the 2016/17 to 2018/19 Budget was set this included a proposal to generate income through the sale of spare capacity in the Council's Data Centre, a subsequent review of this proposal indicates that the market for this is very limited and the saving will therefore not be delivered. This equates to £50k in 2016/17, a further £100k in 2017/18 (£150k in total) plus a further £100k in 2018/19 and beyond (£250k in total). The £50k 2016/17 pressure is being managed in-year through the use of underspends across the wider directorate.
- 3.86 The data centre market in the UK has changed significantly over the past few years. Both private and public sector organisations are shifting services and storage to the Cloud. This shift has drastically reduced the use of on-premise solutions and therefore the requirements for local data centre space. The increasing use of the Cloud has resulted in a significant growth in large remote data centres. The Council is not able to offer our data centre within this market, because our offering would be unable to compete alongside global organisations, such as Microsoft, Google and Amazon.
- 3.87 The on-premise solutions that remain within local data centres are moving onto virtual technology platforms as ageing physical hosts reach the end of life. This conversion reduces data centre space requirements by around 80%. The shift to both Cloud and virtual server technology has removed any significant income opportunities that were previously available to the Council. It is now unrealistic for the Council to achieve the data centre efficiency targets that were previously proposed.

4. Options considered and recommended proposal

- 4.1 The pressures on the Children's and Adult's Social Care budgets identified in the September Budget Monitoring report indicate that these services are facing significant and sustained cost pressures. Although Children and Young People's Services (CYPS) and Adult Care Services have both committed to

implementing management actions, which will partially address the impact of pressures in the current financial year, the current proposals for additional resource allocations over the life of the Council's MTFS outlined in the report are essential if the Budget pressures are to be resolved and planned savings are to be delivered, setting these services on a sustainable footing.

- 4.2 Similarly, the additional resources allocations proposed for Corporate support services are necessary to support the planned developments in Children's Services and to reinstate budgets for planned savings which are no longer deliverable in the light of service developments and changing external markets.
- 4.3 All the proposals have been robustly assessed, reviewed and considered in detail and their implementation will be closely monitored to ensure that the planned mitigation of future growth in demand, service transformation and planned savings are delivered in line with the timescales set out above.
- 4.4 There will be tight monitoring and control on the investment to ensure that the funding is only spent as intended and as approved within this report. It is proposed that the funding for the investments will only be drawn down and allocated as and when the expenditure and investment action is secured and implemented. The funding will not be utilised for any other purpose.

5. Consultation

- 5.1 The robustness of the additional resource allocations and investment proposals for Children's Services have been scrutinised by the Council's Practice Partner for Children's Improvement (Lincoln City Council) and recent Peer Reviews of the Council's Looked After Children Strategy and of child care litigation underpin the proposals.
- 5.2 The additional resource allocations will be incorporated within the Council's MTFS which has been informed both by the major consultation exercises undertaken by the Council during 2015 and by ongoing consultation on the budget process, the outcomes of which will be embedded into the Budget considerations to ensure residents' priorities continue to inform the Council's decision-making.

6. Timetable and Accountability for Implementing this Decision

- 6.1 Further reports on the MTFS and the Council's 2017/18 Budget will be taken to Cabinet and Overview and Scrutiny meetings as part of the Budget Process as further work is undertaken in light of the Government's Autumn Statement and as information becomes available.

7. Financial and Procurement Implications

- 7.1 The current year projected outturn for the Council as reported within the September financial monitoring report elsewhere on this agenda shows a projected Council overspend of £8.6m. The situation is being closely monitored and mitigating actions are being taken to retrieve the situation. The majority of this overspend relates to Children's Services demand pressures as reported within this report although some also relates to Adult Social Care. Whilst all services are attempting to mitigate this overspend, it is likely that there will be some level of overspend at year end and that this will have to be funded from reserves. The actual value will depend on final outturn.
- 7.2 There is also already a need to identify £42m of further savings and cost reductions over the next 3 years and the additional investment proposed in this report will increase that in the medium to long term. Recognising the likely need to use reserves to fund some of this in the short term, the Council's current financial (financing) plans are being reviewed to consider a variety of options for re-profiling the current planned use of reserves and to identify any areas of spend that can be properly capitalised in order to reduce the pressure on the revenue budget. There will be choices in this regard, all with different implications on the Medium Term Financial Plan and respective annual budget gaps.
- 7.3 Whilst allocating the additional funding requested in this report will significantly increase the Council's estimated funding gap in 2017/18 it is considered that this is unavoidable and the investment is needed to prevent the costs escalating further. The actual final profile of the Medium Term Financial Strategy and budget gaps will depend on choices to be made as part of the forthcoming Budget.
- 7.4 It is assumed that the funding in the current year will need to be drawn from reserves and that the final value of this will depend on the outturn position as all services are attempting to reduce the overall overspend. It is assumed that in each of the subsequent years, the gap will be addressed through the budget process and further financial planning considerations. A review of all current planned use of reserves is underway and options will be brought forward for rescheduling the current planned use of reserves in order to ensure a balanced budget and financial strategy.
- 7.5 It is proposed that the specific investments totalling £608k be funded from the transformation reserve. The £7.848m demand pressure will require funding at year end from reserves determined once the outturn is known. It is likely at this stage that this will require the use of a combination of reserves currently set aside for other purposes but a final decision on this will be made when the outcome of a number of other issues are known e.g. the triennial valuation of pensions, reviews of capitalisation opportunities and review of business rates risk.

7.6 It should be noted that the resource projections and assumptions underpinning the MTFS have not been revised at this stage, pending the Government's 2016 Autumn Statement (which will be on 23rd November) and the Indicative Local Government Finance Settlement which is expected to follow it. In this the Council will be no different from other authorities, which are also facing uncertainty about levels of government funding, the results of recent consultations, the 2017 Rates Revaluation and the future of the local government finance system.

7.7 Specific funding proposals will be brought back to Cabinet when there is a clearer view of likely outturn/financial recovery for the current year.

8. Legal Implications

8.1 None directly from this report.

9. Human Resources Implications

9.1 The service investment proposals include the creation of both new permanent and temporary posts which will be undertaken in line with the Council's agreed Human resources policies.

10. Implications for Children and Young People and Vulnerable Adults

10.1 The Outline MTFS commits the Council to design and begin to implement a sustainable service to children and families, prioritising the most important interventions. The CYPS Sustainability Strategy has been developed to meet this need and to ensure that the Council is able to deliver services that are rated as "outstanding". Similarly the Adult Services Development Programme is intended to improve outcomes for service users and the proposed additional resource allocation will support delivery of this programme.

11 Equalities and Human Rights Implications

11.1 In preparing its MTFS, the Council must be mindful of the potential impact on service users. Section 149 of the Equality Act 2010 in particular imposes an obligation on Members to have due regard to protecting and promoting the welfare and interests of persons who share a relevant protected characteristic (such as: age; disability; gender re-assignment; marriage and civil partnership; pregnancy and maternity; race; religion or belief; sex and sexual orientation).

12. Implications for Partners and Other Directorates

12.1 The additional resource allocations proposed will be incorporated into the Council's MTFS and will be reflected in the ongoing budget process.

13. Risks and Mitigation

- 13.1 The proposals set out in the report are intended to address the ongoing and sustained pressures on key Council services highlighted by the September 2016 Forecast Budget Out-turn overspend of £8.6m. The additional resource allocations are intended to support major service transformations in Children's and Adult Social Care, deliver savings and to establish sustainable services going forward.
- 13.2 The expenditure and savings projections above are based upon robust and prudent assumptions and have been subject to rigorous assessment. In the case of Children's Services the proposals have been scrutinised and comprehensively reviewed by Rotherham's Practice Improvement Partner Lincolnshire County Council (LCC). Although both the Council and LCC recognise that, due to the size of the cost reduction required, the proposals are ambitious, each of the budget saving proposals have been reviewed and savings profiles are considered realistic (Children's Services has already delivered its target budget savings for this year of £1.267m from reductions in residential provision, Early Help, and School Improvement).
- 13.3 There is however still potential for levels of service demand and costs to fluctuate, both adversely and advantageously in relation to planning assumptions. In light of this it is recognised that robust governance and arrangements will need to be set in place and any funding allocations will be subject to continuous monitoring and review.

Accountable Officer(s)

Anne Ellis – Strategic Finance Manager. Tel: 01709 822019

email anne.ellis@rotherham.gov.uk

Pete Hudson – Chief Finance Manager Tel: 01709 822032

Email peter.hudson@rotherham.gov.uk

Mark Chambers – Head of CYPS Finance 01709 822238

Mark.chambers@rotherham.gov.uk

Approvals Obtained from:-

Strategic Director of Finance and Customer Services: - Judith Badger

Assistant Director of Legal Services: - Dermot Pearson

Head of Procurement (if appropriate):- N/A

This report is published on the Council's website or can be found at:-

<http://moderngov.rotherham.gov.uk/ieDocHome.aspx?Categories=2>

Appendix 1**Children & Young People's Service - Detailed Analysis of Investments and Future Savings****2016/17 Budget Planning – Investment Bid (Ref: CYPS_1A)**

Title of Investment Request	Foster Carer Payments Scheme, Support and Development
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	1.276	1.718	2.182	2.182
Current Looked after Children Budget – Net (if bid adds to an existing budget)	16.393	16.393	16.393	16.393
Estimated Savings (Cumulative)	(1.374)	(2.660)	(3.980)	(3.980)

Detail of bid

1. Outline why this growth in funding is required
<p>The need to continue to improve services and outcomes for children and young people is clear. The development of the Rotherham Looked After Children and Care Leavers Placement Sufficiency Strategy 2015-2018 set out the strategic actions to be taken to ensure children and young people on the edge of care are supported to stay with their parents or extended family and only come into care where it is absolutely necessary and justified in the best interests of the child or young person, and that all support options and strategies have been exhausted. There is a current gap in preventative provision and this growth bid is one of a range of interventions planned which require investment to provide those support options for the child.</p> <p>This bid for budget investment seeks to improve the care experience for children in Rotherham by ensuring that wherever possible they are looked after in Rotherham in a foster family environment.</p> <p>Rotherham has a shortage of all foster care placements, but particularly foster care placements for adolescents and larger sibling groups. The Council places too many young people in residential care.</p> <p>In July 2016 Commissioners and Cabinet approved a formal consultation for a period of six weeks with Foster Carers regarding the rationale and options for a revised scheme, with a view to that scheme being implemented in October 2016. A paper to Cabinet on 12th September proposed a revised scheme for Rotherham based on providing financial incentive, good quality support, and training.</p> <p>Investment in improving the 'offer' to Foster Carers will help attract additional carers to foster for Rotherham and support the retention and development of existing Foster Carers. It is an important enabler for the Council in meeting sufficiency of placement provision for Looked after Children (LAC) and ensuring that wherever possible this provision is in a Rotherham foster family environment.</p> <p>Alongside other initiatives, this will enable the reduction of overall placement costs and avoid use of more expensive Independent Fostering Agency (IFA) and residential placements.</p>

The investment required would be a recurrent requirement as outlined above over the years 2017/18 to 2020/21. It is anticipated that there will be cost reductions compared to current forecast levels of expenditure across Looked after Children (LAC) and shown in further detail in section 6 below.

2. Outline any legal and statutory framework(s) relevant to the funding growth bid

The proposals demonstrate that Rotherham Metropolitan Borough Council has implemented a fair approach in that it has considered amounts paid by comparative authorities; whilst also taking into account national research to devise proposals which will assist it in improving services and carrying out its statutory duties towards its looked after children.

3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget

The modelling undertaken by finance and the service has focused on providing a fairer and more enticing offer to existing and potential foster carers in Rotherham.

Benchmarking of nearest neighbours has been reviewed and the revised payment scheme developed in line with this and against a backdrop of an over spending overall LAC budget. There has been an increasing proportion of children being placed outside of the borough in more expensive settings, specifically Independent Fostering Agencies (IFAs), away from parents and extended family.

This bid for investment into the Foster Carer Payments and Support budget is tasked with reversing this trend. Providing better outcomes for children closer to home and at a reduced cost to the Council.

4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed

Not agreeing to the growth bid would result in a potentially increasing pressure on the CYPS revenue budget.

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

Not applicable

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?

Longer Term Benefit and Revenue Savings

The changes to foster carers' allowances are one part of a range of interventions planned as part of the wider Looked after Children Sufficiency Strategy. The Strategy seeks to deliver better outcomes for children in care by reducing the number of children in more expensive care settings through an 'invest to save' approach.

This intervention will not directly reduce the numbers of Looked After Children, but the implementation of the allowances offer and the resulting rise in placements with in-house foster carers could lead to long term savings on the overall Looked after Children budget. Assuming overall LAC numbers remain broadly static, there would be a corresponding fall in the number of LAC being placed in more expensive settings.

	2016/17	2017/18	2018/19	2019/20	2020/21
Savings (Reduced spend on in-house and independent care provision) Savings are year by year (not cumulative)	-	£1.374m	£1.286m	£1.320m	TBC
Target Increase Number in House Foster Care Placements	-	15	15	15	TBC *

* Outcome monitoring will inform target post 2020

Outcomes monitoring

LAC Sufficiency is a major project within the Children's Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPs. Progress against the individual and overall anticipated outcomes and financial savings will be monitored through a dedicated Project Team (Head of Children in Care, LAC Service Managers, Early Help Transformation Lead, Finance, and the Commissioning and Performance Team) and reported monthly to the Children's Transformation Programme Board.

They will review: the number of children who have been prevented from entering the care system; LAC numbers and costs; and the proportion of placements across the different care settings, number of placement breakdowns. This information will feed into a wider Children's Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years' service needs.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_1B)

Title of Investment Request	Therapeutic Service
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.270	0.270	0.270	0.270
Current Looked after Children Budget – Net (if bid adds to an existing budget)	16.393	16.393	16.393	16.393
Estimated Savings (Cumulative)	N/A	N/A	N/A	N/A

Detail of bid**1. Outline why this growth in funding is required**

The need to continue to improve services and outcomes for children and young people is clear. The development of the Rotherham Looked After Children and Care Leavers Placement Sufficiency Strategy 2015-2018 set out the strategic actions to be taken to ensure children and young people on the edge of care are supported to stay with their parents or extended family and only come into care where it is absolutely necessary and justified in the best interests of the child or young person, and that all support options and strategies have been exhausted. This growth bid is one of a range of interventions planned which require investment to provide those support options for the child once looked after.

The investment is required to support the child and carer and reduce the likelihood of placement breakdowns which often result in the use of more expensive placement provision, including re-entry to care or move to more expensive residential placements. There is a clear argument that breaking the cycle of disruptions through intensive provision early in the child's care journey will lead to better emotional wellbeing for children and better outcomes for these children in later life.

This bid for budget investment seeks funding for additional clinical psychologists (and/or experienced therapists) to deliver highly responsive and intensive interventions for Rotherham's children in care identified as needing it most.

This proposal will operate in conjunction with the fostering 'offer' and special guardianships whereby children 'most at risk' within Rotherham will have access to good quality and responsive wrap around therapeutic support to address the child's specific emotional and mental well-being needs. This support will be based upon building relationships and create the atmosphere to bring about positive change for that child within the foster placement/ special guardianship.

The model will work with the team around the child, where carer and professionals will develop their skills and knowledge to respond in a confident and consistent way to emerging issues, preventing escalation and disruption. The clinical psychologist will coordinate and lead in the team around the child meetings with a particular emphasis on therapeutic needs.

The 'offer' comprises of therapeutic involvement with each child/foster family as follows:

First 3 months - Dedicated 8 hours per week to establish the child in the placement,
 3-6 Months – 4 hours per week to ensure the child is settling in the placement
 6-12 Months – 1.5 hours per week once the child is settled.

The team will take lead responsibility for good therapeutic management and oversight with training, consultation and therapy being provided as required. Should there be any need to source therapeutic provision from independent services to ensure good quality provision at the best cost and will be commissioned and monitored in the best manner. Finally, the service would recruit a therapeutic social worker who will lead on therapeutic approaches including special guardianship order (SGO) provision and business support to manage the scheduling and other administrative implications of the delivering the provision. The government requires SGO support services be established and has provided money to commission therapy from the Adoption Support Fund. This proposal extends elements of that type of support to Looked after children foster placements.

The team would be working with 20 to 30 looked after young people and their carers/teams during the year. This is separate to the support offered to children in SGO placements which is larger than this, offering support groups, training and assessments for therapies which are funded by the Adoption Support Fund.

2. Outline any legal and statutory framework(s) relevant to the funding growth bid

The therapeutic support will be provided in line with the revised NICE Guidance (2010 & 2016) and recommended therapeutic practices. SGO legislation (2016).

3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget

Placement disruptions remain high, with 20 recorded disruptions occurring in the last six month period of 2016: 16 being in IFA/ independent residential care, and 4 within in-house provision.

This initiative seeks to minimise the financial pressure within the current budget. An analysis was undertaken of five children who had experienced prolific disruptions in fostering placements resulting in a move to high cost independent residential placements. All five of these young people (aged 14-17) entered care before the age of 12 years, and most stark, two of them, both 17 years of age first became looked after at the age of 2 years and age 4 years respectively. The financial cost of these five placements alone equates to £842K per year.

In terms of aspirations for these children, the prognosis is not promising. Given their social and emotional problems some of the children in care could transition into adulthood requiring support from health or adult social care provision, and that support likely to be whole life. This investment seeks to prevent or minimise such cases.

4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed

The themes emerging from reviews of cases with the highest costs but poorest outcomes for children and young people includes unusually high numbers of disruptions and young people left to drift in residential care. Lack of investment would result in a continuation of these themes.

These are not isolated to Rotherham, but is part of a national picture. The 2015 NHS Report “Future in Mind” and the revised NICE Guidance (2010 & 2016) highlights this well. These reports indicate that the recurrence of poor outcomes for looked after children is directly associated with the absence of responsive and accessible mental health service provision. Children who have experienced early life abuse and neglect, typically, children in care, are less likely to engage with services, and more likely to have services withdrawn because of their lack of engagement despite being the most in need of service. These children spiral through successive placement moves until invariably being placed in costly residential placements at distance from the local authority where their needs can never be well met. The reports

clearly set out what needs to be done to improve, promote and protect the emotional and mental wellbeing of children, which includes easily accessible service provision, timely intervention and therapeutic support at the point of need, (NHS “Future in Mind”, 2015; NICE Guidance, Revised 2016)

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

The direct staffing implications of the bid would be recruitment of :

Therapeutic social worker lead x 1 FTE (Grade J) – including SGO assessments and Adoption Support Fund commissioning

Business Support x 1 FTE (Grade E)

Indirectly the proposal involves the commissioning of 2.5 FTE additional clinical psychologists or experienced therapists to lead the new intensive therapeutic packages.

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?

Longer Term Benefit and Revenue Savings

The development of therapeutic support around looked after children is one part of a range of interventions planned as part of the wider Looked after Children Sufficiency Strategy. The Strategy seeks to deliver better outcomes for children in care by ensuring the child’s specific emotional and mental well-being needs are met.

A further outcome would be stability for the child in avoiding unnecessary disruption from having to move schools and risk their educational attainment and ability to form long term friendships.

Estimated savings from the Therapeutic Service are included within the benefits outlined from the Fostering Offer (CYPS_1A).

Outcomes monitoring

LAC Sufficiency is a major project within the Children’s Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPS. Progress against the individual and overall anticipated outcomes and financial savings will be monitored through a dedicated Project Team (Head of Children in Care, LAC Service Managers, Early Help Transformation Lead, Finance, and the Commissioning and Performance Team) and reported monthly to the Children’s Transformation Programme Board.

They will review: the number of children who have been prevented from entering care; LAC numbers and costs; and the proportion of placements across the different care settings, number of placement breakdowns. This information will feed into a wider Children’s Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years’ service needs.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_2)

Title of Investment Request	Family Group Conferencing
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.164	0.164	0.164	0.164
Current Budget – Net (if bid adds to an existing budget)	16.393	16.393	16.393	16.393
Cumulative Savings / Cost Avoidance	(0.165)	(0.627)	(1.254)	(1.914)

Detail of bid

1. Outline why this growth in funding is required
<p>The number of Rotherham children in care continues to rise. In April 2013 there were 395 children in care, in April 2016 there were 433 children in care in Rotherham a net increase of 38 or 9.62%. Latest children in care figures report a further increase reaching 460 as at 19th October 2016.</p> <p>Numbers of children placed in independent fostering and residential provision has also increased significantly over the same period with 138 children placed in out of authority placements in April 2013 increasing to 207 in August 2016. This is an increase of 69 children or 50% resulting in a projected spend of £14 Million in 2016/17. In addition to these budgetary pressures available capacity in both in-house and independent provision, especially locally, is extremely limited.</p> <p>Family Group conferencing is an effective tool for identifying and engaging with wider family members at an early stage of concern about a child, to support the parents or to provide care for the child who ultimately may be at risk of neglect or harm. A Family Group Conference is a child centred, family-led decision making and planning process.</p> <p>Such services are well established in many local authorities such as Leeds, Sheffield and Lincolnshire. Evidence from other authorities would suggest it is effective in reducing the number of children entering care. Leeds City Council have reported that in the first 6 months of delivering family group conferences for edge of care cases they prevented 90 children from coming into care and generated an estimated cost avoidance of £3.5 million. Learning also included that the family group conferencing model was most effective when delivered in-house as part of the Early Help offer.</p> <p>The proposal is to deliver the service in-house. Volumes of potential case load have been predicted based on current initial Child Protection case conference numbers per annum (278) and assumed that the approach could be used to work with the family in 50% of the cases (139). This workload is estimated to require a team of 1 coordinator and 3 family group conference practitioners. Some training would be required along with a small amount of other running costs. A total investment of £164k.</p> <p>Targeting the service primarily but not solely at children and young people at this stage would help to reduce the number of children on a child protection plan and subsequently reduce the numbers that escalate further to PLO / care proceedings and ultimately entering care. The service would also consider referrals where for appropriate CIN cases, children in care where reunification is being considered and children who have become looked after due to an emergency, to support a swift return to family / friends. Families open to Early Help who have multiple and complex needs and are part of the Families for Change</p>

cohort will also be considered.

2. Outline any legal and statutory framework(s) relevant to the funding growth bid

Family Group Conferences are identified in the revised Children Act Guidance on preparing for care proceedings, as being an important opportunity to engage friends and members of the wider family at an early stage of concern about a child, to support the parents or to provide care for the child.

The guidance also states that before reaching a decision to apply for a care or supervision order, the local authority should have taken such steps as are possible, perhaps through a FGC, to explore whether care for the child can be safely provided by a relative or friend, have assessed the suitability of possible arrangements and have considered the most appropriate legal status of such arrangements.

3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget

This initiative seeks to minimise the financial pressure within the Looked After Children budget by working to prevent children entering the care system.

4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed

Continued increase in numbers of children entering the care system.
Increased budget pressures
Increased pressure on sufficiency. (local care provision)
Increased number of children being placed out of authority and at distance. (placed in a local authority not bordering Rotherham)
Families who have long-term involvement from statutory and non-statutory services.

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

FGC Coordinator x 1 FTE
FGC Practitioner x 3 FTE

Training would be required. FGC training is approximately £450 per delegate for a 3 day accredited course.

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?

Children diverted from becoming Children in Care
Reduced spend on in-house and independent care provision
Reduced pressure on local in-house and independent care provision
Reduction of care placements made at distance (children placed in Local Authorities not bordering Rotherham)
Reduced costs in relation to statutory social worker / IRO duties around supporting / visiting / reviewing children in care (particularly placements at distance)
Reduction in legal costs in relation to care proceedings
Reduction in business support costs in relation to children in care.

Monitoring Outcomes

The Service would be part of the Early Help offer and will be performance managed as such with visibility and accountability via the Early Help Performance Dashboard.

However given the preventative nature of this intervention to the LAC sufficiency strategy, progress against anticipated outcomes will be monitored through a dedicated project team (consisting of Finance, the Adoption and Fostering Service Manager, Head of Service, Early Help and the Commissioning Team) who will meet monthly. They will review: the number of children who have been diverted from care; LAC numbers and costs; and the proportion of placements across the different care settings. This information will feed into a wider Children's Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years' service needs.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_3)

Title of Investment Request	Special Guardianship Looked After Children
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.052	0.103	0.155	0.206
Current (2016/17) Budget – Net (if bid adds to an existing budget)	0.828	0.828	0.828	0.828
Cumulative Savings / Cost Avoidance	(0.066)	(0.198)	(0.330)	(0.462)

Detail of bid

1. Outline why this growth in funding is required
<p>The need to continue to improve services and outcomes for children and young people is clear. The development of the Rotherham Looked After Children and Care Leavers Placement Sufficiency Strategy 2015-2018 set out the strategic actions to be taken to ensure children and young people on the edge of care are supported to stay with their parents or extended family and only come into care where it is absolutely necessary and justified in the best interests of the child or young person, and that all support options and strategies have been exhausted. This growth bid is one of a range of interventions planned which require investment to provide those support options for the child once looked after.</p> <p>Rotherham has a commitment to offer the best opportunity for permanence for Looked After Children by ensuring that they are looked after by family, friends or established foster care placements, wherever possible and appropriate for the child.</p> <p>Special Guardianship Orders (SGO) offer the opportunity for family, friends or existing foster carers to give a permanent home to the child without the financial loss normally associated with adoption but without Social Care or IRO input associated with foster care. Special Guardianship offers financial packages in line with the specific needs of the child and continued support through therapeutic services.</p> <p>Special Guardianship offers permanency within a family setting therefore improving the opportunities for the best outcomes for the child. The plan is to increase SGO's by around 6 per year from 2017/18.</p> <p>The assessment process for SGO is a rigorous process and includes assessment of the SGO applicant and their ability to meet the needs of the children in the short and long term. This assessment includes elements of the capacity of the applicant to manage risks presented by birth parents and wider family members, to manage the potential of divided loyalties that the prospective special guardian may experience and to ensure that the special guardian has the parenting capacity to help the child/ren to reach their maximum potential.</p> <p>Continued support from the child's social worker for an approximate period of three months after the granting of the order on a Child in Need basis to ensure completion of access to:</p> <p>Pupil Premium</p>

<p>Passports Health referrals etc are completed</p> <p>A support package is constructed for financial, emotional and any other support that is felt necessary for the child until they reach the age of independence (usually eighteen years of age but has been agreed beyond this in certain circumstances).</p> <p>Therapeutic support is a part of the support package which can be provided by the Rotherham Therapeutic team. The government has also extended access to the Adoption Support Fund for children who have become the subject of a Special Guardianship Order which also supports the longevity of a placement.</p>					
2. Outline any legal and statutory framework(s) relevant to the funding growth bid					
Special Guardianship is an alternative way of meeting statutory requirements with better outcomes for the child.					
3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget					
<p>This option is already in operation. During the past 12 months a staff member within the Fostering Team has had portfolio responsibility for permanence, this has proved to be successful with increasing numbers of carers taking this option.</p> <p>The SGO arrangement attracts an allowance, the average cost of which is circ £8.6k pa. The total additional allowances for the 6 SGO's would be £52k pa. Whilst there is still a cost implication to Special Guardianship it is significantly less than foster care or residential placement.</p>					
4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed					
<p>If the bid is not agreed and Special Guardianship packages were no longer available the options and opportunities for permanent placements for children would be limited.</p> <p>The offer of the best outcomes for children may be inhibited.</p>					
5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.					
<p>No direct staffing implications.</p> <p>Due to the proven success of having an individual staff member dedicated to permanence this will be mainstreamed as portfolio responsibility within the Fostering Team.</p>					
6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?					
<p>Longer Term Benefit and Revenue Savings</p> <p>Seeking an increase in the number of Special Guardianship Orders is one part of a range of interventions planned as part of the wider Looked after Children Sufficiency Strategy. The Strategy seeks to deliver better outcomes for children in care by reducing the number of children in more expensive care settings through an 'invest to save' approach. Special Guardianship is a less costly option than Foster Care or Residential Care and the longer term benefit is that permanence for children will be achieved.</p>					
	2016/17	2017/18	2018/19	2019/20	2020/21
Savings (Reduced spend on in-house and independent care provision)	-	£0.066m	£0.132m	£0.132m	£0.132m
Saving are year on year – not cumulative					

Target Increase Number Special Guardianship Orders	-	6	6	6	6
<p>Outcomes monitoring</p> <p>LAC Sufficiency is a major project within the Children's Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPS. Progress against the individual and overall anticipated outcomes and financial savings will be monitored through a dedicated Project Team (Head of Children in Care, LAC Service Managers, Early Help Transformation Lead, Finance, and the Commissioning and Performance Team) and reported monthly to the Children's Transformation Programme Board.</p> <p>They will review: the number of children who have been prevented from entering care; LAC numbers and costs; and the proportion of placements across the different care settings, number of placement breakdowns. This information will feed into a wider Children's Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years' service needs.</p>					

2016/17 Budget Planning – Investment Bid (Ref: CYPS_4)

Title of Investment Request	Pause Project
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.018	0.135	0.135	0.135	0.135
Current Budget – Net (if bid adds to an existing budget)	N/A	N/A	N/A	N/A	N/A
Cumulative Cost Avoidance	0.000	0.000	(0.165)	(0.495)	(0.825)

Detail of bid

1. Outline why this growth in funding is required
<p>The current bid going forward is for funding of the review only, at a cost of £18k in 2016/17.</p> <p>The development of the Rotherham Looked After Children and Care Leavers Placement Sufficiency Strategy 2015-2018 set out the strategic actions to be taken to ensure children and young people on the edge of care are supported to stay with their parents or extended family and only come into care where it is absolutely necessary and justified in the best interests of the child or young person, and that all support options and strategies have been exhausted.</p> <p>There is a current gap in preventative provision and this growth bid is one of a range of interventions planned which require investment to provide those support options for the child.</p> <p>Across the UK, Local Authorities are striving to look for ways to reduce the number of children being taken into care, often related to complex trans-generational patterns of neglect or abuse. Studies estimate a significant scale and pattern of recurrent care proceedings over a seven year period.</p> <p>At least one in four women, having previously had a child removed will return to the family court for proceedings to take further children into care. And, over a seven year period, this group of women are involved in 29% of all care applications in the UK – a total of 22,790 children.</p> <p>For Rotherham since November 2015 we have had 21 mothers who have had multiple babies removed from their care at birth. (11 - 2nd time Mums, 6 - 3rd time Mums and 4 - 4+ mums)</p> <p>We currently have a further 6 Unborn Babies currently where the risk of significant harm is so great we will be making applications to the court to remove them from their mothers care at birth. They are all repeat removals, 3 women of this cohort have become pregnant within the within 12 months from their last baby.</p> <p>Pause aims to break this cycle by intervening at a point when the women have no children in their care, creating a space in which women are supported to reflect and develop new skills and responses.</p> <p>The Pause Model Pause is an innovative, dynamic and creative solution designed to address the needs of women</p>

who have, or are at risk of, multiple children being removed from their care. It offers an intense programme of therapeutic, practical and behavioural support through an integrated model. Each woman has an individual programme designed around their needs looking at the various elements of their system.

Pause, is an organisation co-founded by Sophie Humphreys, former head of safeguarding at Hackney, that works entirely outside of the usual local authority structure and independently of the social care services. By voluntarily engaging with mothers on a one-to-one basis, creating a bespoke programme of intensive therapeutic activities and practical support, it works with women to think of themselves as individuals for what is often the first time in their lives. Women must consent to participate in the programme it cannot be mandated.

Pause has secured funds from the Department for Education's Innovation Fund to test Pause in six new local authorities with a particular focus on testing how to scale up the programme.

Pause works with women on a voluntary basis that have experienced, or are at risk of repeated pregnancies that result in children needing to be removed from their care. The programme gives women the chance to pause and take control of their lives, breaking the destructive cycle that causes them and their children deep trauma as well as costing the tax payer hundreds of millions of pounds.

Women who have experienced the loss of a child in this way are offered the choice to participate on the programme. Working with Pause they are supported to focus on themselves to take control of their lives. To do this they are required to take Long Acting Reversible Contraception (LARC) during the intervention, thereby creating a space to pause, reflect, learn and aspire. This would require a multiagency approach with health colleagues.

The pause project approach would be to first complete a viability assessment to judge whether Rotherham has the potential number of women who would consent to participate and benefit from this programme. At this stage the council would use the assessment to enter into a contract that specified the number of women to benefit. If at this stage the council's numbers were too low for the programme to be viable it could look at pairing up with another south Yorkshire partner.

Pause is working with research company Opcit in partnership with the University of Central Lancashire (UCLAN) to evaluate the new Pause pilots. They will be providing interim progress reports as well as a full evaluation report towards the end of 2016.

2. Outline any legal and statutory framework(s) relevant to the funding growth bid

3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget

This initiative seeks to minimise the financial pressure within the Looked After Children budget by working to prevent children entering the care system. The cost of this service will be considerably less than if further children were conceived and then taken into care. The programme would aim to avoid costs for a maximum of 15 children per year over three years (subject to viability assessment)

4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed

Should the funding not be agreed then the cycle of women who have experienced, or are at risk of repeated pregnancies that result in children needing to be removed from their care will continue.

Continued increase in numbers of children entering the care system.

Increased budget pressures

Increased pressure on sufficiency. (local care provision)

Increased number of children being placed out of authority and at distance. (placed in a local authority not

bordering Rotherham)

There would be an impact on attendant costs such as mental health services, substance misuse services, domestic violence services and health care.

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

National Practice Lead would be provided by Pause

Discussions are at an early scoping stage. Early indications are that staffing implications are:

Social Work Lead x 1 FTE

Social Worker x 1 FTE

Health Visitor x 1 FTE

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?

Longer Term Benefit and Revenue Savings

The Pause project is one part of a range of interventions planned as part of the wider Looked after Children Sufficiency Strategy. A preventative measure, its individual contribution to the reduction in LAC numbers over the period of the MTFs and the reduction in costs is detailed below:

	2016/17	2017/18	2018/19	2019/20	2020/21
Savings (Reduced spend on in-house and independent care provision) Saving are year on year – not cumulative	£0	£0	£0.165m	£0.330m	£0.330m
Children prevented from becoming Looked After			15	15	15

Outcomes monitoring

LAC Sufficiency is a major project within the Children's Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPS. Progress against the individual and overall anticipated outcomes and financial savings will be monitored through a dedicated Project Team (Head of Children in Care, LAC Service Managers, Early Help Transformation Lead, Finance, and the Commissioning and Performance Team) and reported monthly to the Children's Transformation Programme Board.

They will review: the number of children who have been prevented from entering care; LAC numbers and costs; and the proportion of placements across the different care settings, number of placement breakdowns. This information will feed into a wider Children's Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years' service needs.

The project would be linked to the national Pause programme and be monitored as part of any agreed requirement.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_5)

Title of Investment Request	Edge of Care
Service Area	Children's Social Care / Early Help
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.350	0.350	0.350	0.350
Current Budget – Net (if bid adds to an existing budget)	N/A	N/A	N/A	N/A
Cumulative Savings / Cost Avoidance	(0.165)	(0.594)	(1.188)	(1.848)

Detail of bid

1. Outline why this growth in funding is required
<p>The phrase 'Edge of Care' has become common terminology for describing children and families with a high level of need, such that an immediate or potential risk of family breakdown is present and entry to care is likely or imminent.</p> <p>The Edge of Care service will provide a supportive platform upon which to respond to families in crisis as well as an opportunity to deliver systemic change within our social care workforce. This will necessitate a long term plan (over five years) which will see a shift in the culture of delivery of social care in Rotherham. The proposed location of the service in Early Help will also ensure that the opportunity to intervene earlier when problems begin to emerge is enhanced by a robust continuum of evidence-based practice across the children's workforce.</p> <p>The Edge of Care service will involve the recruitment and development of a team of practitioners who will offer structured direct support to young people and their families in crisis, to enable them to remain within their immediate or wider extended family. This recurrent investment is in ten staff who will form a new Edge of Care team consisting of a team manager, social worker, psychologist, care co-ordinator (x2), family support worker (x4), and business support.</p> <p>Alongside other initiatives, this will give children and young people access to a range of services which support and enable them to remain safely with their families and therefore help to reduce LAC numbers and the cost of placements. There is also a saving associated with improving holistic outcomes for children, young people and families and giving them to the best chance to thrive without long-term reliance on services.</p> <p>The investment required would be a recurrent requirement as outlined above over the years 2017/18 to 2020/21. It is anticipated that there will be cost reductions compared to current forecast levels of expenditure across Looked after Children (LAC).</p>
2. Outline any legal and statutory framework(s) relevant to the funding growth bid
<p>The proposals contained in this report would contribute to the Council's compliance with its general duty under section 17 of the Children Act 1989, to safeguard and promote the welfare of children within its area who are in need and so far as is consistent with that duty, to promote the upbringing of such children by their families, by providing a range and level of services appropriate to those children's needs.</p>

3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget

The costs above are deemed necessary to fund the ten staff required to create an Edge of Care team capable of offering structured, direct support to young people and their families in crisis, to enable them to remain within their immediate or wider extended family.

4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed

LAC numbers would continue to increase adding further pressure to the CYPS revenue budget.

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

10 FTE staff who will form a new Edge of Care team consisting of:

Team manager x 1 FTE

Social worker x 1 FTE

Psychologist x 1 FTE

Care co-ordinator x 2 FTE

Family Support Worker x 4 FTE

Business Support x 1 FTE

Recruitment would be through the CYPS Recruitment Team.

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how will the outcomes be monitored?

The Edge of Care team is one part of a range of interventions planned as part of the wider Looked after Children Sufficiency Strategy. The Strategy seeks to deliver better outcomes for children in care by reducing the number of children in care settings through an 'invest to save' approach.

The implementation of Edge of Care and the expected reduction in LAC could lead to long term savings on the overall Looked after Children budget. It is estimated that Edge of Care alone could prevent 15 children entering care in the first year, increasing to 25 – 30 per year thereafter.

The location of the service within Early Help will support the creation of a continuum of interventions across the spectrum of families' needs and ensure that services are in a position to respond quickly and consistently as needs emerge. Long term, this strategy will push demand down from costly high tier services to less expensive early intervention.

Monitoring Outcomes

The Service would be part of the Early Help offer and will be performance managed as such.

However given the preventative nature of this intervention to the LAC sufficiency strategy, progress against anticipated outcomes will be monitored through a dedicated project team (consisting of Finance, the Adoption and Fostering Service Manager, Head of Service Early Help and the Commissioning Team) who will meet monthly. They will review: the number of children who have been diverted from care; LAC numbers and costs; and the proportion of placements across the different care settings. This information will feed into a wider Children's Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years' service needs.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_6)

Title of Investment Request	Multi-systemic Therapy (MST)
Service Area	Early Help
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	N/A	N/A	N/A	N/A
Current Budget – Net (if bid adds to an existing budget)	N/A	N/A	N/A	N/A
Estimated Savings (Cumulative)	(0.044)	(0.132)	(0.220)	(0.308)

Detail of bid

1. Outline why this growth in funding is required
<p>When a child or young person is referred to MST it is because there is a risk that they will become looked after or go into custody.</p> <p>MST is an intensive therapeutic programme that works within the whole ecology of a young person. The MST therapist will work with the whole family; the parents, the community and the school at the same time. The aim is to work in a solution-focused, strengths-based approach to empower the family to take responsibility for solving problems and to improve family functioning. The model acknowledges the fact that the family will be there for the young person into the future and beyond any service intervention.</p> <p>A shared service agreement is in place between Barnsley and Rotherham. This means that each authority shares fifty percent of the cost and fifty percent of the capacity. Due to reduced staffing in 2015-16, this equated to fourteen cases from Rotherham last year. From August 2016 the team will be at full capacity with four therapists in post. This will enable the team to hold between 20 and 25 cases in this financial year. All referrals are screened by an Early Help Manager to ensure that the service is allocated to families with the highest need.</p> <p>MST has a strong evidence base, including data from the USA and Scandinavia that shows the long-term impact of the intervention. The Early Intervention Foundation's guidebook provides an evidence rating of four – the highest available and states that, 'MST has established evidence of improving family functioning and reducing youth offending and out-of-home placements. To date only one child who has engaged with MST has become looked after. Three children have been successfully re-settled at home following a period in care or custody.</p> <p>Alongside other initiatives, this will give children and young people access to a range of services which support and enable them to remain safely with their families and therefore help to reduce LAC numbers and the cost of placements.</p> <p>The investment required would be a recurrent requirement as outlined above over the years 2017/18 to 2019/20. It is anticipated that there will be cost reductions compared to current forecast levels of expenditure across Looked after Children (LAC). In 2016/17 the service is funded through the Troubled Families Grant. This funding will end in 2020 and, from 2018/19 is increasingly reliant on payment by results outcomes and therefore not assured.</p>

2. Outline any legal and statutory framework(s) relevant to the funding growth bid
<p>The Joint Service Agreement between Rotherham and Barnsley has been approved by legal teams in both local authorities.</p> <p>The delivery of MST contributes to the Council's compliance with its general duty under section 17 of the Children Act 1989, to safeguard and promote the welfare of children within its area who are in need and so far as is consistent with that duty, to promote the upbringing of such children by their families, by providing a range and level of services appropriate to those children's needs.</p>
3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget
<p>The costs above are deemed necessary to co-fund the MST team capable of offering evidence-based, structured, direct support to young people and their families in crisis, to enable them to remain within their immediate or wider extended family. The shared service approach enables economies of scale by removing the need to fund a separate team at full cost in Rotherham.</p>
4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed
<p>Without intervention of this type and the wider LAC sufficiency strategy, LAC numbers would continue to increase adding further pressure to the CYPs revenue budget.</p>
5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.
<p>No staffing implications as all staff are Barnsley Council employees.</p>
6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how will the outcomes be monitored?
<p>MST is one part of a range of interventions planned as part of the wider Looked after Children Sufficiency Strategy. The Strategy seeks to deliver better outcomes for children in care by reducing the number of children in care settings through an 'invest to save' approach.</p> <p>The implementation of MST and the expected reduction in LAC could lead to long term savings on the overall Looked after Children budget. It is estimated that MST alone could prevent a minimum of four children entering care per year.</p> <p><u>Monitoring Outcomes</u></p> <p>Progress against anticipated outcomes will be through a dedicated project team (consisting of Finance, the Adoption and Fostering Service Manager and the Commissioning Team) who will meet monthly – liaising with the Troubled Families Service Manager – and review: the number of children who have been diverted from care; LAC numbers and costs; and the proportion of placements across the different care settings. This information will feed into a wider Children's Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years' service needs.</p>

2016/17 Budget Planning – Investment Bid (Ref: CYPS_7)

Title of Investment Request	Reunification Project - Looked After Children
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.090	0	0	0
Current (2016/17) Budget – Net (if bid adds to an existing budget)	N/A	N/A	N/A	N/A
Estimated Savings (Cumulative)	(0.132)	(0.264)	(0.264)	(0.264)

Detail of bid

1. Outline why this growth in funding is required
<p>The need to continue to improve services and outcomes for children and young people is clear. The development of the Rotherham Looked After Children and Care Leavers Placement Sufficiency Strategy 2015-2018 set out the strategic actions to be taken to ensure children and young people on the edge of care are supported to stay with their parents or extended family and only come into care where it is absolutely necessary and justified in the best interests of the child or young person, and that all support options and strategies have been exhausted. This growth bid is one of a range of interventions planned which require investment to provide those support options for the child once looked after.</p> <p>A reunification scheme run by the NSPCC for Rotherham based on providing good quality support and training to Social Care Staff to enable successful reunification is currently operating as a pilot. The contract is for a two year period to improve the care experience for children in Rotherham by ensuring that wherever possible they are looked after in their own family environment. This bid seeks budget investment for the second year of the pilot.</p> <p>The Council places too many young people in residential care. Care placements should be reviewed regularly and the option of reunification of the Looked After Child back to their birth family, where it is safe and appropriate should be considered. Although return home from care is the most common outcome for Looked After Children, research suggests that a significant number of children experience further abuse and neglect once they return home. Of those who return home, almost a third re-enter care within five years.</p> <p>The reunification project is working with families to support the child's return home and minimise the potential for their re-entry into care. The NSPCC developed the Taking Care framework in conjunction with Loughborough University. The DfE have since funded the NSPCC and the University of Bristol to create up-to-date evidence-informed practice guidance for Local Authority Social Workers to apply when considering reunification. The objective was to not only strengthen the assessment and decision making process when deciding whether a child should be returned home; but also to inform how to support children and families throughout the reunification process and following a child's return home.</p>
2. Outline any legal and statutory framework(s) relevant to the funding growth bid

The service is currently operating as a 2 year pilot scheme. RMBC has taken into account national research to devise proposals which will assist it in improving services and carrying out its statutory duties towards its looked after children.					
3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget					
The service is currently operating as a pilot scheme for 2016/2017 – 2017/2018. Part of the pilot will transfer skills to existing workers which will ensure that reunification is embedded in everyday practice.					
4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed					
The reunification project works with the families to support the child's return home and minimise the potential for their re-entry into care.					
If the project and its approach is not continued the impact would be to increase the likelihood of children's re-entry into care and in further potential trauma for the child. This is a significant expense for the Local Authority as the average annual cost for each child that returns back into care is £61,614, compared to the average annual cost of supporting a child to remain at home of just over £5,600. These are national cost estimations.					
5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.					
This pilot is already in operation.					
Whilst there are no directly employed Local Authority posts allocated to this service, the NSPCC is currently operating the £90k per annum contract with:					
2 FTE (equivalent) NSPCC CSP's and they will aim to undertake 10 pieces of case work at any one time					
0.5 FTE Team Manager					
Senior Management oversight					
At the end of the contract the work will be sustained as a result of the transfer of skills and approach to the Looked After Children team.					
6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?					
Longer Term Benefit and Revenue Savings					
Reunification project forms part of the 'Edge of Care' suite services and supports the wider Looked after Children Sufficiency Strategy. The Strategy seeks to deliver better outcomes for children in care by reducing the number of children in settings through an 'invest to save' approach.					
	2016/17	2017/18	2018/19	2019/20	2020/21
Savings (Reduced spend on in-house and independent care provision) Savings are year by year not cumulative	-	£0.132m	£0.132m	0	0
Children returned home from Care, reducing number of LAC	-	12	0	0	0
Outcomes monitoring					
LAC Sufficiency is a major project within the Children's Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPS. Progress against the individual and overall anticipated outcomes and financial savings will be monitored through a dedicated Project Team (Head of Children in Care, LAC Service Managers, Early Help Transformation Lead, Finance, and the Commissioning and Performance Team) and reported monthly to the Children's Transformation Programme Board.					

They will review: the number of children who have been prevented from entering care; LAC numbers and costs; and the proportion of placements across the different care settings, number of placement breakdowns. This information will feed into a wider Children's Social Care predictive analytics model of the social care population and be used to update the in-year forecast of expenditure and future years' service needs

Outcomes will also continue to be monitored by the Reunification Steering Group which has representation from Social Care, Commissioning and NSPCC until the practice is mainstreamed.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_8)

Title of Investment Request	Single Assessment Review Duty Team
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.166	0.166	-	-
Current Budget – Net (if bid adds to an existing budget)	1,541	1,556	1,571	1,571
Estimated Savings (Cumulative)	N/A	N/A	N/A	N/A

Detail of bid

1. Outline why this growth in funding is required
<p>The current Duty Team arrangements have been in place since March 2015, based on four Duty Teams operating on a four weekly cycle. The team on duty are responsible for all incoming assessments during their duty week. Between the end of a duty period and the start of the next it is expected that social workers will have progressed the work and be able to pick up new referrals. The number of referrals progressing to assessment can fluctuate between 45 and 115 children per duty week depending on demand, averaging around 75 children.</p> <p>As part of the improvement journey the timeliness and quality of assessments has been a key focus. The four week cycle only allows 15 working days between duty periods and as a consequence the quality and timeliness of the assessments is being impacted. This has resulted in social workers entering a new duty period with a carryover of caseloads of approximately 15 children. A resultant reduction in performance has been experienced</p> <p>The additional resource would allow for a fifth Duty Team and a cycle of 20 days between each duty week which would impact on the ability to progress work and afford the team manager sufficient time to provide robust management oversight and complete all management tasks.</p> <p>The five team structure will also allow for the current Service Manager to refocus on Team Management development and improving the standard of service delivery.</p>
2. Outline any legal and statutory framework(s) relevant to the funding growth bid
<p>All assessments are completed in compliance with Working Together 2015.</p> <p>Rotherham undertakes single assessments based on presenting needs.</p>
3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget
<p>The new proposed structure would require only 2 additional social workers and one additional team manager, reducing the team size from seven to six full time social workers per duty team. This is the minimum requirement to ensure all teams remain operational.</p>
4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed
<p>Continued lack of capacity to progress cases in a timely manner.</p>

Increased carryover of caseloads to duty.

Likelihood of an increase in the number of re-referrals into the system and reduction in the quality of assessments.

Reduced management capacity to complete management task and impact on oversight.

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

2 x Level 3 Social Worker (Grade K)

1 x Team Manager (Grade L)

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?

This additional resource will enable timely and good quality assessments to be undertaken by the Duty Team ensuring that children are safe and their risks/needs assessed.

Good quality assessments identifying both risks and needs will ensure appropriate support for the children and their families at their first involvement. This should reduce the number of re-referrals into the system ensuring cases are progressed appropriately, with the right information to put the right plan in place.

Outcomes monitoring

Children's Social Care is a major project within the Children's Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPS. Performance of the Duty Teams is monitored as part of the fortnightly performance meetings Bi weekly performance meetings and CSC Management Team Meetings. Progress will be reported monthly to the Children's Transformation Programme Board and Children's Improvement Board.. Managers have access to daily performance data and individual case records.

Outcomes and quality of practice will also be monitored through the Beyond Auditing framework as well as monthly management audits.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_9)

Title of Investment Request	Appointment of Newly Qualified Social Workers (x 22)
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.000	0.900	0.450	0.000	0.000
Current Budget – Net (if bid adds to an existing budget)	17.672	17.672	17.672	17.672	17.672
Cumulative Savings / Cost Avoidance	(0.000)	(0.330)	(0.715)	(0.825)	(0.825)

Detail of bid

1. Outline why this growth in funding is required
<p>In order to meet the demands of the Workforce Development Improvement actions in the Children and Young People's Service Improvement Plan, the Council needs to continue with its annual recruitment of a cohort of Newly Qualified Social Workers (NQSWS) into the Children and Young People's Services.</p> <p>It is considered business critical to continue this investment and the infrastructure is in place to support them with high quality placements and support. The recruitment of 22 permanent social workers will enable the phased reduction in 11 agency workers over the next 12 months and the reduction of a further 11 agency on completion of their first year in practice. This will reduce cost and help to create a permanent stable workforce.</p> <p>This is an invest to save initiative. On average a permanent experienced social worker costs £20k to £25k less per annum than the agency equivalent. The phased reduction of agency workers as a consequence of employing NQSW's provides a significant cost reduction.</p> <p>Heads of Service and the Principal Social Worker have been involved in the decision making about the placements for the NQSW's and the impact of inexperienced social workers on the teams and caseloads. As a result of this there is a plan of when 11 experienced agency social workers will leave and this is phased over the next 12 months.</p>
2. Outline any legal and statutory framework(s) relevant to the funding growth bid
N/A
3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget
The Children's Social Care staffing budget is already over spending due to the well documented improvement plan initiated following Jay, Casey and Ofsted. This investment will help the transition to a stable permanent workforce.

--

4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed

Continued reliance on high cost agency social workers.

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

The CYPS Recruitment Team has been tasked with the campaign including an Assessment Centre and interviews.

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?

Continuing the strategy of growing experienced and qualified social workers will over the medium and longer term enable the transition from a significant reliance on agency staff, which has approached 20% of the workforce over the last two years, to permanent employees. The percentage of agency staff would be expected to fall below ten percent from April 2018.

This strategy will not initially generate budget savings; however it will facilitate the release of agency staff over the next twelve to eighteen months and therefore reduce the size of the current staffing overspend. Over the next twelve months there will be a phased reduction of eleven agency members of staff and once the NQSWs have completed their first year with the authority a further eleven agency staff will be released as the 2016 NQSW intake move into permanent roles and are able to take on full caseloads.

Outcomes monitoring

Children's Social Care is a major project within the Children's Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPS. Progress will be reported monthly to the Children's Transformation Programme Board and Children's Improvement Board.

The expected outcomes in terms of permanent employees replacing temporary agency staff and the associated cost reduction will be closely monitored as part of the monthly budget monitoring process. Existing fortnightly performance reviews chaired by the Deputy Director of Social Care and monthly budget holder monitoring meetings will continue. In addition a project team consisting of the Head of Finance, CYPS Resourcing Team Leader and Business Support will meet regularly to agree "one version of the truth" staffing establishment and measure agency release against the timescale forecast.

2016/17 Budget Planning – Investment Bid (Ref: CYPS_10)

Title of Investment Request	Workforce Development and Practice Improvement
Service Area	Children's Social Care
Directorate	Children and Young People's Services
Responsible Advisory Cabinet Member	Councillor Watson

Financial summary

	2016/17 (£m)	2017/18 (£m)	2018/19 (£m)	2019/20 (£m)	2020/21 (£m)
Total amount of funding sought (net, after accounting for any other income sources)	0.061	0.339	0.494	0.406	0.406
Additional funding requirement (subject to review)	0.000	0.489	0.489	0.489	0.489
Current Budget – Net (if bid adds to an existing budget)	0.137	0.137	0.137	0.137	0.137
Estimated Savings (Cumulative)	N/A	N/A	N/A	N/A	N/A

Detail of bid

1. Outline why this growth in funding is required
<p>Professional social work practice in Rotherham has been the subject of much scrutiny in recent years. The quality and impact of Social Work is one of the key issues leading to the inadequate OFSTED judgement in 2014. In order to ensure that the quality of Social Work practice consistently and systematically improves, a learning and development programme for Social Workers, through the professional journey from ASYE (1st year in practice), through the practitioner career and into Management and Leadership levels is essential. The most improved local authorities (Hackney / Leeds) have demonstrated the importance of linking social work development to professional methodology in achieving better outcomes (both for children and on inspection).</p> <p>The learning offer in Rotherham is currently underdeveloped. A recent review of the learning offered to children's Social Workers in the borough identified that there are a very low levels of formal training sessions provided (individually and collectively these would be woefully inadequate to achieve the level of improvement in Social Work practice necessary). Though a commissioning budget does exist (£137k) this is provided to cover commissioned training for the whole CYPS Directorate, and not specific to the Social Work cohort. There is currently an insufficient learning and development structure, the absence of Rotherham "trainers" means that the Council is almost entirely dependent on commissioned training at this time. In the event that learning is to be embedded into the organisational culture, an improved and expanded training (and later research) function will be required.</p> <p>The professional landscape for Social Workers requires continuing professional development be embedded into the role. The regulatory body (Health Care Professions Council) sets out the minimum standards for Social Work CPD with the potential for Social Workers to be audited with regard to their professional development in order to retain registration. There is also the potential for Social Workers to need to undertake further accreditation (likely 2018 onwards) which will produce further requirements in relation to employer's ability to train and develop these staff. Recruitment of Social Workers and Managers is consistently difficult, and a failure to retain</p>

permanent staff leading to reliance on agency staff is one of the major factors leading to budget overspend and inefficiency in Children's Services. Research indicates that in retention terms, the learning and development offer is key in ensuring stability in the workforce over time (Eileen Munro – Review of Social Work in England and Wales 2011).

In this context, it is essential that Rotherham Council invest in the training and development of its Social Workers, in order to improve practice and produce better outcomes for children (potentially leading to longer term savings across the corporate function), reduce the risk of continued inadequate OFSTED judgements and help to improve the retention of Social Workers in the borough in the longer term.

There are 3 elements to the investment requested:

1. **Expansion of the current learning and development structure.** – Set out at section 5
2. **Commission of bespoke learning for Social Workers, Managers and Leaders of the organisation.**

The emerging Learning & Development Programme for Social Workers propounds a long term approach to the training of this cohort. In year one (2016 / 17) a Restorative Approach is suggested, to be provided for. This approach will provide consistent message in relation to *challenge and support* in practice and through the management and leadership functions. This approach has proven benefits (see Leeds OFSTED Inspection Report 2015) and has already been adopted in Rotherham's Early Help Services. The Restorative Model will be supplemented in year 1 with an additional training for all staff, prompted by the learning from audits, focussing on basic practice refresh – in the areas of law, assessment and intervention methodologies, risk identification and management, recording practice and direct work with children.

During Year 2, the roll out of the *Signs of Safety* methodology is proposed. This model is nationally significant and has been the subject of a recent Innovation Project (DFE – led by Professor Eileen Munro, London School of Economics). This model has often (when used consistently) been instrumental in producing good outcomes for children by helping practitioners to identify and build on (with parents and carers) the strengths they and others in their extended network have. The model is based on mitigation of risk through building resilience, and is consistent with programmes such as family group conferencing. The programme is typically delivered through a licensing arrangement.

During Year 3 and beyond, the proposal is to build on the more basic methodologies set out above, with a therapeutic training provision in relation to systemic practice. This is the methodology underpinning Hackney's *Reclaiming Social Work* model – Hackney is an outstanding local authority for its Children's Social Care functions. The model is delivered (usually) by the Institute for Family Therapy and will produce practitioners capable of having real impact in interventions with families through their ways of working. The cost of providing training for 150 staff (all managers and leaders and 25% of Social Workers) in year one of this provision would be £175,000. This cost will reduce to circa half (£87,500) in subsequent years, with a further 25% of the workforce trained each year thereafter.

3. **Organisational commitment to the importance of learning for Social Workers such that regular operational absence for this purpose is projected and built into the staffing structure of services.**

(NOT INCLUDED IN THIS REQUEST FOR INVESTMENT)

In the event that RMBC is committed to promoting the quality of its professional function, the time taken from operational delivery to support learning will need to be accounted for. It is usual for professional groups (Doctors / Nurses / Teachers) to account for time away

from the service delivery role such that learning and professional development is an acceptable and important task. Based on an expectation that each social worker and team manager will need to take 15 days to attend the training and embed the learning into their practice (restorative practice 2 days, signs of safety 7 days plus 6 days action learning) per year, across a Social Work and Team Manager (equivalent) cohort of 197 full time equivalents, this would require circa 10 additional posts at a cost of £489,000.

The proposal is to use the additional investment to supplement the existing Advanced Practitioner role in the children's social work structure. Currently, Advanced Practitioners in Rotherham, provide the most senior of the social work practice functions. The post offers incentives to senior and experienced social workers to remain in practice, helping to provide a career structure for practitioners. The post also has a number of specific practice functions, around the mentoring and development agenda (in teams), by leading practice improvement through coaching, direct teaching, observation and feedback. This post would be crucial to embedding real practice change in Rotherham, though in existing arrangements if available in only 9 of the 20 fieldwork teams. By using the additional resource to support learning, to supplement this level within the social work structure, Advanced Practitioners could be embedded in every children's team and used to provide additional capacity to cover social work absence as a result of training / learning time (both upward for Team Managers and downward, for social workers), whilst also providing the additional functions (set out above) across all teams.

The associated service impact of not taking this approach would be the loss 19,000 social work hours per annum.

2. Outline any legal and statutory framework(s) relevant to the funding growth bid

The children's social care functions are required by statute. The Children Acts 1989, 2002 and 2004 set out the obligations of the local authority most completely, with the duties to provide services for *children in need*, investigate *actual or likely significant harm*, and protect children from abuse. *Working Together to Safeguard Children* provides the statutory guidance in relation to the local authority's functions.

In brief terms, the local authority is required to (not exhaustive):

- Safeguard, protect and promote the welfare of children in need and children suffering significant harm, through provision of services, assessment and intervention, public law applications, help for extended families and provision of care and leaving care services.
- Chair case conferences and statutory reviews of looked after children;
- Have sufficient accommodation to meet the needs of the looked after population;
- Provide a function to ensure the address of allegations against staff working with vulnerable people;
- Provide advocacy and rights services for children;
- Contribute to local Safeguarding Board and other multi-agency arrangements to ensure co-operation and effective work in these areas across the partnership.

As set out above, Social Workers are professionals required to register with and regulated by the HCPC, and compliance with the requirement of the regulator, regarding continuing professional development is needed.

3. Outline management efforts taken to reduce the size of this bid or to contain/minimise the associated financial pressure within the current budget

The proposals set out above are based on a realistic projection about the number of staff who would need to be trained, in each team and service to have a significant overall impact on the quality of practice, beyond the actual trained cohort. The figures given for the commissioned systemic training

provision are based on the training of the entire management cohort (required to lead and embed practice change) with 25% of the remaining workforce (practitioners) receiving that training in year one. The proposal suggests a provision on the basis of a continued 25% practitioner training in forthcoming years. This will produce roughly 2 practitioners per team by the end of 2018 who are trained in systemic practice, with a further 2 in each team in forthcoming years. This is the minimum required to have a significant impact on practice.

With regard to the *Signs of Safety* methodology – attempts are being made to clarify the relative costs and benefits of the licensed and non-licensed route, with a view to minimising unnecessary expenditure whilst retaining the required practice impact.

The proposals around additional staffing (both by way of creation of a fit for purpose training function and backfill for release of operational staff for training) are explained within the report. Attempts have been made to slim these functions and costings have taken into account existing posts in the structure (for example the current Practice Auditors and Consultant Social Workers).

4. Identify the consequences and outcomes to the service, service users and members of the public if the funding growth bid is not agreed

As set out in section 1, there are multiple potential consequences of failing to provide an adequate learning and development offer to support the professional Social Work function.

1. The potential for Social Work practice to insufficiently improve, leading to further inadequate OFSTED judgements with the associated organisational and reputational risk;
2. The potential negative impact on Rotherham's ability to recruit and retain Social Workers, in a national context of significant professional shortage at practitioner and management levels. This will lead to a reliance on agency staff and continued financial pressures;
3. In the national context, more and more local authorities are moving towards a model of methodological embedding, producing a more professional, consistent and skilled function. If Rotherham is to compare favourably in the medium and longer term (both on inspection, by way of recruitment potential and by way of best outcomes for its youngest and most vulnerable residents) this investment is essential.

5. Outline any staffing implications of the growth bid (using FTE figures where relevant) – e.g. recruitment requirements, re-deployment, temporary/agency contracts etc.

The current training function is currently comprised of a small number of posts across the Safeguarding (Child's Social Care) Service and Commissioning Service. Currently, the only dedicated training posts are 2 Coordinator posts (Partnership and Standards respectively). In the Safeguarding Service, 3 Practice Consultants (Grade K) contribute a part of their current role (roughly 40%) to the training agenda.

The proposal is to create a "Quality and Learning Service" under the current Head of Safeguarding, bringing audit and learning functions under one Service Manager – with a responsibility to lead on the cultural, quality and practice changes needed across the organisation. Under this Service Manager, the proposal is to retain 2 bespoke Audit Consultant posts (likely Grade K), 4 Learning and Research Officers (likely Grade K) and one Learning and Development Manager (likely Grade L), in addition to the 2 existing Coordinator posts (currently Grade J and I). The new posts proposed will be Social Work professionals (with expertise in the field of learning and development).

This (if accepted) would provide the capacity to develop an RMBC Social Work learning offer, reducing the long term dependence on commissioned training and begin to embed the learning culture required to successfully and sustainably improve. The increase in cost for this service would be £319,000.

6. What are the longer term benefits that the investment could bring; any revenue savings if applicable; and how the outcomes will be monitored?

Summary of investment

	2016/17	2017/18	2018/19	2019/20	2020/21
Expansion of the current learning and development structure	31,000	318,945	318,945	318,945	318,945
Commission of bespoke learning	30,000	20,000	175,000	87,500	87,500
Total Investment	61,000	338,945	493,945	406,445	406,445
Staffing Investment (operational absence) **UNDER REVIEW**	0	488,830	488,830	488,830	488,830

The associated measures / benefits are:

- Identifiable practice improvement – measured through audit, Monitoring Visits (OFSTED) and Inspection (OFSTED);
- Better outcomes at an earlier stage for children, resulting potentially in fewer children in care and within the statutory parts of the CSC function;
- Increased retention and successful recruitment of Social Worker leading to Rotherham being an employer of choice in the region.
- Positive reputational impact.

Outcomes monitoring

Workforce Development for Children's Social Care is a major project within the Children's Transformation Programme. The senior lead for the Project is the Deputy Strategic Director for CYPS. Progress will be reported monthly to the Children's Transformation Programme Board and Children's Improvement Board.

Summary Sheet

Council – 7 December 2016

Title:

Rotherham Community Infrastructure Levy (CIL)

Is this a Key Decision and has it been included on the Forward Plan?

Yes – key decision taken by Cabinet on 14 November 2016

Strategic Director Approving Submission of the Report

Damien Wilson, Strategic Director, Regeneration & Environment

Report Author(s)

Andy Duncan, Planning Policy Manager, Planning, Regeneration & Transport

Ward(s) Affected

All wards

Summary

At its meeting on 14 November 2016, the Cabinet agreed to recommend approval for the adoption of Rotherham's Community Infrastructure Levy (CIL). This is a new means of securing funding for the infrastructure required as a result of development proposed in the Council's Local Plan. Having passed examination by a planning inspector, the Council can now progress to adopt and implement the Levy.

In order to give effect to the recommendations from Cabinet, consideration and approval by Council must be given to the recommendations set out below. The report detailing the reasoning behind the recommendations is appended in order to provide Members with sufficient knowledge to agree the proposals.

Recommendations

1. That Council be recommended to approve the adoption of the Rotherham CIL Charging Schedule, with the implementation date of the charge to be publicised in due course.
2. That Council be recommended to approve the Rotherham CIL Instalments Policy, as set out in the attached report.
3. That Council be recommended to approve the procurement of appropriate software to implement and monitor the CIL charge, subject to the Council's normal procurement policies.

List of Appendices Included

Report to Cabinet and Commissioners' Decision Making Meeting – 14 November 2016 'Rotherham Community Infrastructure Levy (CIL)'

Background Papers

Minutes of the Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Consideration by any other Council Committee, Scrutiny or Advisory Panel

Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Council Approval Required

Yes

Exempt from the Press and Public

No

Summary Sheet

Council Report

Cabinet and Commissioners' Decision Making Meeting – 14 November 2016

Title

Rotherham Community Infrastructure Levy (CIL)

Is this a Key Decision and has it been included on the Forward Plan?

Yes, and has been included on the Forward Plan

Strategic Director Approving Submission of the Report

Damien Wilson, Strategic Director, Regeneration & Environment

Report Author(s)

Andy Duncan, Planning Policy Manager, Planning, Regeneration & Transport

Ward(s) Affected

All wards

Summary

The report seeks approval for the adoption of Rotherham's Community Infrastructure Levy (CIL). This is a new means of securing funding for the infrastructure required as a result of development proposed in the Council's Local Plan. An independent examination has been held into the CIL Charging Schedule, which sets out the rates the Council proposes to charge qualifying development. The examiner has concluded that the Council's proposals strike an appropriate balance between funding infrastructure via the levy and the potential effects on the economic viability of development in the Borough. Having passed examination, the Council can now progress to adopt and implement the Levy.

Recommendations

1. That the Rotherham CIL Charging Schedule be approved and recommended to Council to be adopted, with a provisional implementation date of 6 April 2017.
2. That the Rotherham CIL Instalments Policy be approved.
3. That the procurement of appropriate software to implement and monitor the CIL charge be approved, subject to the Council's normal procurement policies.

List of Appendices Included

Appendix 1: Rotherham CIL Charging Schedule
Appendix 2: Rotherham CIL Regulation 123 List
Appendix 3: Rotherham CIL Instalments Policy

Background Papers

Examiner's report on Rotherham CIL Charging Schedule:

<http://rotherham.objective.co.uk/file/4110121>

Consideration by any other Council Committee, Scrutiny or Advisory Panel

The Rotherham CIL Charging Schedule was considered by Overview and Scrutiny Management Board at its meeting on 4 November 2016.

Council Approval Required

Yes

Exempt from the Press and Public

No

Title

Rotherham Community Infrastructure Levy (CIL)

1. Recommendations

- 1.1 That the Rotherham CIL Charging Schedule be approved and recommended to Council to be adopted with a provisional implementation date of 6 April 2017.
- 1.2 That the Rotherham CIL Instalments Policy be approved.
- 1.3 That the procurement of appropriate software to implement and monitor the CIL charge be approved, subject to the Council's normal procurement policies.

2. Background

- 2.1 Rotherham's Community Infrastructure Levy (CIL) will help to secure funding to support local infrastructure required as a result of development proposed in Rotherham's Local Plan. Introduced via the Planning Act 2008, CIL will largely replace Section 106 financial contributions that are negotiated on a site-by-site basis as part of securing planning permission. Section 106 will still be used, where appropriate, to secure affordable housing and on-site mitigation of any negative impacts of development.
- 2.2 CIL is mandatory for certain types of development and is charged on a pounds sterling (£) per square metre rate of new development floorspace. The CIL rates, set out in a charging schedule (**Appendix 1**), are dependent on development type and geographical location.
- 2.3 The charging schedule is accompanied by a "Regulation 123" list (**Appendix 2**) which sets out what types, or specific items, of infrastructure the Council may fund, in whole or in part, from CIL income. If an item is listed in the Regulation 123 list to be funded via CIL, the Council cannot then require funding for the same item via Section 106. This prevents double charging via both CIL and Section 106 payments.
- 2.4 It is proposed to offer payment of the CIL charge by instalments (**Appendix 3**).
- 2.5 Rotherham's CIL has been prepared in tandem with the Local Plan. An Infrastructure Delivery Study established what infrastructure would be required to support the Local Plan's proposals for new development. Revenue from CIL has to be ring-fenced for infrastructure but the Council has flexibility to determine what its priority infrastructure projects should be to help deliver the development in the Local Plan.
- 2.6 Further studies into the economic viability of development in the Borough have led to two periods of consultation on the Council's CIL proposals. The latest, on a CIL Draft Charging Schedule (approved by Cabinet 05/11/2014, minute C89 refers), was undertaken between 24 November 2014 to 5 January 2015. An earlier CIL Preliminary Draft Charging Schedule was published in August 2013 and subject to public consultation between 5 August and 7 October 2013. As part of the CIL production process two developer workshops were held on 12 December 2012 and 19 June 2014.

- 2.7 This process culminated with the submission and examination of the CIL by an independent planning inspector. The CIL was submitted for examination by the Council on 12 February 2016 (approved by Council 27/1/16, minute 121 refers), with the examination being held on 28 April 2016.

3. Key Issues

Adoption

- 3.1 The Council has carried out public consultation on the CIL Draft Charging Schedule and has amended the document as a result. The planning inspector has examined the schedule and has found the document to be sound, subject to some modifications which are set out in the examiner's report. In summary, these relate to some wording changes to clarify the definition of certain types of development and to replace the maps in the draft document with clearer versions. These modifications have been made to the CIL Charging Schedule.

Implementation

- 3.2 Before implementing the CIL Charging Schedule it must first be adopted by the Council. Following adoption, the Council can then determine a date for the charging schedule to come into force.
- 3.3 A project group is working on the practicalities of implementing the charging schedule. This primarily involves the Planning, Finance and Legal services. The Council will also need to implement a robust software system for the collection, recording and monitoring of CIL income. As such, the Council's Procurement service is assisting.
- 3.4 Bearing in mind the time for the formal approval process, the technical work that is required to implement the charge, the level of staff resource available and other work priorities (for instance, the ongoing independent examination of the Local Plan), the earliest realistic date to begin charging is 6 April 2017. Officers are working to this date. This will allow the necessary time to procure, install and test the CIL software; train staff in its use and the CIL generally, implement cross-service working protocols; and, raise awareness with developers and planning agents that the CIL charge will commence.

Monitoring and spending CIL income

- 3.5 A Council Infrastructure Delivery Group made up of members and senior officers will oversee the implementation of the CIL Charging Schedule. This group will also monitor the income received from the charge and consider the infrastructure that should be funded by such income. The reporting and approval route for spending decisions will need to be agreed.

4. Options considered and recommended proposal

- 4.1 The Council could decide not to adopt the CIL Charging Schedule. This would retain reliance on ad hoc Section 106 agreements from developments. As of April 2015, local authorities can no longer "pool" Section 106 receipts from different developments or types of development. This restricts the future income the Council

can expect from Section 106 and is in line with the Government's intention that Section 106 agreements should be phased out.

4.2 In contrast, implementing CIL has many benefits, including:

- It is fairer and more transparent – the charge will be spread over more developments and developers will know the charge in advance.
- It gives the Council and local communities the freedom to set infrastructure spending priorities.
- It provides a more predictable funding stream – unlike the uncertainty of Section 106 agreements that must be justified on a case by case basis.
- It gives developers more certainty and quicker planning decisions – developers can factor the CIL charge into land purchase decisions and avoid lengthy Section 106 negotiations.
- The neighbourhood portion of CIL income rewards communities that accept new development – a proportion of CIL income goes directly to parishes where new development occurs, helping to fund new infrastructure decided by local people.
- At the same time the Council can focus spending on strategic infrastructure by using CIL income (in whole or part) rather than being reliant on Section 106 agreements.
- Implementation of CIL is supported and promoted by Government.
- The CIL rates are set at an affordable level to avoid harming development viability.

4.3 It is recommended that the Council adopt and implement the CIL Charging Schedule.

5. Consultation

5.1 Consultation on the CIL Charging Schedule was carried out in line with legislative requirements. This has entailed two periods of public consultation:

- CIL Preliminary Draft Charging Schedule (5 August – 7 October 2013)
- CIL Draft Charging Schedule (24 November 2014 – 5 January 2015)

5.2 Both consultations were targeted primarily at developers and their agents and infrastructure providers, but also councillors, parish councils, other local authorities and the public. The consultation periods were supplemented by two developer workshops on 12 December 2012 and 19 June 2014.

5.3 Following the independent examination of the CIL Charging Schedule, at the examiner's request the Council carried out further consultation on some additional viability evidence and amended definitions for certain types of development. This consultation ran from 9 to 23 May 2016.

6. Timetable and Accountability for Implementing this Decision

- 6.1 The table below sets out the timescale and accountability for implementing the recommendations in this report:

Stage	Date	Accountability
Report to Cabinet/ Commissioners' Decision Making Meeting regarding approval of the CIL Charging Schedule	14 November 2016	Cabinet/ Commissioners
Report to Council regarding adoption of the CIL Charging Schedule	25 January 2017	Council
Implementation of the CIL Charging Schedule	6 April 2017 (provisional)	Planning Policy/ CIL Project Group

7. Financial and Procurement Implications

- 7.1 In the majority of cases, CIL charges will replace the amounts currently paid by developers via Section 106 agreements so most developers are not likely to notice a difference in cost. However, developments that have been below current Section 106 size thresholds will now be required to make a contribution towards cumulative infrastructure costs.
- 7.2 It is important to stress that, although significant, CIL revenue will only help to contribute towards total infrastructure costs. In the early years of the CIL charge, revenue will remain relatively modest and is likely to play a more important role in helping to release other funding sources. In the later years of the Local Plan period as larger residential developments are built out, CIL income is projected to average around £1.3m per annum. As the economy improves there may be scope to revise the CIL charging rates.
- 7.3 The Planning Service has met the costs associated with the production of the Community Infrastructure Levy, including its consultation, from existing budgets. Legal Services (statutory costs) have covered the costs of the examination, including the appointment of an examiner. The CIL Regulations allow for up to 5% of CIL income to be used for the preparation and ongoing administration of CIL which, in the first three years of CIL implementation, can be pooled on a rolling basis to meet the initial preparation and set-up costs. It is intended that the Council will employ this provision.
- 7.4 The cost of any software system the Council's purchases to implement and monitor the CIL charge can also be recouped from CIL income as described above. Finance and Procurement Services are represented on the CIL project group.

8. Legal Implications

- 8.1 This report and appendices have been agreed with Legal Services. The adoption and implementation of CIL will require ongoing support from Legal Services to ensure the

Council meets the requirements of the appropriate regulations. Legal Services are represented on the CIL project group.

9. Human Resources Implications

- 9.1 The implementation of CIL will require a dedicated officer to ensure charges are levied at the appropriate rate and at the correct stage in the development process. Ensuring charges are paid on time will require close working between the Planning, Legal and Finance services. Discussions are ongoing over how such a post will be provided, whether by reassignment of an existing officer or a new post. CIL income can be used towards the cost of this post.

10. Implications for Children and Young People and Vulnerable Adults

- 10.1 Provision of new school places at named schools is included in the CIL Regulation 123 List as infrastructure that may be funded by CIL income. Continuing close working between the Planning and Education services will be required to prioritise and programme such spend as appropriate. This will be overseen by the Council's Infrastructure Delivery Group.

11. Equalities and Human Rights Implications

- 11.1 There are no equalities or human rights implications associated with adoption of the CIL Charging Schedule.

12. Implications for Partners and Other Directorates

- 12.1 The CIL will enable the Council to help fund infrastructure, provided by a range of services, both within and beyond the Council. Preparation of the Levy, and its supporting evidence base, has involved significant collaboration with other internal Council services and various external bodies responsible for the provision of infrastructure. It is essential this joint working continues to enable the infrastructure needs of development to be identified in advance of its implementation. It will also be important to ensure that the CIL rates remain appropriate given the need to respect economic viability trends, be they better or worse than those at the time of CIL preparation.
- 12.2 The Council will need to establish mechanisms to identify its priorities for spend of CIL income in the most efficient manner, to achieve timely and relevant infrastructure.
- 12.3 It is proposed that prioritisation of CIL revenue spend on items shown on the Regulation 123 List will be guided by an Infrastructure Delivery Group. This will also be informed by liaison with developer and infrastructure service providers to help support the delivery of the Local Plan.
- 12.4 Recommendations on the priorities for CIL spend, and the rationale behind these decisions, including linkages with the Council's capital strategy process, will be reported to future meetings.

13. Risks and Mitigation

- 13.1 The Community Infrastructure Levy is one of the key funding mechanisms that can help fund the infrastructure needed to deliver the development proposed in Rotherham's Local Plan.
- 13.2 Failure to introduce a local CIL could restrict the Council's ability to ensure that new development contributes to strategic infrastructure, such as transport and education. This type of infrastructure is most likely to be affected by the limitation on pooling Section 106 obligations which came into force nationally in April 2015. It is a priority that Rotherham adopts its CIL Charging Schedule as soon as practically possible.
- 13.3 The Sheffield City Region Devolution Deal may potentially result in future proposals for a City Region-wide Community Infrastructure Levy. At present though, there are no firm proposals for this and the introduction of a CIL remains a decision for individual councils.

14. Accountable Officer(s)

Damien Wilson
Strategic Director of Regeneration & Environment

Approvals Obtained from:-

Strategic Director of Finance and Customer Services:-
Jonathan Baggaley, Finance Manager

Director of Legal Services:-
Sumera Shabir, Planning Solicitor

Head of Procurement (if appropriate):-
Kerri Moxon, Procurement Category Manager

This report is published on the Council's website or can be found at:-

<http://moderngov.rotherham.gov.uk/ieDocHome.aspx?Categories>

Title: Rotherham Community Infrastructure Levy**Appendix 1: Rotherham CIL Charging Schedule****Rotherham Metropolitan Borough Council
Community Infrastructure Levy (CIL)
Charging Schedule: July 2016****1. Statement of statutory compliance**

- 1.1 Rotherham Metropolitan Borough Council is a Charging Authority for the Community Infrastructure Levy (CIL) and has produced a Draft Charging Schedule that has been approved and published in accordance with Part 11 of the Planning Act 2008 (as amended) and the CIL Regulations 2010 (as amended).
- 1.2 In setting the levy rates, in accordance with CIL Regulation 14, the Council has struck an appropriate balance between:
 - the desirability of funding from CIL (in whole or in part) the actual and estimated total cost of infrastructure required to support the development of the Borough, taking into account other actual and expected sources of funding; and
 - the potential effects (taken as a whole) of the imposition of CIL on the economic viability of development across the Borough.
- 1.3 The Charging Schedule was approved by Rotherham Metropolitan Borough Council on [date to be inserted following Council approval].
- 1.4 The Charging Schedule will come into effect on [date to be inserted following Council approval].
- 1.5 The Council will keep the Charging Schedule under review to assess the operation and impact of the charging rates on development and to take account of subsequent development plan documents.

2. Proposed CIL rates

- 2.1 The Council will charge the following levels of Community Infrastructure Levy, expressed as pounds sterling (£) per square metre, on the gross internal floorspace of net additional liable development. For residential uses the CIL charge rates vary by the zones shown on Maps 1 to 6 appended to this schedule; for all other uses the amounts apply Borough-wide.

Development type	Charge area (see Maps 1 to 6)	CIL charge rate (£/m ²)
Residential Zone 1 High	Broom, Moorgate, Whiston, Wickersley, Bramley & Ravenfield	£55
Residential Zone 2 Medium	Rural North West, the Dearne and South Rotherham	£30
Residential Zone 3 Low	Rest of Rotherham Urban Area (part)	£15
Residential Zone 4	Bassingthorpe Farm Strategic Allocation	£15
Retirement Living ¹	Borough-wide	£20
Supermarket ²	Borough-wide	£60
Retail Warehouse/ Retail Park ³	Borough-wide	£30
All other uses	Borough-wide	£0

¹ Retirement Living are residential units which are sold with an age restriction typically over 50s/55s with design features and support services available to enable self-care and independent living. For the purposes of the CIL charge, this type of development has been excluded from the residential use category.

² Supermarkets are shops above 370 square metres gross internal floorspace where weekly and daily food shopping needs are met and which can also include non-food floorspace as part of the overall mix of the unit.

³ Retail Warehouses/Retail Parks are stores above 1,100 square metres gross internal floorspace (this includes any mezzanine floorspace) selling comparison goods such as bulky goods, furniture, other household and gardening products, clothing, footwear and recreational goods.

3. Calculation of the Chargeable Amount of CIL

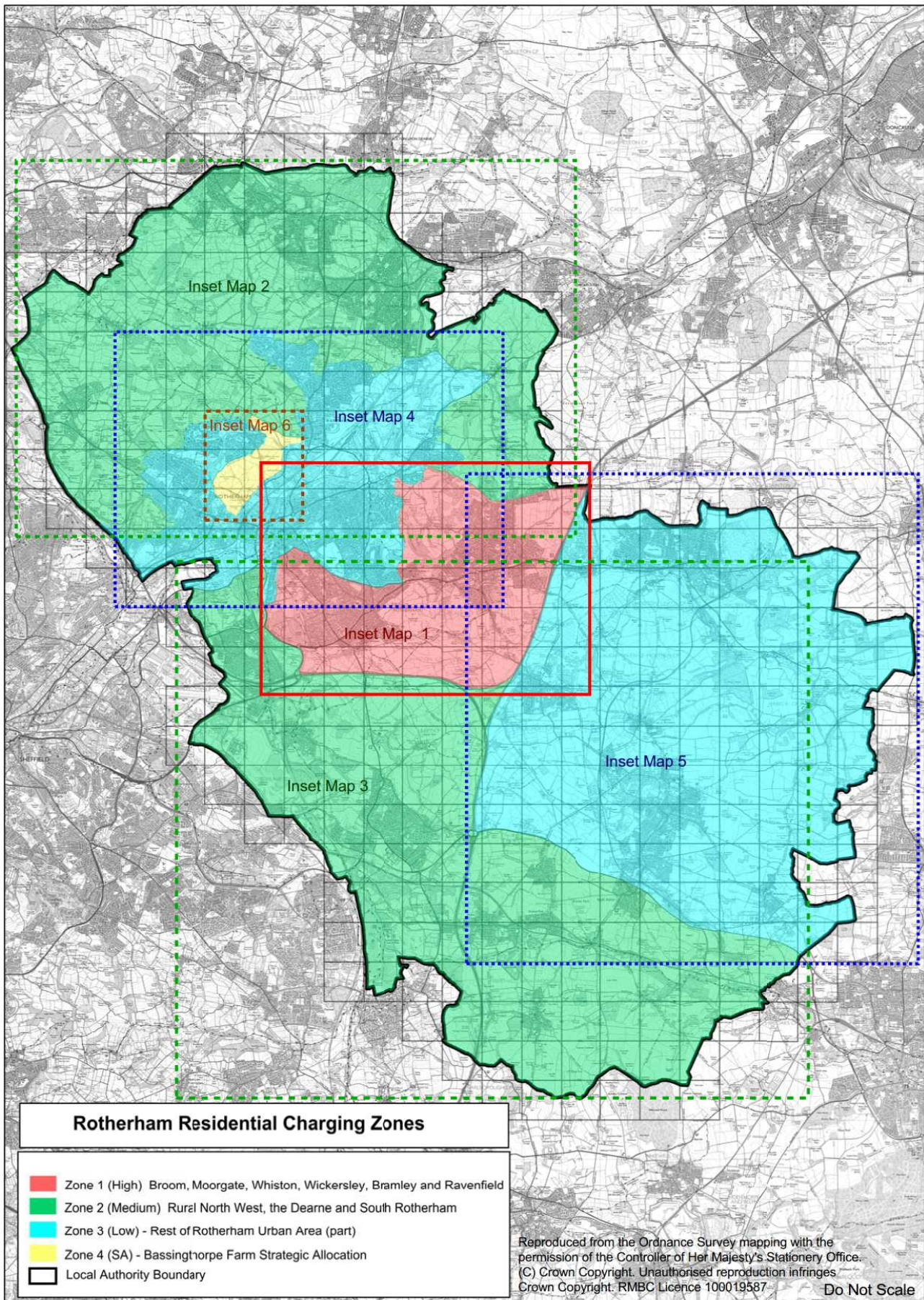
- 3.1 The method of calculation of the amount of CIL to be paid for liable development is set out in Part 5 (Regulation 40) of the Community Infrastructure Levy Regulations 2010 (as amended).
- 3.2 In summary (and subject to any changes that have occurred or may occur as result of future amendments to the Regulations) the amount of CIL chargeable will be calculated as follows:

$$\frac{\text{CIL rate x chargeable floor area x BCIS}^4 \text{ Tender Price Index (at date of planning permission)}}{\text{BCIS Tender Price Index (at date of charging schedule)}}$$

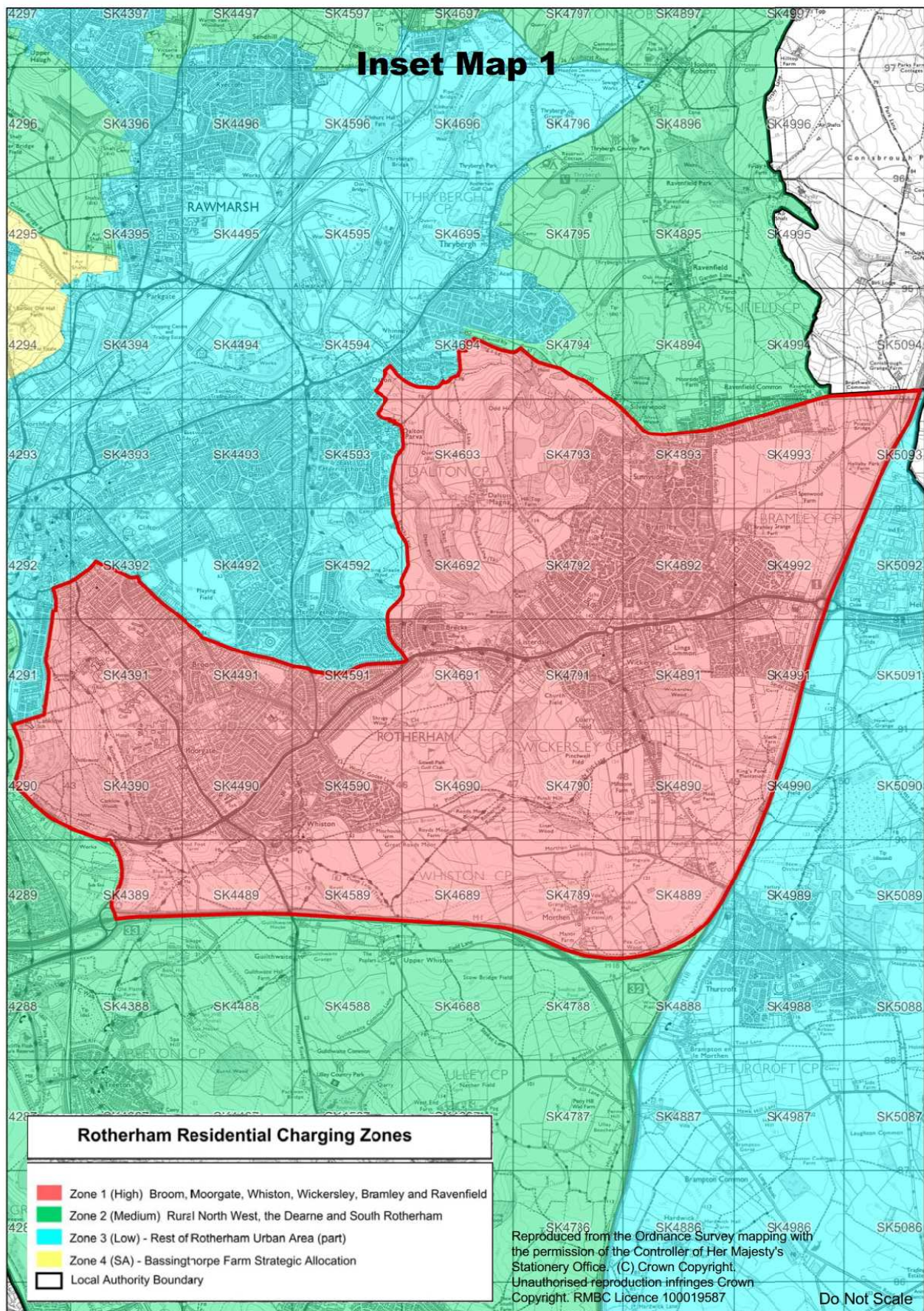
- 3.3 This summary does not take account of every aspect of the CIL Regulations, which should be read in conjunction with this document. The regulations are available at <http://www.legislation.gov.uk/ukxi/2010/948/made>

⁴ BCIS Building Cost Information Service published by RICS at <http://www.rics.org/uk/knowledge/bcis/>

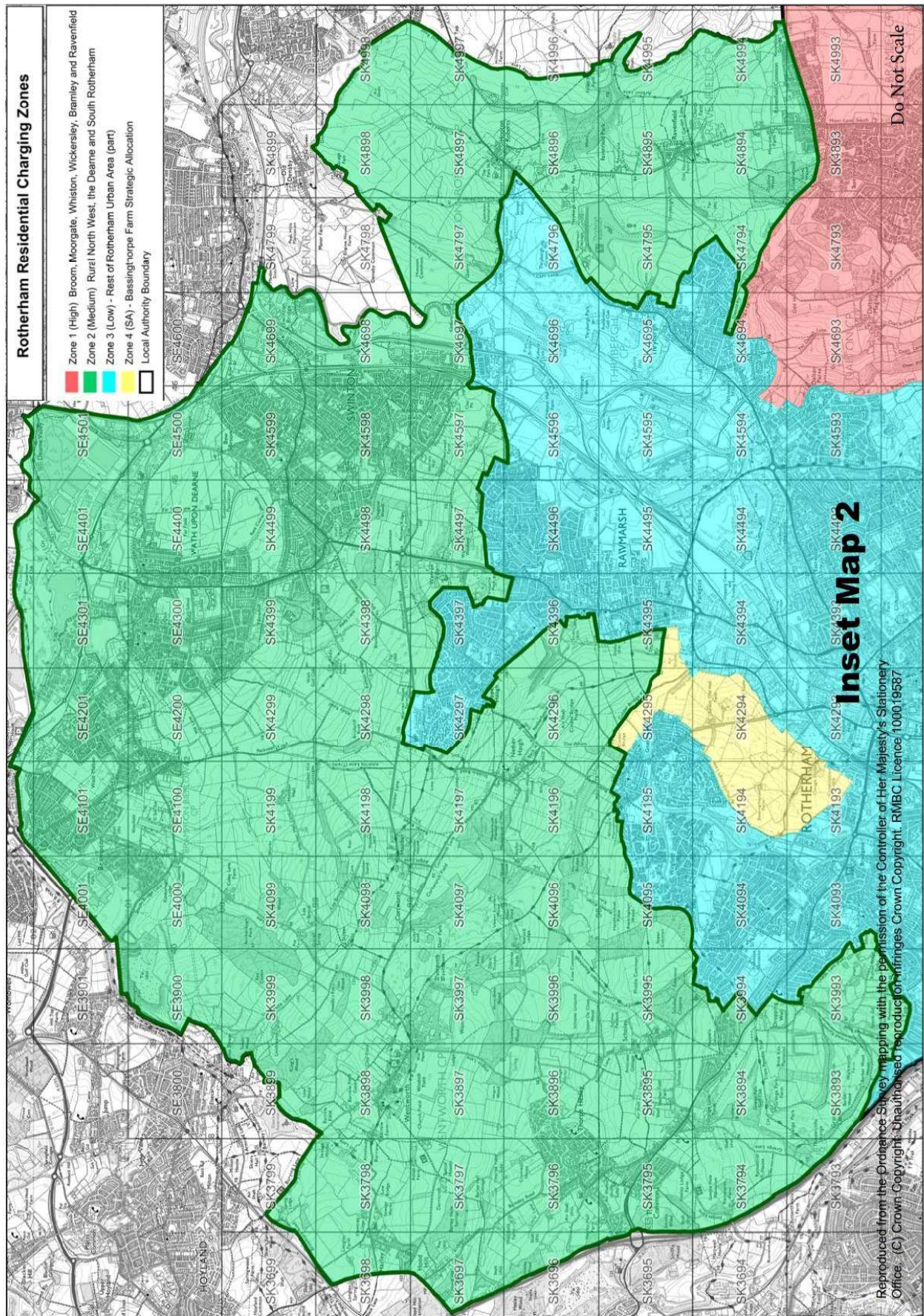
Overview Map: Residential Charging Zones 1 to 4 (Borough-wide)



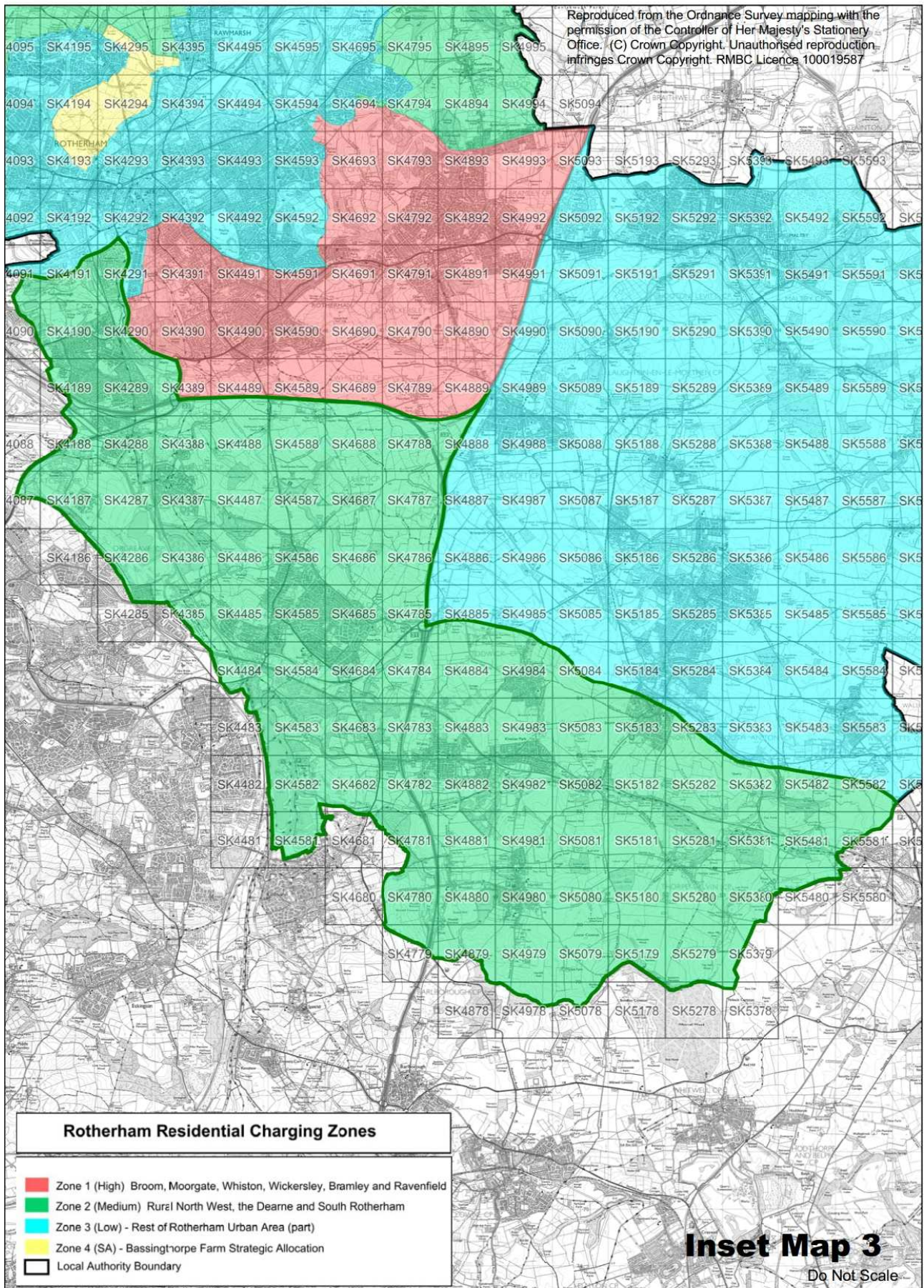
Inset Map 1 – Residential Charging Zone 1 (High)



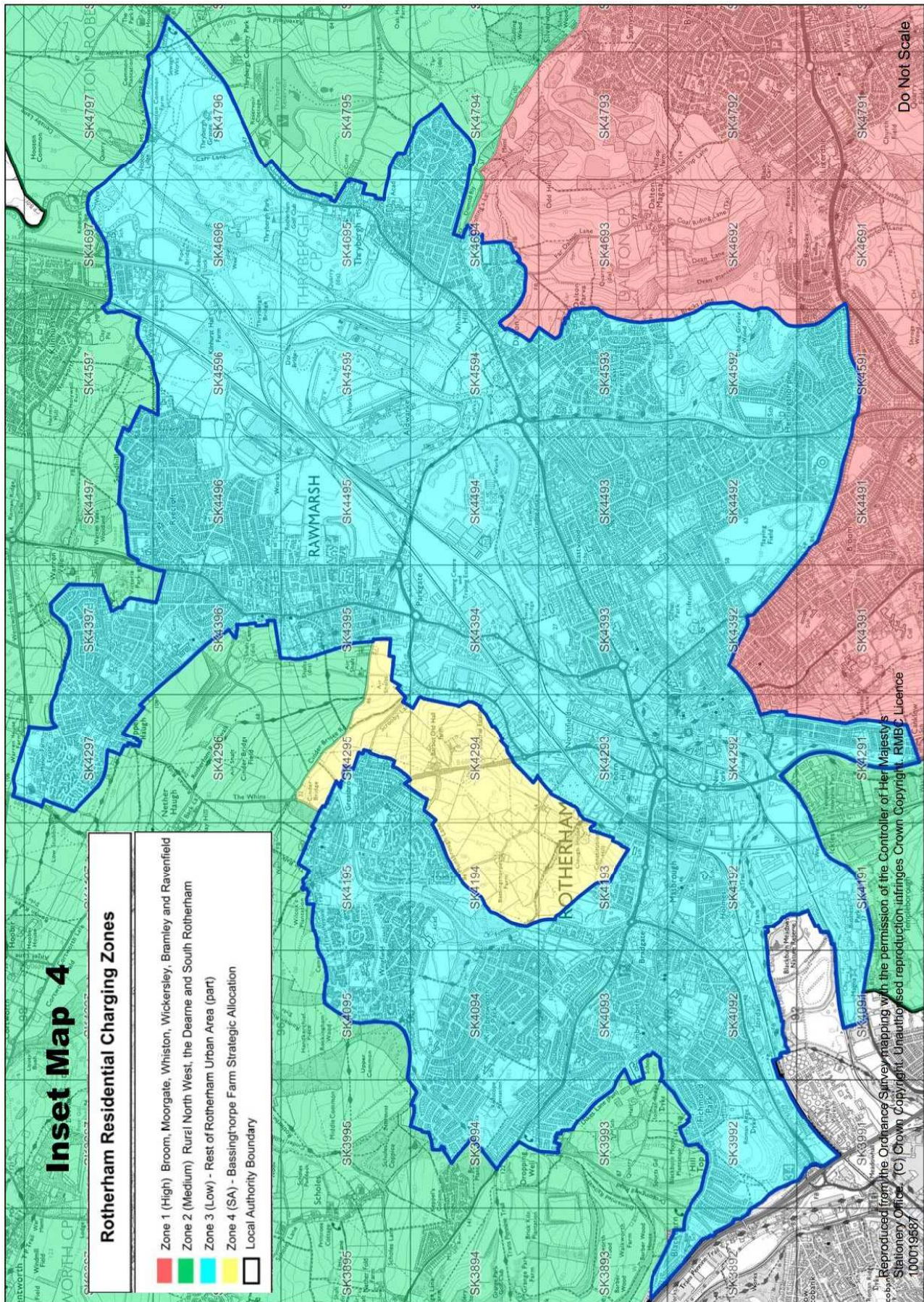
Inset Map 2 – Residential Charging Zone 2 (Medium) (North)



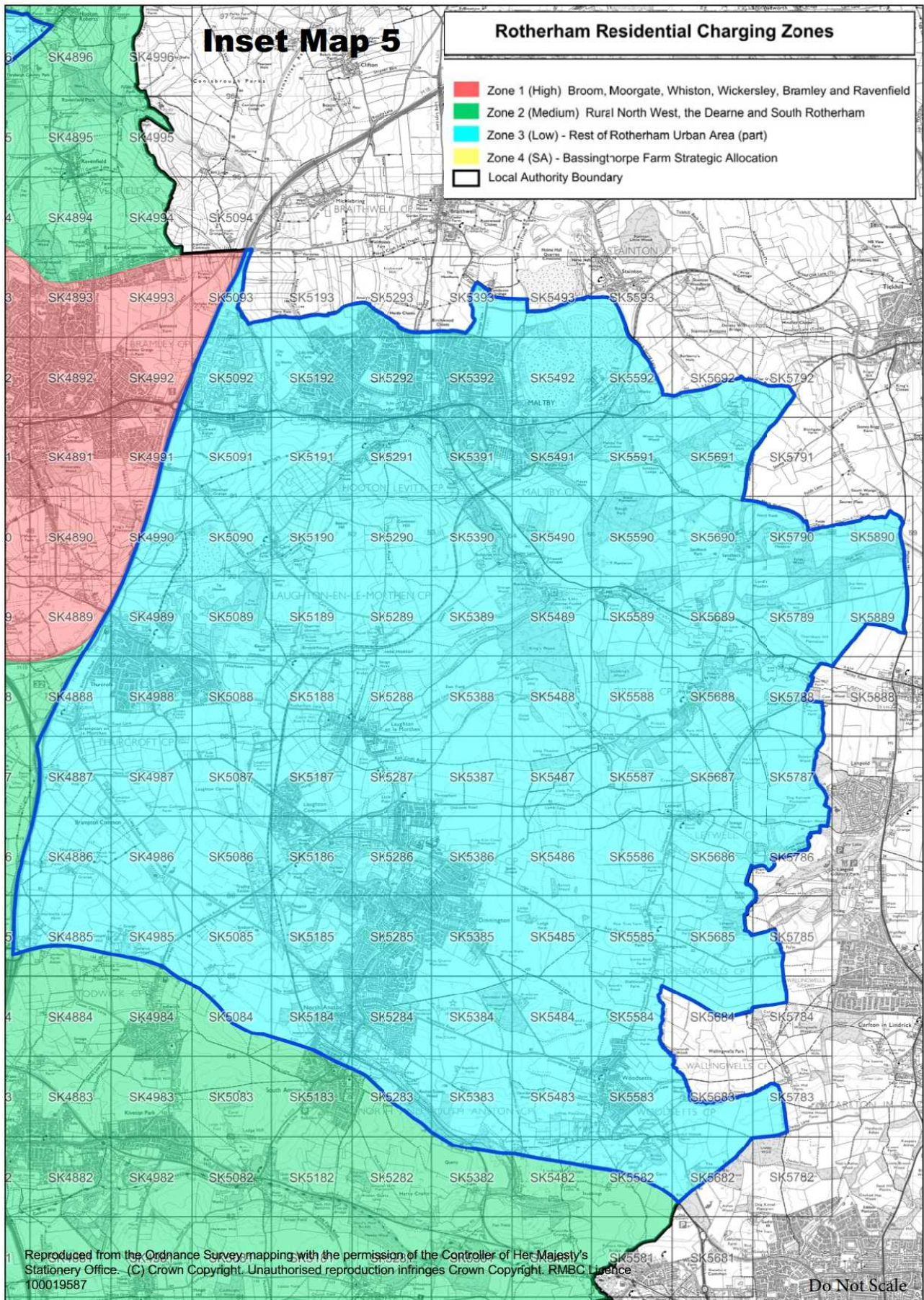
Inset Map 3 – Residential Charging Zone 2 (Medium) (South)



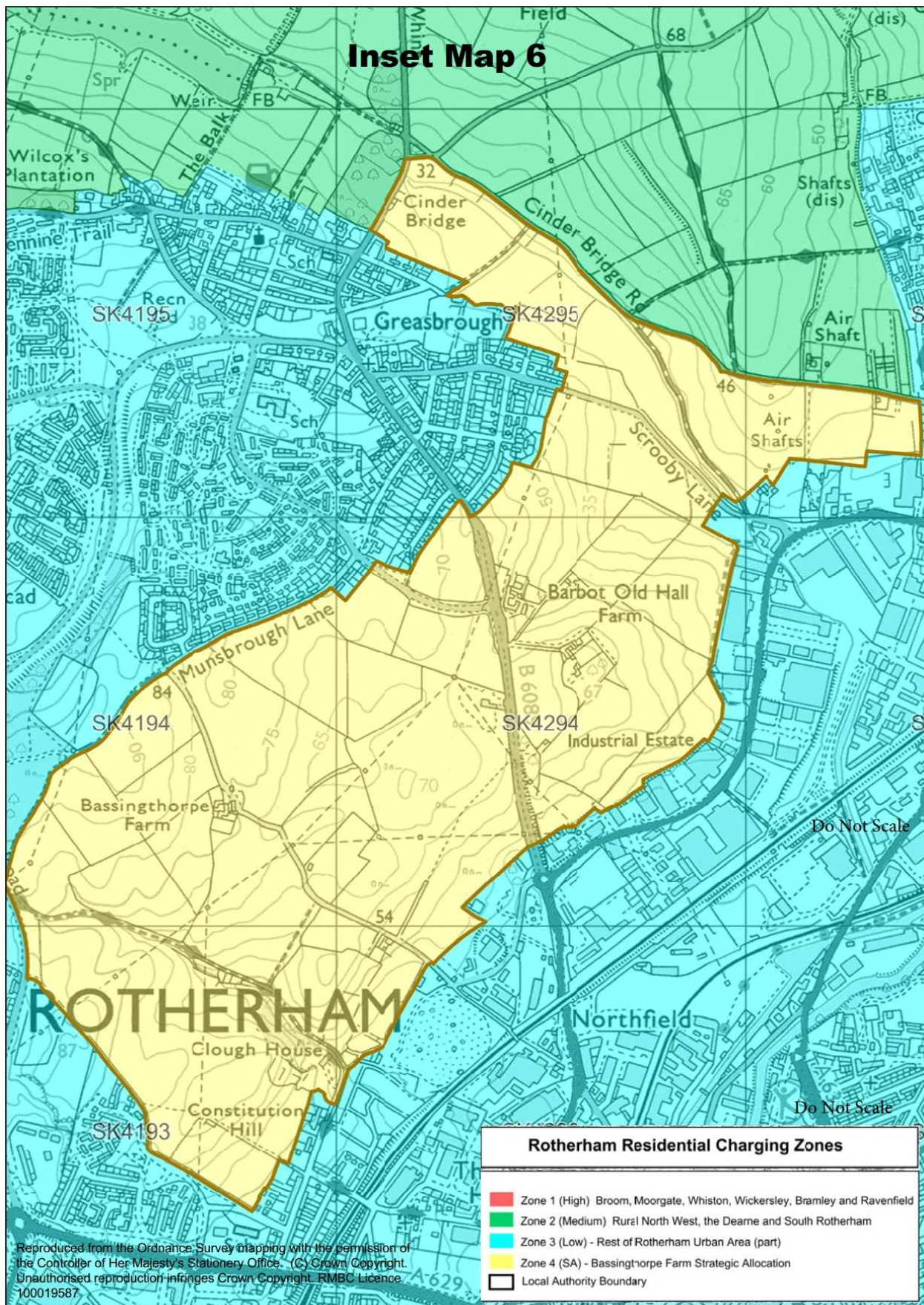
Inset Map 4 – Residential Charging Zone 3 (Low) (North)



Inset Map 5 – Residential Charging Zone 3 (Low) (South)



Inset Map 6 – Residential Charging Zone 4 (Bassingthorpe Farm Strategic Allocation)



Title: Rotherham Community Infrastructure Levy**Appendix 2: Rotherham CIL Regulation 123 List****Rotherham Metropolitan Borough Council
Community Infrastructure Levy (CIL)
Regulation 123 List: February 2016**

Pursuant to the Community Infrastructure Levy Regulations 2010 the infrastructure projects / types which Rotherham Metropolitan Borough Council may fund, in whole or in part, from CIL receipts is set out below.

Note, this list is not exhaustive and does not prioritise how the Council will actually spend CIL receipts, and may be amended.

The purpose of the list is to provide clarity of items that will not be required to contribute to 'S106 Planning Obligations' (under Section 106 of the Town & Country Planning Act 1990, as amended by Section 12 of the 1991 Planning and Compensation Act, and the Localism Act 2011) and / or 'S278 Agreements' under Section 278(1) of the Highways Act 1980 (amended by Section 23 of the New Roads and Street Works Act 1991).

Summary Regulation 123 List

The following is a summary of the type of infrastructure items that will be funded via CIL proceeds in the future. This list should be read in conjunction with detailed itemised list provided in the next section.

- Primary and Secondary School Places (see detailed list) (excluding primary school places at Bassingthorpe Farm, where provision will be funded by S106 on site).
- Named highway junction improvements (see detailed list).
- Key Bus Routes (improvements to public transport infrastructure) (see detailed list).
- Doctors Surgeries.
- Improvements to existing green infrastructure, recreation and open space (excludes new provision which will be met by developers mainly on-site as enabling / design requirements (see detailed list).
- Public Library extension, refurbishment and redevelopment.
- Police Station expansion at Dinnington and Wath.
- Rotherham Renaissance Flood Defence Scheme.

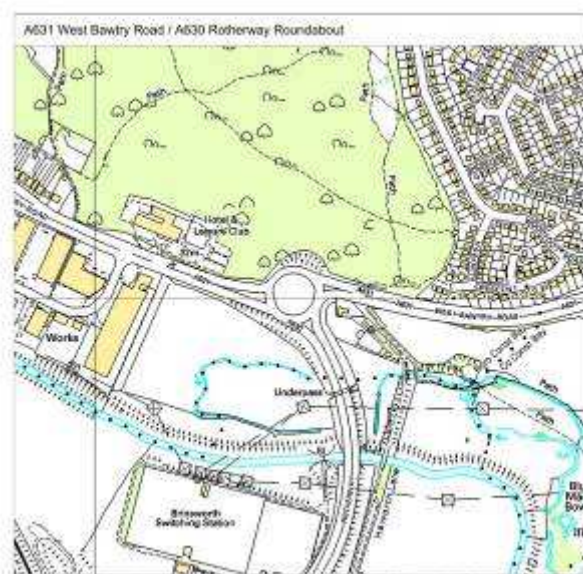
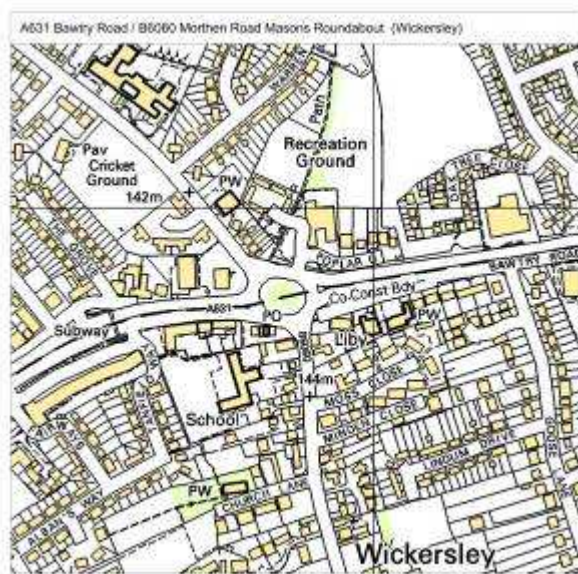
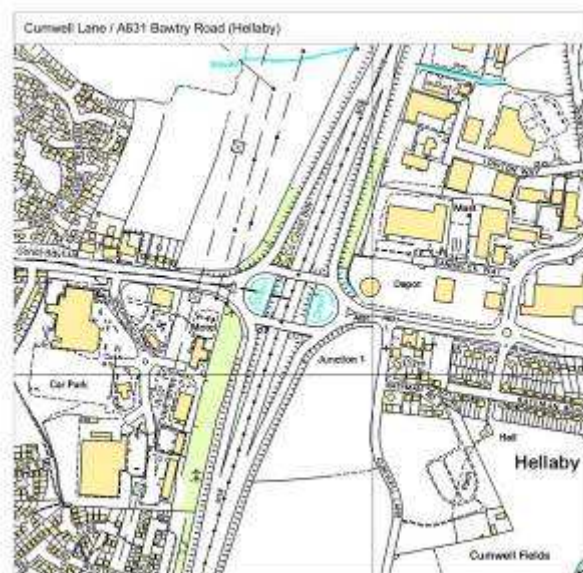
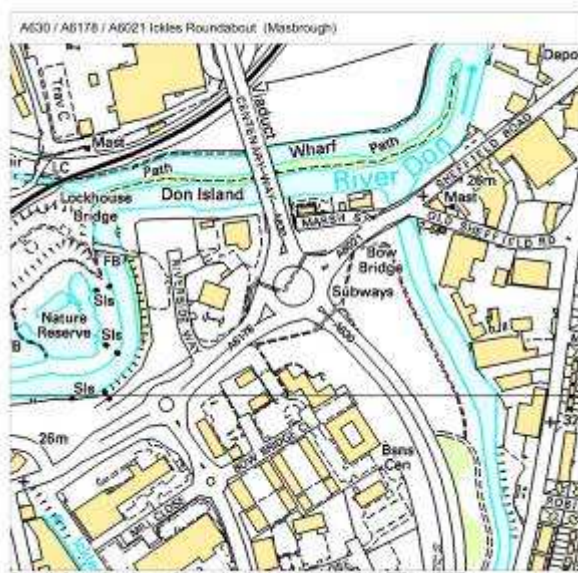
Detailed Regulation 123 List

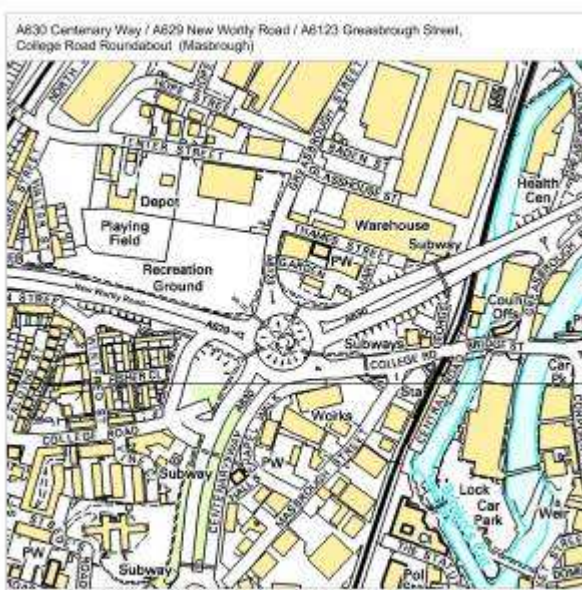
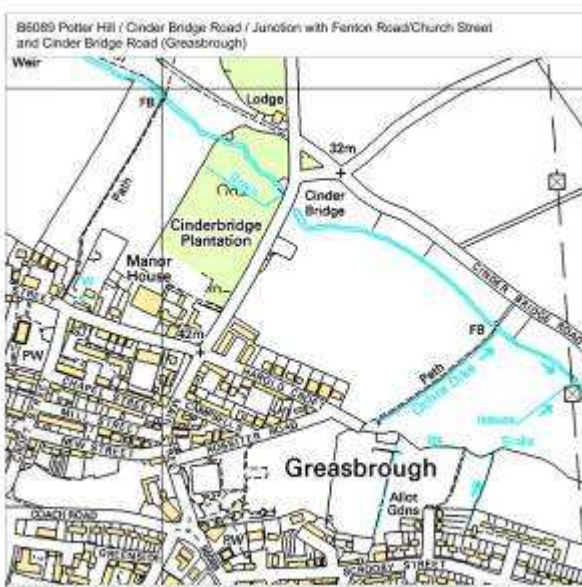
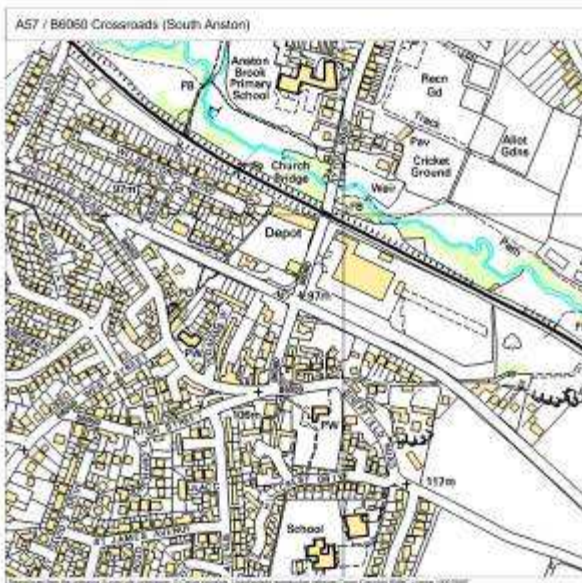
This detailed Regulation 123 List provides further details to the summary list above. It provides a detailed list of the specific infrastructure projects that will be supported using CIL proceeds in the future (and so will not also be charged S106).

- Primary and Secondary School Places where CIL funding may be used.
 - Whiston/ Brecks - primary extensions
 - Land off Westgate - primary extensions
 - Rawmarsh Upper Haugh/ Grange Road - primary extensions
 - Thrybergh - primary extensions
 - Dinnington, Anston & Laughton Common - primary extensions
 - Dinnington, Anston & Laughton Common - secondary extension
 - Wath/ Brampton - primary extensions
 - Wath - secondary extension
 - Maltby - primary extensions
 - Bramley, Wickersley & Ravenfield - primary extensions
 - Bramley, Wickersley & Ravenfield - secondary extension
 - Aston - secondary extension
 - Wales & Kiveton Park/ Todwick - primary extensions
 - Wales & Kiveton Park - secondary extension
 - Catcliffe, Treeton & Orgreave - secondary extensions
 - Rotherham Central schools
 - Wingfield Academy – secondary extensions

- Named highway junction improvements where CIL funding may be used.







- Key Bus Routes (improvements to public transport infrastructure) where CIL funding may be used:
 - Key Bus Route: Rotherham – Thrybergh
 - Key Bus Route: Rotherham – Dearne
 - Key Bus Route: Rotherham – Maltby
 - Key Bus Route: Rotherham – Swallownest
 - Key Bus Route: Rotherham – Chapeltown

CIL will be used to help pay for measures to promote public transport along these key bus routes. This will include various improvements to the highway that will make bus journey times faster, more reliable, safer, and more accessible, which will result in an improved passenger experience. Such highway improvements may include (but won't be limited to): alterations to junctions; carriageway capacity improvements; the provision of bus lanes, bus gates or bus-pre-signals; accessible bus boarding points; bus shelters; new or relocated stops; and pedestrian crossings; alterations to, or the introduction of, traffic management schemes (such as UTC - Urban Traffic Control or ITS - Intelligent Transport Systems); the provision of or amendment to waiting and/or loading restrictions; the introduction of schemes to manage the movement of traffic.

Does not include measures to promote sustainable transport measures secured via Travel Plans which will be funded by S106.

- Improvements to existing green infrastructure, recreation and open space where CIL funding may be used (excludes new provision which will be met by developers mainly on-site as enabling / design requirements).

Grade	Typology	Site Name
Borough	Natural	Pit House West, Wales
Borough	Parks	Boston Castle Park, Moorgate
Borough	Natural	Canklow Wood, Canklow
Borough	Parks	Clifton Park, Rotherham Town Centre
Borough	Outdoor sports	Herringthorpe Playing Fields, Herringthorpe
Borough	Parks	Thrybergh Country Park, Thrybergh
Borough	Cemeteries	Moorgate Cemetery, Moorgate
Borough	Parks	Rother Valley Park, Wales
Neighbourhood	Outdoor sports	Claypit Lane Rec, Rawmarsh
Neighbourhood	Parks	Victoria Park, Rawmarsh
Neighbourhood	Outdoor sports	Rawmarsh Leisure Centre, Rawmarsh
Neighbourhood	Parks	Greenlands park, North Anston
Neighbourhood	Outdoor sports	Fairview Drive, Aston, Aston
Neighbourhood	Natural	Gibbing Greave Wood, Herringthorpe
Neighbourhood	Parks	Brinsworth parish fields, Brinsworth
Neighbourhood	Parks	Bradgate Park, Bradgate
Neighbourhood	Parks	Ferham Park, Masbrough
Neighbourhood	Outdoor sports	Dinnington Comprehensive, Dinnington
Neighbourhood	Outdoor sports	Dinnington Miner's Welfare, Dinnington

Grade	Typology	Site Name
Neighbourhood	Parks	Greasborough Park, Greasbrough
Neighbourhood	Parks	Spence Field, Harthill, Harthill
Neighbourhood	Parks	Valley Park, Herringthorpe
Neighbourhood	Outdoor sports	Wales Parish playing fields, Wales
Neighbourhood	Parks	Coronation Park, Maltby
Neighbourhood	Parks	Gordon Bennett park, Thurgroft
Neighbourhood	Outdoor sports	Brampton Sports Centre, Brampton Bierlow
Neighbourhood	Parks	Wath Community Park, Wath upon Dearne
Neighbourhood	Parks	Manvers Lake and Surrounds, Wath upon Dearne
Neighbourhood	Parks	Newhill Park, Wath upon Dearne
Neighbourhood	Natural	Ulley Country Park, Ulley
Neighbourhood	Outdoor sports	Bill Hawes, Bramley
Neighbourhood	Parks	Eldon Rd, Eastwood
Neighbourhood	Parks	Ruby Cook, Flanderwell
Neighbourhood	Parks	Warren Road Park, Wickersley
Neighbourhood	Parks	Barrie Grove, Hellaby
Neighbourhood	Parks	Alexandra Park Annex, Swallownest
Neighbourhood	Parks	Alexandra Park, Swallownest
Neighbourhood	Parks	Barkers park, Kimberworth Park
Neighbourhood	Parks	Blackburn & Kimberworth Roundwalk NE, Kimberworth
Neighbourhood	Parks	Highfield Park, Swinton
Neighbourhood	Outdoor sports	Woodsetts Parish field, Woodsetts
Local	Amenity green space	Hart Hill green space, Upper Haugh
Local	Amenity green space	Stubbin Lane green space, Upper Haugh
Local	Outdoor sports	School Lane Rec, Parkgate
Local	Natural	Infirmity Road Hill, Parkgate
Local	Amenity green space	Hague Avenue green space, Rawmarsh
Local	Parks	Sandhills park, Sandhill
Local	Natural	Moordale View open space, Sandhill
Local	Natural	Gwyn Reed Nature Area, Rawmarsh
Local	Natural	Sandhill green link, Sandhill
Local	Natural	Birch Wood, Rawmarsh
Local	Natural	Treeton Wood, Treeton
Local	Natural	Hail Mary Wood & Falconer Wood, Treeton
Local	Natural	former Treeton tip, Treeton
Local	Natural	Whiston Meadows, Whiston
Local	Natural	Hudson's Rough, Kimberworth Park
Local	Natural	Brook walk, North Anston
Local	Natural	Anston Stones Wood, North Anston
Local	Amenity green space	Nursery Road, North Anston
Local	Natural	Engine House plantation, Aston
Local	Amenity green space	Waleswood View green, Aston
Local	Amenity green space	Lodge Lane, Aston

Grade	Typology	Site Name
Local	Amenity green space	Catherine Avenue green space, Aston
Local	Natural	Rotherham Road natural space, Swallownest
Local	Amenity green space	Bawtry Road green space, Brinsworth
Local	Amenity green space	Castle Avenue green space, Canklow
Local	Parks	Canklow Road MUGA & Play Area, Canklow
Local	Amenity green space	Centenary Way green spaces, Canklow
Local	Outdoor sports	Washfield Lane Rec, Treeton
Local	Natural	Rother Crescent, Treeton
Local	Amenity green space	Shorland Drive green, Treeton
Local	Amenity green space	Vincent Road Green, Ravenfield
Local	Outdoor sports	Hollings Lane green, Ravenfield
Local	Amenity green space	Laural Avenue green, Bramley
Local	Amenity green space	Bramley Park, Bramley
Local	Amenity green space	Fenton Road green 3, Kimberworth Park
Local	Amenity green space	Kelford School, Kimberworth
Local	Natural	Henley Way, Bradgate
Local	Amenity green space	Laughton Road, Dinnington
Local	Natural	Undergate Road Hill, Dinnington, Dinnington
Local	Amenity green space	Constable Lane green, Dinnington, Dinnington
Local	Parks	Hangman Lane park, Laughton Common
Local	Natural	Manor Lane natural site, Dinnington
Local	Amenity green space	St Leger Avenue Green Space, Laughton Common
Local	Amenity green space	Hatfield Crescent Green Space, Laughton Common
Local	Amenity green space	Main Street, Swallownest
Local	Amenity green space	Wetherby Drive, Swallownest
Local	Amenity green space	Breck Lane Green, Dinnington
Local	Parks	Chestnut Grove Park, Dinnington
Local	Amenity green space	Manor Lane, Throapham, Dinnington
Local	Amenity green space	Riverside Court, Laughton Common
Local	Natural	High Nook Road, Dinnington
Local	Outdoor sports	Silverwood Miners Welfare, Dalton
Local	Amenity green space	Brierly Road, Dalton
Local	Amenity green space	Roughwood Road green, Wingfield
Local	Amenity green space	Fenton Road green 2, Kimberworth Park
Local	Parks	Grayson Rd Rec, Greasbrough
Local	Natural	Fenton Road, Kimberworth Park
Local	Natural	Munsborough Lane, Greasbrough
Local	Outdoor sports	Winney Hill Park, Harthill, Harthill
Local	Natural	Aldwarke Locke Island, Eastwood
Local	Amenity green space	Fretwell Rd green space, East Herringthorpe
Local	Amenity green space	Conway Crescent green space, East Herringthorpe
Local	Parks	Bar Park, Thorpe Hesley
Local	Natural	Hesley Lane green space, Thorpe Hesley

Grade	Typology	Site Name
Local	Natural	Brook Hill greenspace, Thorpe Hesley
Local	Natural	Wentworth Road, Thorpe Hesley
Local	Amenity green space	Kestrel Avenue greenspace, Thorpe Hesley
Local	Parks	King Georges field, Thorpe Hesley
Local	Outdoor sports	Red Hill rec, Kiveton Park
Local	Amenity green space	Essex Close green, Kiveton Park
Local	Natural	Stockwell Ave open space, Wales
Local	Natural	Salisbury Road, Maltby
Local	Outdoor sports	Maltby Manor Rec, Maltby
Local	Amenity green space	Littlewood Way Green Space, Maltby
Local	Amenity green space	Tickhill Road green 1, Maltby
Local	Amenity green space	Mortimer Road 2, Maltby
Local	Parks	Cherry Tree Park, Maltby
Local	Amenity green space	Somerset Street, Maltby
Local	Amenity green space	Ascension close, Maltby
Local	Amenity green space	Addison Road green space, Maltby
Local	Natural	Redwood Drive natural site, Maltby
Local	Amenity green space	Upperfield Close, Maltby
Local	Natural	Dale Hill Road, Maltby
Local	Amenity green space	Victoria Way Wood, Lily Hall, Maltby
Local	Amenity green space	The Walk, East Dene
Local	Parks	Thomas street park, Swinton
Local	Amenity green space	Thomas Street greenspace, Swinton
Local	Parks	Horsefair Park, Swinton
Local	Parks	Queen's Street Park, Swinton
Local	Outdoor sports	Piccadilly Road Outdoor sports, Swinton
Local	Natural	Piccadilly Road natural site (Creighton Wood), Swinton
Local	Amenity green space	Carlisle Street Greenspace, Swinton
Local	Natural	Kilnhurst Ings, Kilnhurst
Local	Natural	Kilnhurst Ings, Kilnhurst
Local	Parks	Piccadilly POS, Swinton
Local	Amenity green space	Calladine Way, Swinton
Local	Outdoor sports	Kilnhurst Miners Welfare, Kilnhurst
Local	Amenity green space	The Crescent green, Thurcroft
Local	Amenity green space	Green Arbour School, Thurcroft
Local	Natural	Zamor Crescent, Thurcroft
Local	Outdoor sports	Wath Road Park, Brampton Bierlow
Local	Amenity green space	Smithy Bridge Lane, Brampton Bierlow
Local	Parks	Packman Road Play Area, Brampton Bierlow
Local	Parks	West Melton park, West Melton
Local	Amenity green space	Well Road greenspace, West Melton
Local	Amenity green space	Tennyson Rise, West Melton
Local	Amenity green space	West Street, West Melton

Grade	Typology	Site Name
Local	Natural	Wath Tip site, Wath upon Dearne
Local	Outdoor sports	White Bear Estate, Wath upon Dearne
Local	Amenity green space	Durham Places, Herringthorpe
Local	Amenity green space	Rosemary Road, Wickersley
Local	Parks	Sorby Way Park, Wickersley
Local	Natural	Brecks Wood (Wickersley Wood), Wickersley
Local	Amenity green space	Leewood Close, Cortonwood, Brampton Bierlow
Local	Amenity green space	Hague Court, Cortonwood, Brampton Bierlow

Title: Rotherham Community Infrastructure Levy

Appendix 3: Rotherham CIL Instalments Policy

Proposed Instalments Policy

In line with Regulation 69B of the CIL Regulations, the Council is proposing to offer payment of CIL in instalments as a matter of course. The proposed policy is:

- The Community Infrastructure Levy will be payable as follows:

Instalment provisions: chargeable amount					
Less than £100,000			Equal to or more than £100,000		
Instalment	Amount due	Due date*	Instalment	Amount due	Due date*
1 st	50%	180 days	1 st	25%	180 days
2 nd	50%	360 days	2 nd	50%	360 days
			3 rd	25%	450 days

* Due date is the number of days after commencement of development. Commencement will be taken to be the date advised by the developer in the commencement notice under CIL Regulation 67.

Council Report

Council – 7 December 2016

Title

Proposed Constitutional Amendments – Recording and Publication of Officer Decisions

Is this a Key Decision and has it been included on the Forward Plan?

Not applicable

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director Finance and Customer Services

Ward(s) Affected

All

Executive Summary

At its Annual Meeting Council agreed that a Constitution Working Group be established whose responsibilities were to include reviewing the Scheme of Delegation to Officers and the arrangements for recording Council decision making on line.

The Constitution Working Group has considered the arrangements for the recording and publication of decisions made by officers. This report sets out the recommendations of the Working Group and invites Council to make the necessary amendments to the Constitution to implement those recommendations.

Recommendations:

1. That the Council approves the amendments to the Constitution in respect of the recording and publication of officer decisions as set out in Appendices 1 to 4 of this report.
2. That the proposed amendments to the Constitution take effect from 2 January 2017.

Background Papers

- The Council's Constitution
- *"Open and accountable local government - A guide for the press and public on attending and reporting meetings of local government"*, Department for Communities and Local Government, 2014.

Consideration by any other Council Committee, Scrutiny or Advisory Panel

This report brings to Council the recommendations of the Constitution Working Group

Council Approval Required

Yes

Exempt from the Press and Public

No

Title

Proposed Constitutional Amendments – Recording and Publication of Officer Decisions

1. Recommendations

- 1.1 That the Council approves the amendments to the Constitution in respect of the recording and publication of officer decisions as set out in Appendices 1 to 4 of this report.
- 1.2 That the proposed amendments to the Constitution take effect from 2 January 2017.

2. Background

- 2.1 At its Annual Meeting Council agreed that a Constitution Working Group be established whose responsibilities were to include reviewing the arrangements for recording Council decision making on line. The Constitution Working Group has considered the arrangements for the recording and publication of decisions made by officers. This report sets out the recommendations of the Working Group and invites Council to make the necessary amendments to the Constitution to implement those recommendations.
- 2.2 The Council's Constitution requires amendment in order to bring it into compliance with the legal requirements for the recording and publication of officer decisions set out in the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012 and the Openness of Local Government Bodies Regulations 2014.
- 2.3 Regulation 13(4) of the 2012 Regulations states:

(4) As soon as reasonably practicable after an officer has made a decision which is an executive decision, the officer must produce a written statement which must include—

 - (a) a record of the decision including the date it was made;*
 - (b) a record of the reasons for the decision;*
 - (c) details of any alternative options considered and rejected by the officer when making the decision;*
 - (d) a record of any conflict of interest declared by any executive member who is consulted by the officer which relates to the decision; and*
 - (e) in respect of any declared conflict of interest, a note of dispensation granted by the relevant local authority's head of paid service.*
- 2.4 Regulation 7 of the 2014 Regulations, which make similar provision for the recording of decisions delegated by Council to officers, states:

(1) The decision-making officer must produce a written record of any decision which falls within paragraph (2).

(2) A decision falls within this paragraph if it would otherwise have been taken by the relevant local government body, or a committee, sub-committee of that body or a joint committee in which that body participates, but it has been delegated to an officer of that body either—

(a) under a specific express authorisation; or

(b) under a general authorisation to officers to take such decisions and, the effect of the decision is to—

(i) grant a permission or licence;

(ii) affect the rights of an individual; or

(iii) award a contract or incur expenditure which, in either case, materially affects that relevant local government body's financial position.

(3) The written record must be produced as soon as reasonably practicable after the decision making officer has made the decision and must contain the following information—

(a) the date the decision was taken;

(b) a record of the decision taken along with reasons for the decision;

(c) details of alternative options, if any, considered and rejected; and

(d) where the decision falls under paragraph (2)(a), the names of any member of the relevant local government body who has declared a conflict of interest in relation to the decision.

(4) The duty imposed by paragraph (1) is satisfied where, in respect of a decision, a written record containing the information referred to in sub-paragraphs (a) and (b) of paragraph (3) is already required to be produced in accordance with any other statutory requirement.

2.5 Both the 2012 and the 2014 Regulations make detailed provision for how decisions made by officers are to be published and the proposed amendments to the Constitution set out at Appendices 1 to 4 incorporate those requirements.

2.6 In its guidance *Open and accountable local government - A guide for the press and public on attending and reporting meetings of local government* the Department for Communities and Local Government confirms that the requirements for the recording of officer decisions do not apply to administrative and operational decisions.

- 2.7 The work of the Constitution Working Group continues and includes a review of the operation of the Constitution as a whole. Further reports will be brought to Council as that work progresses.
- 2.8 The Commissioners will consider any amendments to the Constitution made by Council and will reflect upon how the approach taken to the recording and publication of officer decisions might apply to functions exercised by the Commissioners.

3. Key Issues

- 3.1 The Council is required to comply with the 2012 and 2014 Regulations as to the recording and publication of officer decisions and by making the recommended amendments to the Council's Constitution it will incorporate the rules required in order to achieve compliance.
- 3.2 There is an element of choice in the implementation of the 2012 Regulations in that the guidance from the Department for Communities and Local Government referred to above includes in its list of executive decisions made by officers which should be recorded the following:

Decisions about awarding contracts above specified individual or total values;

The Constitution Working Group considered the appropriate value and recommends to Council that the value be set at £250,000 and that is the value set out in Appendix 1 as part of the proposed amendments to the Executive Procedure Rules. The Constitution Working Group took the view that a threshold of £250,000 would result in significant officer decisions in relation to the award of contracts being recorded and published.

- 3.3 Similarly is an element of choice in the implementation of the 2014 Regulations in that the guidance from the Department for Communities and Local Government referred to above includes in its examples of Council decisions made by officers which should be recorded the following:

Decisions about awarding contracts above specified individual or total values (the values will vary according to the relevant council or local government body)

The Constitution Working Group considered the appropriate value and recommends to Council that the value be set at £250,000, on the same basis as set out in paragraph 3.2 above and that is the value set out in Appendix 2 as part of the proposed amendments to the Access to Information Rules.

4. Options considered and recommended proposal

- 4.1 The Council must comply with the requirements as to the recording and publication of decisions made by officers set out in the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012 and the Openness of Local Government Bodies Regulations 2014. The proposed amendments to the Constitution set out in Appendices 1 to 4 will bring the Constitution into accord with these legal requirements.

- 4.2 As above at paragraphs 3.2 and 3.3 the Constitution Working Group has recommended that the financial threshold for recording and publishing officer decisions in relation to the award of contracts should be £250,000.

5. Consultation

- 5.1 No consultation has taken place due to the proposed amendments being required to bring the Constitution into accord with the requirements of the 2012 and 2014 Regulations.

6. Timetable and Accountability for Implementing this Decision

- 6.1 Any amendments to the Constitution are recommended take effect from 2 January 2017 in order to allow time for the Monitoring Officer to provide appropriate briefings to officers on the recording and publication of officer decisions before any new arrangements come into force.
- 6.2 The Chief Executive, Strategic Directors, Assistant Chief Executive and Director of Public Health will be responsible for implementing the proposed arrangements for recording and publishing officer decisions.

7. Financial and Procurement Implications

- 7.1 None arising directly from this report

8. Legal Implications

- 8.1 The legislative requirements are set out at in the body of this report.

9. Human Resources Implications

- 9.1 There are no human resources implications.

10. Implications for Children and Young People

- 10.1 As set out at paragraph 2.8 above the Commissioners will consider any amendments to the Constitution made by Council and will reflect upon how the approach taken to the recording and publication of officer decisions might apply to functions exercised by the Commissioners. This will apply to officer decision making in relation to the functions exercised by the Commissioners in relation to children's safeguarding and all other children's social care services.

11 Equalities and Human Rights Implications

- 11.1 None directly from this report

12. Implications for Partners and Other Directorates

- 12.1 None.

13. Risks and Mitigation

- 13.1 Without the proposed amendments to the Constitution the Council's officer decision making procedures will not comply with the 2012 and 2014 Regulations.

14. Accountable Officer(s)

Assistant Director (Legal Services)

15. Appendices

Appendix 1	Proposed amendments to the Executive Procedure Rules
Appendix 2	Proposed amendments to the Access to Information Rules
Appendix 3	Proposed amendments to Standing Orders
Appendix 4	Proposed amendments to the Scheme of Delegation for Members and Officers

APPENDIX 1

Proposed Amendments to Rule 6 of the Executive Procedure Rules

To add the words:

(5) As soon as reasonably practicable following the making of an executive decision by an officer, the officer must produce a written statement in respect of that decision.

(6) A statement prepared under subparagraph (5) will record –

- the decision;*
- the reasons for the decision;*
- details of any alternative options considered and rejected by the officer when he or she made the decision;*
- any personal interest declared by any Cabinet Member who was consulted by the officer in relation to the decision; and*
- in respect of any declared conflict of interest, a note of dispensation granted by the Council's Head of Paid Service.*

(7) For the purposes of sub-paragraphs (5) and (6) above an executive decision includes decisions made under specific delegation from a meeting of a decision-making body but does not include decisions which are administrative or operational in nature or decisions about the awarding of contracts which are of a value less than £250,000.

(8) After a meeting of a decision-making body at which an executive decision has been made, or after an individual executive member or officer has made an executive decision the proper officer must ensure that a copy of;

- any records prepared in accordance with the requirements of this Rule; and*
- any report considered at the meeting or, as the case may be, considered by the individual member or officer and relevant to a decision recorded in accordance with the requirements of this Rule or, where only part of the report is relevant to such a decision, that part,*

must be available for inspection by members of the public, as soon as is reasonably practicable, at the offices of Council and on the Council's website.

(9) This Rule does not require the disclosure of exempt or confidential information.

APPENDIX 2

Proposed Amendments to the Access to Information Rules

Proposed wording

To add the words:

PART IIIA

RECORDING OF DECISIONS MADE BY OFFICERS

11A Where an officer makes a decision which is not an executive decision, including under specific delegation from a meeting of a decision-making body, the effect of which is

- to grant a permission or licence,*
- to affect the rights of an individual; or*
- to award a contract or incur expenditure which, in either case, materially affects the Council's financial position,*

the decision-making officer must produce a written record of the decision as soon as reasonably practicable after the decision has been made which must contain the following information—

- the date the decision was taken;*
- a record of the decision taken along with reasons for the decision;*
- details of alternative options, if any, considered and rejected; and*
- where the decision was made under specific delegation from a decision-making body, the names of any member of the relevant body who has declared a conflict of interest in relation to the decision.*

The Council has determined that where the effect of an officer decision is to award a contract or incur expenditure, the value of the contract or expenditure above which it is to be considered as materially affecting the Council's position is to be £250,000.

11B The duty imposed by Rule 11A above is satisfied where, in respect of a decision, a written record, containing the date the decision was taken and the reasons for the decision, is already required to be produced in accordance with statute, and the duty does not require administrative and operational decisions to be recorded.

11C All written records produced in accordance with Rule 11A above, together with any background papers, must as soon as reasonably practicable after the record is made, be made available for inspection by members of the public—

- at all reasonable hours, at the offices of the Council; and*
- on the Council's website,*

11D All written records produced in accordance with Rule 11A above must be retained and made available for inspection by the public for a period of six years beginning with the date on which the decision, to which the record relates, was made.

11E Any background papers must be retained and made available for inspection by the public for a period of four years beginning with the date on which the decision, to which the background papers relate, was made.

11F Nothing in Rules 11A to 11E requires the disclosure of exempt or confidential information

APPENDIX 3

Proposed Amendments to Standing Order 34 (Delegation Arrangements – Strategic Directors and Directors of Services)

To add the words:

Recording and Publication of Officer Decisions

34.6 Where an officer makes an executive decision to which Rule 6 of the Executive Procedure Rules applies, including any decision made in accordance with specific delegation from a meeting of a decision-making body, that decision must be recorded and published in accordance with sub-paragraphs 6(5) to 6(9) of those Rules.

34.7 Where an officer makes a decision which is not an executive decision, including any decision made in accordance with specific delegation from a meeting of a decision-making body, the effect of which is

34.7.1 to grant a permission or licence,

34.7.2 to affect the rights of an individual; or

*34.7.3 to award a contract or incur expenditure which, in either case,
materially affects the Council's financial position,*

that decision must be recorded and published in accordance with Part IIIA of the Access to Information Rules

APPENDIX 4

Proposed Amendments to the Scheme of Delegation for Members and Officers

Delegations to Officers – General Principles

To add the words:

- 4 *Decisions made by officers under delegated powers are to be recorded and published in accordance with Rule 6 of the Executive Procedure Rules or in accordance with Part IIIA of the Access to Information Rules as appropriate.*

Council Report

Council – 7 December 2016

Title

Proposed Constitutional Amendments – The Definition of a “Key Decision” and Delegation to Officers

Is this a Key Decision and has it been included on the Forward Plan?

Not applicable

Strategic Director Approving Submission of the Report

Judith Badger, Strategic Director Finance and Customer Services

Ward(s) Affected

All

Executive Summary

At its Annual Meeting Council agreed that a Constitution Working Group be established whose responsibilities were to include reviewing the Scheme of Delegation to Officers. The Governance Review Working Group's recommendations included:

That a review of scheme of delegation be considered regarding the level of delegation to Officers, it is recommended that this should be an ongoing and appropriate task for a Constitution review working group to undertake and that in the first instance it should consider and report on whether the upper limit for spending decisions by officers should be lowered to £250,000;

The Constitution Working Group has considered the Scheme of Delegation and in particular the appropriate financial limit for spending decisions by officers and the implications for the definition of a Key Decision and this report sets out the Constitution Working Group's recommendations to Council.

Recommendations:

1. That Council approves the amendments to the Constitution in respect of the definition of a “Key Decision” and the financial limits for officer decision making as set out in Appendices 1 to 3 of this report.
2. That the proposed amendments to the Constitution take effect from 1 February 2017.

Background Papers

- The Council's Constitution

Consideration by any other Council Committee, Scrutiny or Advisory Panel

This report brings to Council the recommendations of the Constitution Working Group

Council Approval Required

Yes

Exempt from the Press and Public

No

Title

Proposed Constitutional Amendments – Delegation to Officers

1. Recommendations

- 1.1 That Council approves the amendments to the Constitution in respect of the financial limits for officer decision making as set out in Appendices 1 to 3 of this report.
- 1.2 That the proposed amendments to the Constitution take effect from 1 February 2017.

2. Background and Key Issues

- 2.1 At its Annual Meeting Council agreed that a Constitution Working Group be established whose responsibilities were to include reviewing the Scheme of Delegation to Officers. The Governance Review Working Group's recommendations included:

That a review of scheme of delegation be considered regarding the level of delegation to Officers, it is recommended that this should be an ongoing and appropriate task for a Constitution review working group to undertake and that in the first instance it should consider and report on whether the upper limit for spending decisions by officers should be lowered to £250,000;

The Constitution Working Group has considered the Scheme of Delegation and in particular the appropriate financial limit for spending decisions by officers and this report sets out the Constitution Working Group's recommendations to Council.

- 2.2 The Council's Constitution includes the Scheme of Delegation set out at Appendix 9. The delegation of executive functions to officers is the responsibility of the Leader of the Council in accordance with Article 7(16) of the Constitution and Rule 1(3) of the Executive Procedure Rules. There is therefore no role for Council in the delegation of executive functions, although there is potential merit in the overall approach to delegation to officers being similar for both executive and Council functions.
- 2.3 The Constitution Working Group has considered the appropriate financial limit for officer decision making. There is no legal requirement for there to be any financial limit on the value of decisions which may be taken by officers but, in accordance with Article 5 of the Constitution, there must be an up to date record of which officer has responsibility for particular types of decisions or decisions relating to particular areas of functions. The Scheme of Delegation at Appendix 9 of the Constitution includes the terms of reference for the Cabinet, as decided by the Leader of the Council, and these include the taking of all Key Decisions. Accordingly officers have no power to take Key Decisions.
- 2.4 The definition of a Key Decision is set out at Rule 7(4) of the Executive Procedure Rules and applies the definition set out in Regulation 8 of the Local Authorities (Executive Arrangements) (Meetings and Access to Information)

(England) Regulations 2012. The Council has previously decided and set out in Rule 7(4) that:

The Council has determined that decisions that will result in expenditure or savings with a gross effect of £500,000 or greater are to be considered significant.

This means that such decisions are currently Key Decisions and under the terms of reference of the Cabinet will be reserved to Cabinet.

2.5 Relevant factors in deciding what financial limits should apply to decision making by officers would include:

2.5.1 The need for democratic accountability in relation to decisions which are important to local people.

2.5.2 The need for officers to be able to make administrative and operational decisions in a timely and effective manner.

2.5.3 The need for officers to be able to implement budget decisions made by Council without further authorisations from elected members unless further authorisations can be justified.

2.6 The Scheme of Delegation at Appendix 9 of the Constitution states that:

Whether specifically stated or not, delegated powers shall be exercised in accordance with the Council's Standing Orders and Financial Regulations and any relevant codes of practice that are in force at the time and that have been approved by the executive or the full Council, as the case may be.

This means that any financial limits set for the exercise of delegated decision making by officers would not authorise officers to incur expenditure for which there was no budgetary provision without complying with the rules on supplementary estimates and virement set out in the Financial Regulations.

2.7 The work of the Constitution Working Group continues and includes a review of the operation of the Constitution as a whole. Further reports will be brought to Council as that work progresses.

3. Options considered and recommended proposal

3.1 The Constitution Working Group has, in accordance with the recommendations of the Governance Review Working Group, considered whether the upper limit for spending decisions by officers should be lowered to £250,000. In particular the Working Group has considered the definition of "Key Decision" and the threshold above which executive decisions should be considered significant. As above at paragraph 2.4, the Executive Procedure Rules currently set the threshold at £500,000. The Constitution Working Group have had regard to the reductions in the Council's overall budget since the £500,000 threshold was set and the further reductions likely to be made in the future and take the view that the threshold for a Key Decision should be reduced to £400,000. As above at paragraph 2.3 this would have the effect of decisions above this

threshold being reserved to Cabinet with officers being unable to take such executive decisions. The Constitution Working Group is therefore recommending to Council the amendments to the definition of a “Key Decision” in the Executive Procedure Rules set out in Appendix 1.

- 3.2 On the same basis the Constitution Working Group has taken the view that the financial limit for officer decision in relation to Council functions should also be set at £400,000. The proposed amendments to the Constitution set out in Appendices 2 and 3 would bring the Constitution into accord with the recommendations of the Constitution Working Group.
- 3.3 Commissioner decisions which would otherwise fall within the definition of a “Key Decision” are already included on the Forward Plan and if the proposals were adopted that would continue subject to any revised threshold for the definition of a “Key Decision”. The Commissioners will consider any amendments to the Constitution made by Council and will reflect upon how the approach taken to the financial thresholds for officer decision making might apply to functions exercised by the Commissioners.

4. Consultation

- 4.1 Not applicable.

5. Timetable and Accountability for Implementing this Decision

- 5.1 It is important that any implementation of the recommendations does not create confusion about the status of decisions which would not be Key Decisions under the current arrangements but would be Key Decisions under the new arrangements and how such decisions would be dealt with in the Forward Plan. Any amendments to the Constitution should not have the effect of changing the status of decisions between their inclusion on the relevant Forward Plan and their consideration at the relevant Cabinet / Commissioners’ meeting. It is therefore recommended that any amendments to the Constitution should take effect on 1 February 2017 so that decisions to be taken at the Cabinet / Commissioners’ meeting on 13 March 2017 can be properly dealt with on the Forward Plan published on 1 February 2017.
- 5.2 The Chief Executive, Strategic Directors, Assistant Chief Executive and Director of Public Health will be responsible for implementing the proposed arrangements for delegation to officers.

6. Financial and Procurement Implications

- 6.1 Appendix 2 to this report sets out the recommended amendments to Contract Standing Orders necessary to apply the proposed financial limit on officer decision making to procurement decisions.

7. Legal Implications

- 7.1 The legislative requirements are set out at in the body of this report.

8. Human Resources Implications

8.1 There are no human resources implications.

9. Implications for Children and Young People

9.1 As set out at paragraph 3.3 above the Commissioners will consider any amendments to the Constitution made by Council and will reflect upon how the approach taken to the financial thresholds for officer decision making might apply to functions exercised by the Commissioners. This will apply to officer decision making in relation to the functions exercised by the Commissioners in relation to children's safeguarding and all other children's social care services.

10 Equalities and Human Rights Implications

10.1 None directly from this report

11. Implications for Partners and Other Directorates

11.1 None.

12. Risks and Mitigation

12.1 The recommendations in this report reflect the Constitution Working Group's consideration of recommendations made by the Governance Review Working Group, whose recommendations were designed to ensure that the Council had an efficient and effective decision making process. The Governance Review Working Group's proposals were explicitly intended to enhance transparency and accountability compared with the previous governance structure.

13. Accountable Officer(s)

Assistant Director (Legal Services)

14. Appendices

Appendix 1	Proposed amendments to the Executive Procedure Rules
Appendix 2	Proposed amendments to Standing Orders
Appendix 3	Proposed amendments to the Scheme of Delegation for Members and Officers

APPENDIX 1

Proposed Amendments to Rule 7(6) of the Executive Procedure Rules

To replace the current wording at the end of Rule 7(6), which states:

The Council has determined that decisions that will result in expenditure or savings with a gross effect of £500,000 or greater are to be considered significant.

With

The Council has determined that decisions that will result in expenditure or savings with a gross effect of £400,000 or greater are to be considered significant.

APPENDIX 2

Proposed amendments to Appendix 4 of the Constitution - Standing Orders

To substitute the following wording:

34. Delegation arrangements – strategic directors and directors of services

34.1. The Chief Executive, strategic directors and assistant directors of services have a general power to make council contracts that are estimated to be less than £400,000 in value, including power to determine select lists of tenderers and to accept tenders and award contracts.

34.2. The Director of Human Resources has the general power to make Council contracts that are estimated to be less than £400,000 in value, including power to determine select lists of tenderers and to accept tenders and to award contracts.

APPENDIX 3

Proposed Amendments to Appendix 9 of the Constitution - Scheme of Delegation for Members and Officers

To substitute the following wording:

The Borough Emergency Co-ordinators

1. Power to sanction expenditure up to a maximum of £400,000 in order to facilitate the Council's response to an emergency incident in which the Emergency Plan is activated.

The Statutory Role of the Director of Adult Social Services

10.5 To determine contract terms and conditions for services and the letting of specific contracts.

Decision

(under £400,000)

The Public Health Function

6a. To determine contract terms and conditions for public health services and letting of specific contracts in accordance with Financial Regulations and Standing Orders

Decision

(under £400,000)

STANDARDS AND ETHICS COMMITTEE
29th September, 2016

Present:- Councillor McNeely (in the Chair); Councillors Allen, Ireland, Khan, and Simpson (RMBC Members)

Councillors Bates, Rowley and Swann (Parish Council representatives).

Also Present - Ms. A. Dowdall (Co-opted Member) and Mr. P. Beavers (Independent Person).

Apologies for absence were received from Councillors Allcock, Mr. P. Edler (Co-opted Member) and Mr. D. Roper-Newman (Independent Person).

9. TO CONSIDER WHETHER THE PRESS AND PUBLIC SHOULD BE EXCLUDED FROM THE MEETING DURING CONSIDERATION OF ANY PART OF THE AGENDA.

It was reported that there were no items on the agenda that would require the exclusion of the press and public from the meeting.

10. MINUTES OF THE PREVIOUS MEETING HELD ON 9 JUNE 2016

Resolved:-

That the minutes of the meeting of the Standards and Ethics Committee held on 9 June 2016 be approved as a true and correct record of the proceedings.

11. DECLARATIONS OF INTEREST

There were no declarations of interest.

12. REVIEW OF THE OPERATION OF THE WHISTLEBLOWING POLICY

Consideration was given to a report detailing a review of the operation of the Whistleblowing Policy by the Council's Internal Audit service.

It was reported that the review had found that appropriate risk registers were available for recording risks relating to whistleblowing arrangements, and consequently no significant specific risks were identified. It was also noted that appropriate assurance was in place in respect of the availability of the policy to staff and contractors.

Further assurances were provided in respect of the robustness of the policy and its application during the 2015/16 financial year. However, the review had highlighted issues in respect of the reporting of whistleblowing concerns to the Council. Members noted that a central whistleblowing log had been established within Legal Services to respond to this concern.

Consideration was given to the public availability of the Whistleblowing Policy and the Committee concluded that it would be appropriate to make the Policy publicly available with the inclusion of the following wording:

Whistleblowing by members of the public

Unlike disclosures by employees, PIDA (Public Interest Disclosure Act 1998) does not offer legal protection for disclosures made by members of the public. However, the Council will take reasonable and appropriate action to protect members of the public when they make a disclosure.

The Council considers that any disclosure made by members of the public in respect of serious misconduct should be handled in the same way as disclosures made by employees.

Once a disclosure from a member of the public has been received by the Council, it will be handled in the same way as a disclosure made by an employee under PIDA and the provisions of section xx shall apply.

Resolved:-

1. That the outcome of the review and the steps taken to implement the findings be noted.
2. That the Whistleblowing cases received since September 2015 be noted.
3. That the wording of the Whistleblowing Policy be amended in order for the document to be made publicly available.

13. ROTHERHAM MBC ANNUAL COMPLAINT REPORT 2015-16

Consideration was given to a report detailing information about complaints made to the Council between 1 April 2015 and 31 March 2016 under the Corporate Complaints Procedure, Housing Complaint Procedure and the Adult and Children's Services Complaint Regulations.

It was noted that the report provided an analysis on the particular trends in the complaints received, by Service area, and in terms of the timescales in which responses were provided; as well as about the escalation of complaints. The report also highlighted examples of key learning points from the complaints received which had been used over the year in regular reports to senior managers to address or correct any mistakes and improve services or procedures as a result.

Having queried why the report had been submitted to the Committee, it was explained that the paper referred to other work that was relevant to the Committee. Members noted the number of complaints arising from officer behaviour and conduct and queried whether training was provided to remind staff of the need to maintain the highest standards in dealings with the public. It was explained that the new values and behaviours for staff had recently been refreshed and communicated to all employees.

Members also questioned whether it would be of value for members of the Standards and Ethics Committee to attend future management team meetings to emphasise the importance placed on responding to and learning from complaints. Whilst further thought needed to be given to the idea, the initial response indicated that this approach could prove to be helpful.

Resolved:-

That the content and key messages of the Annual Complaint Report 2015-16 be noted.

14. AMENDED MEMBER/OFFICER PROTOCOL

Consideration was given to a report which outlined some suggested changes to the Member/Officer Protocol arising from discussions at the previous meeting on 9 June 2016.

It was noted that consultation had taken place with Human Resources to confirm the most appropriate term by which officers/employees should be referred to. Members were supportive of the term "officers" being used rather than employees.

The proposed amendments were considered by the Committee in detail. Specific reference was made to a protocol for decision making planning and the availability of public speaking during Planning Board meetings.

Resolved:-

1. That the changes identified by noted.
2. That the full Council be recommended to adopt the changes to the Member/Officer Protocol.

15. UPDATE FROM THE MONITORING OFFICER REGARDING COMPLAINTS

The Monitoring Officer provided an update on complaints received in respect of the conduct of town and parish councillors in the Borough. Progress in respect of each matter was detailed for Committee Members.

Resolved:-

That the Monitoring Officer's update in respect of complaints be noted.

16. GIFTS AND HOSPITALITY BOOK

The Monitoring Officer provided a brief overview of the content of the Gifts and Hospitality Book. A copy of the Members' Gifts and Hospitality Book and the Mayor's Gifts and Hospitality Book were circulated in the meeting for Committee Members to review.

In the brief discussion on the use of the book, parish council members were invited to suggest that similar arrangements be introduced at that tier of local government in the Borough when returning to their parishes.

Resolved:-

That the Gifts and Hospitality Book be noted.

17. KEY UPDATES ON STANDARDS AND ETHICS

Consideration was given to a briefing paper which provided an update on developments in respect of standards and ethics in local government.

Reference was made to two public interest reports in governance issues at Derby City Council and York City Council. The specific case at Derby City Council related to failures of governance in the management of major projects and in relation to Member conduct for the financial year ending 31 March 2014 and 31 March 2015. The issues at York City Council related to the remuneration of Council officers for work as Directors of City of York Trading Ltd. for the financial year ended 31 March 2015.

Information was also provided in respect of case law, a House of Commons Briefing Paper and the Annual Report of the Committee on Standards in Public Life from 2015-16. Reflecting on the latter, Members felt it important to make representations to the Government regarding the lack of sanctions available to local standards bodies following the reforms introduced by the Localism Act 2011. There was recognition that a different approach would be needed to influence the Secretary of State and it was proposed that the Chair seek a meeting with the Lead Commissioner at Rotherham Council, Sir Derek Myers, to request that he raise the issue specifically with the Government.

Resolved:

1. That the updates on standards and ethics be noted.

2. That the Chair seek to arrange a meeting with Commissioner Sir Derek Myers to request that he raise the Committee's concern over the lack of sanctions available to local standards committees following the Localism Act 2011.

18. TO DETERMINE ANY ITEM WHICH THE CHAIRMAN IS OF THE OPINION SHOULD BE CONSIDERED AS A MATTER OF URGENCY.

It was noted that there no items requiring urgent consideration by the Committee.

AUDIT COMMITTEE
23rd November, 2016

Present:- Councillor Wyatt (in the Chair); Councillors Allen and Walsh and Bernard Coleman (Independent Person).

Councillor Simpson was in attendance as an observer.

Mrs. D. Chamberlain (KPMG) was also present.

Councillor Roche, Cabinet Member for Adult Social Care and Health, and AnneMarie Lubanski, Strategic Director, Adult Care and Housing, were in attendance for Minute No. 40.

Apologies for absence:- Apologies were received from Councillor Cowles and Ellis.

30. QUESTIONS FROM MEMBERS OF THE PUBLIC OR THE PRESS

There were no members of the public or press present at the meeting.

31. MINUTES OF THE PREVIOUS MEETING HELD ON 21ST SEPTEMBER, 2016

Consideration was given to the minutes of the meeting held on 21st September, 2016.

Resolved:- That the minutes of the previous meeting be approved as a correct record for signature by the Chairman.

32. UPDATE ON THE USE AND OPERATION OF SURVEILLANCE AND ACQUISITION OF COMMUNICATIONS DATA POWERS

Neil Concannon, Legal Services, presented an update on the use of covert surveillance and covert human intelligence sources (CHIS) carried out by Council officers under the Regulation of Investigatory Powers Act 2000 (RIPA).

The Council was required to notify the Office of Surveillance Commissioners (OSC) of the number of directed surveillance/CHIS authorisations granted in each financial year. There had been no such authorisations this financial year so far.

The Council was also required to notify the Interception of Communications Commissioner's Office of the number of authorisations for the acquisition and disclosure of communications data granted each calendar year. There had been no such authorisations this calendar year so far.

The Council's Policies were last set in September, 2015; since that time there had been no amendments to the Home Office Codes of Practice. In July 2016 the OSC had issued an amended procedures and guidance document in relation to convert surveillance/CHIS but this did not require any amendments to be made to the Council's RIPA Policy.

The RIPA Policy had been amended to reflect an accurate list of the current authorising officers together with an additional paragraph clarifying that the Council's RIPA Senior Responsible Officer (the Assistant Director of Legal Services) would maintain an up-to-date list of the current authorising officers. This would be amended should there be any relevant personnel changes throughout the year.

Notification had been received that the Council would be inspected by the OSC in January 2017 to review its policies and procedures to comply with RIPA and the use it made of the directed surveillance/CHIS powers. Details of the outcome of the inspection would be reported to the Committee.

Resolved:- (1) That the Council's RIPA Policy and Acquisition and Disclosure of Communications Data Policy, as shown in Appendix A and B of the report submitted, be approved.

(2) That the update on the figures for the use of RIPA and Communications Data authorisations be noted.

(3) That it be noted that the Office of Surveillance Commissioners were due to carry out an inspection of the Council's use of powers for directed surveillance/CHIS and the policies and procedures it had in place for that purpose in January, 2017.

(4) That a report be submitted to the Audit Committee on the outcome of the aforementioned inspection.

(5) That further annual corporate training take place with regard to the use of RIPA and Communications Data powers on 4th January, 2017.

(6) That a further update be provided in 6 months' time.

33. MID-YEAR TREASURY MANAGEMENT AND PRUDENTIAL INDICATORS MONITORING REPORT 2016-17

Consideration was given to the report presented by the Assistant Director, Finance and Corporate Services, that outlined a mid-year treasury review.

The review, as set out in the Appendix submitted, highlighted the key changes to the Council's capital activity (the PIs) and the actual and proposed treasury management activity (borrowing and investment).

With regard to investments, the primary governing principle remained security over return and the criteria for selecting counterparties continued to reflect this.

Overall borrowing remained fairly constant over the period covered by the report. The Council would remain under-borrowed against the borrowing requirement due to the cost of carrying debt and new borrowing would only be taken up as debt matured. This was in line with financial assumptions.

The report showed that the underlying economic and financial environment remained difficult for the Council, foremost being the improving but still challenging concerns over investment counterparty risk. This background encouraged the Council to continue maintaining investments short term and with high quality counterparties. The downside of such a policy was that investment returns remained low. The governing principle remained security over return and the criteria for selecting counterparties continued to reflect this.

The 'call' account with the top-rated bank Handelsbanken who met the Council's highest investment criteria was being used in a prudent and cautious manner to improve the expected returns for the year.

To meet regulatory requirements the report would be submitted to the Cabinet and Commissioners' Decision Making meeting and to full Council.

Resolved:- (1) That the report be noted.

(2) That the report be referred to the Cabinet and Commissioners' Decision Making Meeting to consider recommending Council approve the changes to the 2016/17 Prudential Indicators.

34. INTERNAL AUDIT PROGRESS REPORT FOR THE TWO MONTHS ENDING 31ST OCTOBER, 2016

Consideration was given to a report presented by David Webster, Head of Internal Audit, which provided a summary of Internal Audit work completed during September and October, 2016, and the key issues that had arisen therefrom.

Performance against Key Indicators was generally positive although delivery of the planned programme of work remained behind schedule due mainly to vacancies and other Service priorities. The Assistant Director Audit, ICT and Procurement and the Chief Internal Auditor had both left the Authority during the period with 2 new staff having commenced in October including the new Head of Internal Audit.

Since the last meeting, the Plan had been the subject of a half year review with all Strategic Directors consulted to update it and match to the resources available whilst ensuring it provided sufficient coverage to enable the year end Internal Audit opinion to be reached.

Summary conclusions in all significant audit work concluded during September and October 2016 were set out in Appendix B of the report submitted together with the audits that were at draft report stage. All assurance opinions were substantial or adequate.

Allegations of fraud, corruption or other irregularity were also investigated with details of significant investigations completed in the period set out in Appendix C.

The report highlighted:-

- An Internal Audit Plan for 2016/17 had been produced in line with the UK Public Sector Internal Audit Standards
- The Plan had been reviewed and updated at the half year
- Although there was an overall reduction in audit days, it was still sufficient to give the required coverage
- Despite the challenges, Internal Audit was exceeding other key performance targets and feedback on several pieces of work completed demonstrated value added by the Service
- Management responses and action plans were in place for all recommendations made by Internal Audit during the period

Veritau Ltd. had been asked to review and provide independent comment on the progress reports. They had made a number of suggestions on presentation and highlighted areas for review but overall the report accurately reflected the work being undertaken by the Team.

Discussion ensued with the following issues raised/clarified:-

- The remaining vacant posts
- BDR waste disposal facility
- Responsive work and the impact on planned work
- Involvement of the independent partner, Veritau Ltd.

Resolved:- (1) That the performance of the Internal Audit Service during September and October, 2016, and the key issues that had arisen therefrom be noted.

(2) That the information contained regarding the performance of Internal Audit and the actions being taken by management in respect of the performance be noted.

(3) That the independent assurance provided by Veritau Ltd. on the report be noted.

35. ANNUAL AUDIT LETTER 2015-16

Debra Chamberlain, KPMG, presented the Annual Audit Letter (AAL) 2015/16 produced by KPMG summarising the external audit work in relation to the 2015/16 audit plan and highlighted the findings in relation to the following:

Value for Money Conclusion

Audit of Financial Statements

Any Other Matters the external auditor was required to communicate.

A copy of the AAL was attached to the report.

The main headlines from the AAL in relation to the accounts and other audit responsibilities were that:-

- As last year, the external auditor had issued a qualified Value for Money conclusion
- The Council's financial statements were produced to a good standard with only one minor presentational change being made. The financial statements were given an unqualified audit opinion on 26th September. The Narrative Report published alongside the financial statements was consistent with KPMG's understanding
- The Annual Governance Statement approved September's Audit Committee (Minute No. 19 refers), was consistent with KPMG understanding and compliant with the CIPFA/SOLACE framework in local government
- The Council's consolidation pack prepared to support the production of Whole of Government Accounts by HM Treasury was consistent with the audited financial statements
- There were no high priority recommendations or other matters that needed to be brought to the attention of the Audit Committee

Resolved:- That the final Annual Audit Letter 2015/16 presented to the Council by its external auditors, KPMG LLP, be noted and approved for publication on the Council's website.

36. IMPLEMENTATION OF RECOMMENDATIONS RESULTING FROM THE PWC REVIEW OF INTERNAL AUDIT

Further to Minute No. 22 of the meeting held on 21st September, David Webster, Head of Internal Audit, submitted the third progress report on the implementation of the recommendations made in the PWC review of Internal Audit.

Internal Audit had operated with a high vacancy level during most of 2016 to date. In addition there had been significant change since production of the PwC report including a refresh of procedures, a full service restructure and the subsequent recruitment to the Head of Internal Audit and a vacant senior auditor post.

Appendix 1 contained a full update of progress against the PwC recommendations with the key points being:-

Actions completed/certain to be completed as at October, 2016

- 19 actions, spread across 17 recommendations, 10 of which had been completed, 3 rated green (certain to be achieved) and 6 were amber rated (in progress/on target). There were no red rated actions

Key progress as at October

- 2015/16 audit plan successfully delivered (with 95% delivery achieved against the final plan)
- Completed service review and a restructure determined
- Audit structure and budget set up to provide for specialist audit resources to be engaged as required to carry out specified work in the audit plan
- Audit agreement between Rotherham and Doncaster Councils terminated on 30th September, 2016
- Improved consultation and engagement with senior management in audit planning and reviewing progress
- Recruitment to the new structure
- PDRs completed and a team development plan produced/implementation commenced
- Revised Audit Charter and Strategy
- Streamlined and improved audit review process
- New risk based style of audit report
- Evaluation of bids for an electronic audit system

Key actions in progress:-

- Production of plan to achieve full compliance with auditing standards, subject to regular review and reporting to Audit Committee
- Embedding of new audit scoping, reporting and performance monitoring and management processes
- Need for implementation of team development plan
- Implementation of electronic audit system, streamlining of administration and reduction of non-productive time
- Development of assurance mapping
- Development and reporting to Audit Committee of Quality Assurance and Improvement Programme to improve and maintain standards
- Full refresh of the Internal Audit Manual to reflect new PSIAS compliant, audit policies and procedures
- Establishment of programme to review the Council's Governance Arrangements set out in its Code of Governance

The Service would remain in transition until the Team reached full establishment. The procurement and implementation of an integrated audit management system would also facilitate consistency in the way in which audits were conducted and compliance with auditing standards.

It was required that an annual internal assessment be made of conformance with the Standards. This would be completed by the Head of Internal Audit and reported to the Audit Committee in February, 2017.

An external assessment was also required every 5 years by a qualified independent assessor. External assessments could be in the form of a full external assessment or a self-assessment with independent external validation. The CIIA had accepted that reviews within a peer group met the requirements for external assessments provided that the reviews were not reciprocal and were demonstrably independent, therefore, the review could be carried out by Veritau Ltd. or another South/West Yorkshire authority.

Progress against the action plan would be reported to the Audit Committee during 2016/17. Veritau Ltd. had been asked to comment on the progress reports and commented that it was a reasonable reflection of progress being made to implement the proposed actions.

Resolved:- That the progress made in implementing the recommendations included in the PwC review of Internal Audit be noted.

37. EXTERNAL AUDIT AND INSPECTION RECOMMENDATIONS

Further to Minute No. 22 of 27th April, 2016, Sue Wilson, Performance and Planning, presented a report detailing recent and current external audits and inspections including the details of arrangements that were in place regarding the accountability and governance for implementing any recommendations that arose.

The following update was given:-

Adult Social Care

- Treefields Close (Learning Disability Respite Service) – The outstanding recommendation regarding the Manager's registration had been completed and confirmed by the CQC in July, 2016
- Quarry Hill Road (Learning Disability Respite Service) –The jointly management arrangement with Treefields Close had been formally signed off and confirmed in July 2016 when the current Manager's registration was finalised
- Netherfield Court (intermediate care provider) - Closed in September 2016 with Lord Hardy Court and Davies Court taking on the role of providing residential intermediate care. Netherfield's last CQC inspection had resulted in it being awarded an overall rating of Good with 1 action recorded with respect to the way consent was obtained and recorded. Immediate action was taken to ensure client files

recorded this. Staff from Netherfield had been redeployed to Davies and Lord Hardy with both Managers being made aware of the actions taken by Netherfield with respect to the CQC requirements and had adopted them in their own services

- Park Hill (Learning Disability Residential Care Provider) – Service currently managed by the same person who managed Treefields and Quarry Hill. An application had been made with CQC to add Park Hill to her registration
- Home Enabling - Inspected on 7th July 2016 and rated as Good overall. No recommended actions
- Davies Court (Elderly Residential provider) – Inspected on 24th August, 2016, and awarded an overall rating of Good although improvements were identified in the recording of its response to service users who have a Deprivation of Liberty Safeguarding (DoLS) in place. The service had undertaken an audit of files to ensure the correct documentation was in place
- Adult Services had a good compliance record with standards subject to inspection. Governance arrangements remained under ongoing review and the Directorate's development programme was not subject to the enhanced governance arrangements applied within the Transformation Board
- Housing Service – no inspections or recommendations since the last report in April 2016

Children and Young People's Improvement Plan

- Improvement Plan revised in May 2016 following an intense period of change and improvement within Children's Services
- Revised Improvement Plan provided a refocus on the priority actions to ensure they mapped against the Ofsted judgements/recommendations/findings and provided the opportunity to ensure that realistic RAG ratings were noted for each action
- The 26 recommendations from the OFSTED inspection would remain in place and "open" in the refreshed Plan until the Secretary of State for the Department of Education had made a decision for Rotherham to come out of intervention and satisfied that all the requirements had been met
- Focus of the Plan to put in place a sustainable approach enabling CYPS to meet aspirational objectives and provide a continuous improvement cycle to enable movement to become a child-centred Borough with outstanding services
- Introduction of "focus on" agenda items at meetings of the Improvement Board
- Governance of the CYPS Plan by the Children's Improvement Board at its monthly meetings which also oversaw progress through monitoring, challenging and supporting the actions of the Plan
- Establishment of a Performance Board in May 2016

Ofsted Improvement Visits

- There had been 5 visits since August 2015 as part of their improvement offer looking at the MASH, Duty and Assessment, Child in Need, Child Protection, Leadership, Management and Governance, CSE, Missing Children and Early Help. This had been supplemented by 2 regional Sector Led Peer Reviews looking at Leadership management and Government in June 2016 and Looked After Children and Care leavers in October 2016
- The first Monitoring Visit took place in October. Looked After Children had been the subject
- It was likely that there would be 4 formal Monitoring visits before an Ofsted re-inspection (expected to be in Autumn 2017)

Rotherham's Residential Children's Units

- The care offered across the whole of Rotherham's residential care services for children and young people had been reviewed
- Aspiration of the Council to reduce the numbers of children placed in residential care
- Consultation had taken place with affected stakeholders regarding the proposed closure of Cherry Tree House and Silverwood Children's Residential Care Homes. Commissioner Bradwell had approved the closure of both Homes on 13th September, 2016
- Liberty House Short Breaks Children's Home had received a full inspection on 2nd/3rd November within the current inspection cycle. An aspirational improvement plan had been in place which was expected to take the Home from Good to Outstanding.

Economic Development Services and Housing and Neighbourhoods Services

- Feedback from the LGA peer health checks programme was being using positively throughout the Service to improve performance and quality and deliver service improvement
- Review of structures in Waste Management
- No further external inspections or audits had been undertaken

Finance and Corporate Services

- The External Auditor issued a range of reports each year which were presented to the Audit Committee e.g. External Audit Plan, Annual Audit Letter
- 1 medium and 1 low priority recommendations made in relation to the ISA260 report regarding the 2015/16 financial year
- Audit of the Council's 2014/15 claim was completed. The Council received only very minor qualifications resulting in amendments being made to the final claim in accordance with the DWP arrangements
- The audit of the Council's 2015/16 claim was underway

Resolved:- (1) That the governance arrangements that were currently in place for the monitoring and managing of recommendations from external audits and inspections be noted.

(2) That regular reports in relation to external audit and inspections and progress in implementing recommendations

38. ITEMS FOR REFERRAL FOR SCRUTINY

There were no issues for referral.

39. EXCLUSION OF THE PRESS AND PUBLIC

Resolved:- That under Section 100A(4) of the Local Government Act 1972, the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12(A) of such Act indicated, as now amended by the Local Government (Access to Information) (Variation) Order 2006 (information relates to finance and business affairs).

40. STRATEGIC RISK REGISTER - ADULT CARE AND HOUSING

Councillor Roche, Cabinet Member, Adult Social Care and Health, together with AnneMarie Lubanski, Strategic Director, Adult Care and Housing, presented the Adult Care and Housing Strategic Risk Register in particular highlighting:-

- How the Register was maintained/monitored and at what frequency
- Cabinet Member involvement
- How risks were included on/removed from the Register
- Anti-fraud activity in the Directorate

Discussion ensued with the following issues raised:-

- The Mental Capacity Act and the volume of referrals as a result of the Cheshire West judgement
- Approval of Housing Revenue Account Business Plan and Asset Management Policies

Resolved:- That the progress and current position in relation to risk management activity in Adult Care and Housing be noted.

41. STRATEGIC RISK REGISTER

Simon Dennis, Corporate Risk Manager, presented the current Strategic Risk Register which took account of updates from Directorates, the Strategic Leadership Team and the Audit Committee.

The Register was currently updated every 6 weeks with the latest updated presented to the Strategic Leadership Team on 25th October, 2016.

The current Register had been constructed from updates provided by risk owners. There were 2 new risks, 9 that had been removed and 2 that had been merged into 1 giving a total of 18 risks.

In the majority of cases, the risks that had been removed were due to the risk now being managed at Directorate rather than Strategic level. This was a reflection of the increasing maturity of the Risk management process as well as the Strategic Leadership Team's wish to focus on risks that were crosscutting or strategic in nature.

Resolved:- That the updated Strategic Risk Register be noted.

PLANNING BOARD
27th October, 2016

Present:- Councillor Atkin (in the Chair); Councillors Andrews, D. Cutts, M. S. Elliott, Khan, Price, Sansome, R.A.J. Turner, Tweed, Walsh and Whysall.

Apologies for absence were received from Councillors Bird, Ireland and Jarvis.

36. DECLARATIONS OF INTEREST

There were no Declarations of Interest made at this meeting.

37. MINUTES OF THE PREVIOUS MEETING HELD ON 6TH OCTOBER 2016

Resolved:- That the minutes of the previous meeting of the Planning Regulatory Board held on Thursday, 6th October, 2016, be approved as a correct record for signature by the Chairman.

38. DEFERMENTS/SITE VISITS

There were no site visits nor deferments recommended.

39. CONTINUATION OF TREE PRESERVATION ORDER NO. 7 1990, HOOTON CLIFF WOOD, DONCASTER ROAD, HOOTON ROBERTS

Further to Minute No. 34 of the meeting of the Planning Board held on 6th October, 2016 and after receipt of the latest communication from Mr. R. Winstanley sent to all Members of the Planning Board, consideration of this matter was deferred to allow the Council time to consider its options and/or actions in relation to this matter.

40. DEVELOPMENT PROPOSALS

Resolved:- (1) That, on the development proposals now considered, the requisite notices be issued and be made available on the Council's website and that the time limits specified in Sections 91 and 92 of the Town and Country Planning Act 1990 apply.

In accordance with the right to speak procedure, the following people attended the meeting and spoke about the applications shown below:-

- Erection of a single storey building for retail use (use class A1) at the former Kirk House, Browning Road, Herringthorpe for Horizon (RB2016/0543)

Mrs. L. Sadler (agent on behalf of the applicant Company)

Mr. Sahota (objector)

Councillor K. Reeder (objector)

- Siting of portable building to provide outside bar and installation of external timber seating and tables to front patio at 169 Bawtry Road, Wickersley for The Olive Lounge (RB2016/1058)

Mr. D. Baker (on behalf of the applicant)
Parish Councillor Mr. P. Thirlwall (objector)
Parish Councillor Mrs. S. Ellis (objector)

(2) That applications RB2016/0543 and RB2016/1058 be granted for the reasons adopted by Members at the meeting and subject to the relevant conditions listed in the submitted report.

41. UPDATES

Discussion took place on the training sessions provided for Members of the Planning Board:-

(1) 18th October, 2016 – the session about determining applications for planning permission for hydraulic fracturing (commonly known as “fracking”) had been very informative;

(2) 3rd November, 2016 – a session has been arranged about neighbourhood planning.

**PLANNING BOARD
17th November, 2016**

Present:- Councillor Atkin (in the Chair); Councillors Andrews, Bird, Cutts, Elliott, Jarvis, John Turner, Tweed, Walsh, Whysall and Fenwick-Green.

Apologies for absence:- Apologies were received from Councillors Ireland, Khan, Price and Sansome.

42. DECLARATIONS OF INTEREST

There were no Declarations of Interest made at this meeting.

43. MINUTES OF THE PREVIOUS MEETING HELD ON 27TH OCTOBER, 2016

Resolved:- That the minutes of the previous meeting of the Planning Regulatory Board held on Thursday, 27th October, 2016, be approved as a correct record for signature by the Chairman.

44. DEFERMENTS/SITE VISITS

There were no site visits nor deferments recommended.

45. DEVELOPMENT PROPOSALS

Resolved:- (1) That, on the development proposals now considered, the requisite notices be issued and be made available on the Council's website and that the time limits specified in Sections 91 and 92 of the Town and Country Planning Act 1990 apply.

In accordance with the right to speak procedure, the following people attended the meeting and spoke about the applications shown below:-

- Application to vary condition 02 (approved plans) imposed by RB2014/1282 at Fullerton Public House, Vale Road, Thrybergh for RJR Developments Ltd. (RB2016/1183)

Borough Councillor K. Albiston (objector)

- Use of land as extension to garden area at 21 Milton Road, Dinnington for Mrs. S. Short (RB2016/1206)

Mrs. S. Short (applicant)
Mrs. T. A. Stannard (objector)

- Siting of portable building to provide outside bar at Masons Arms Public House, Bawtry Road/Northfield Lane, Wickersley for Greene King plc (RB2016/1286)

Mr. L. Adams (on behalf of the applicant Company)
 Mr. T. Grech (on behalf of the applicant Company)
 Mr. T. Bentham (landlord of the Public House, on behalf of the applicant Company)
 Mr. D. Mitchell (objector)
 Parish Councillor Mr. P. Thirlwall (objector)
 Parish Councillor Mrs. S. Ellis (objector)

(2) That applications RB2016/1183, RB2016/1206 and RB2016/1286 be granted for the reasons adopted by Members at the meeting and subject to the relevant conditions listed in the submitted report.

(3) That application RB2016/0817 be granted for the reasons adopted by Members at the meeting and subject to the relevant conditions listed in the submitted report, including the amended condition 02, which reads:-

02

The permission hereby granted shall relate to the area shown outlined in red on the approved site plan and the development shall only take place in accordance with the submitted details and specifications as shown on the approved plans (as set out below) except as shall be otherwise agreed in writing by the Local Planning Authority.

Site Layout KP-SL-01 Rev H (Received 14/11/2016)

Abney Elevations KIV/AB-02, Abney Floor Plans KIV/AB-01, Ashbourne KIV-PL-01, Curbar Elevations KIV/CU-01, Curbar Floor Plans KIV/CU-02, Hathersage Elevations KIV/HA-12, Hathersage 1st Floor Plans KIV/HA-02, Hathersage Ground Floor Plans KIV/HA-01, Hopton Elevations KIV/HO-01, Hopton Floor Plans KIV/HO-02 & Matlock MA-PL-01 (Received 20 June 2015).

46. PROPOSED TREE PRESERVATION ORDER NO. 5, 2016 - LAND AT 108 MAIN STREET, BRAMLEY

Consideration was given to a report of the Assistant Director of Planning, Regeneration and Culture concerning the proposed making and serving of a Tree Preservation Order to protect two trees (T1 Sycamore and T2 Silver Birch) on land at 108 Main Street, Bramley. The report stated that the condition of these trees had been inspected as part of consideration of the planning application for the redevelopment of this site (application reference RB2016/0114).

In accordance with the right to speak procedure, the following people attended the meeting and spoke about the applications shown below:-

Mr. A. Hill (objecting to the making of the Order)
 Mr. W. Anderson (objecting to the making of the Order)

The report detailed the evaluation of these two trees, using the TEMPO system (Tree Evaluation Method for Preservation Orders).

Resolved:- (1) That the report be received and its contents noted.

(2) That the serving of Tree Preservation Order No. 5, 2016, be confirmed without modification with regard to the two trees the subject of the submitted report, which are situated on land at 108 Main Street Bramley, in accordance with Section 198 and Section 201 of the Town and Country Planning Act 1990.

47. UPDATES

Members were informed of the Government's issuing of a safeguarding direction which would affect any applications for planning permission for development alongside the proposed route of HS2 High Speed railway line. The safeguarding direction required the HS2 organisation to be consulted on any applications for planning permission affecting land alongside the proposed railway route.

STAFFING COMMITTEE
10th October, 2016

Present:- Councillor Alam (in the Chair); Councillors Cowles, Roche and Watson; together with Commissioner M. Ney.

EXCLUSION OF THE PRESS AND PUBLIC.

Resolved:- That under Section 100(A) 4 of the Local Government Act 1972, the public be excluded from the meeting for the following item(s) of business on the grounds that it/they involve(s) the likely disclosure of exempt information as defined in Paragraph 2 of Part 1 of Schedule 12(A) of such Act indicated, as now amended by the Local Government (Access to Information) (Variation) Order 2006 (information which is likely to reveal the identity of an individual).

SOCIAL WORK RETENTION PAYMENTS REVIEW 2016

Consideration was given to a report, presented by the Strategic Director of Children and Young People's Services, concerning the review of the retention payments for Social Workers within the Children and Young People's Services Directorate and proposing slight amendments to the scheme.

Discussion took place on:-

- : the cost of social workers supplied via agency agreements;
- : the recruitment of social workers from within the United Kingdom and abroad;
- : the development, training and retention of social workers already employed by this Council; and
- : specific issues in respect of the recruitment and retention of Team Managers.

Resolved:- (1) That the report be received and its contents noted.

(2) That, with effect from 1st November, 2016 the current annual, single payment be paid in two parts, the first (equal to 5% of gross basic pay) to be paid monthly as a market supplement and the remaining 2.5% of basic pay to be paid as a single sum after twelve months' satisfactory performance in the previous year.

(3) That the currently designated 'retention' payment (of 10% of basic pay) for Heads of Service within Children's Services continue to be paid on a monthly basis.

(4) That where an employee is subject to a one-off absence related event (such as an operation) and otherwise has a below target sickness record in the three previous years, an Assistant Director in consultation with the Head of Human Resources, may agree to allow the final payment providing the employee's performance otherwise meets the required criteria.

(5) That parameters shall be established for the introduction of a "Refer a Friend" payment scheme, to pay existing employees a total of £500 upon successful recruitment of referred applicants (the first £250 upon appointment of the 'friend', the second £250 payable upon successful completion of the probation period).

(6) That the application of the amended recruitment payments scheme (as described above) to Team Manager posts, shall be the subject of further consideration by the Staffing Committee, at a future meeting, should the need arise.