

Directorate:

Children & Young People's Services

Appendix 1

Budget Monitoring Period:

Forecast Outturn as at July 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Directorate Wide Costs	202		Staffing, pensions	£236K on interim staff, -£32K on pension costs (former employees)
Education & Skills Assistant Director	36		Pensions	School pension costs (former employees)
Virtual School	182		Staffing	Unbudgeted staff costs
Inclusion Services	213		Placements	Social Care contribution towards Complex Needs placements due to placement numbers.
Facilities Services	41		Staffing	£109k under achievement of income due to seven schools opting out from September, partially offset by staff vacancies within catering -£68k.
Early Help South Locality		-121	Staffing, Supplies & services	Strategic Director has requested in year savings targets to be set against the Children's Centres. Forecast savings due to delays in recruitment & essential spend only on supplies
Early Help North Locality		-57	Staffing, Supplies & services	Strategic Director has requested in year savings targets to be set against the Children's Centres. Forecast savings due to delays in recruitment & essential spend only on supplies
Early Help Central Locality		-39	Staffing, Supplies & services	Strategic Director has requested in year savings targets to be set against the Children's Centres. Forecast savings due to delays in recruitment & essential spend only on supplies
Commissioning, Performance & Quality	279		Staffing, supplies & services	Insufficient budget in the Performance Team £40K, Business Support £274K this will be assessed within the wider corporate Business Support review, £72K additional CSE support contracts costs. These pressures are partially offset by staffing savings -£107k.
Deputy Director - Children's Social Care	286		Staffing, supplies & services	Recruitments are over and above the current structure budget level, £46K interim costs, £94K for additional temporary recruitment staff to help bring in new social workers to the authority, £124K on recruitment agency costs, and £22K Deputy Director additional costs
Safeguarding Services	581		Staffing, Supplies & services	Presently vacant posts are being covered via agency staff, the agency costs are greater than the budgets for these vacant posts. Legal costs for an increase in case numbers.
Locality Social Work Teams	1,144		Staffing, Supplies & services, Direct payments	Pressure of using agency staff in the interim period until vacancies are filled is £955K. There is also a £32K forecast pressure on Interpretation costs, £13K section 17/23 money for child supervision, and £144K additional demand for Direct Payments for clients (67 clients projected this year against a prior years average of 58)
Evolve (Sexual Exploitation)	534		Staffing	Presently vacant posts are being covered via agency staff, the agency costs are greater than the substantive budgets for these vacant posts.
Looked After Children	2,974		Placements, staffing, allowances, supplies & services	Overspend includes £2.09m for Independent Fostering placements due to requirement for 35 more placements than the budgeted provision of 115. There are pressures on inter-agency adoption fees £120K and £259K on Special Guardianship Orders due to an increase in the number of adoptions and placements with family members. Additionally there are pressures of £323K for fostering allowances, £198K Leaving care services, £493K cost of agency/interim staff, £54k additional costs for in house residential homes offset by a forecast under spend of -£545K on Out of authority residential placements and -£18K on adoption allowances.
First Response	609		Staffing, supplies & services	Presently vacant posts are being covered via agency staff, the agency costs are greater than the substantive budgets for these vacant posts £568K, overspends on interpretation costs £27K, Section 17/23 monies £2K and transport £2K
Residential Review		-750	Various	Savings expected to be achieved in year including the saving from the closure of a Children's Residential Unit
Total	7,081	-967		
Net Under/Overspend	6,114			

Directorate:

Adult Care & Housing

Budget Monitoring Period:

Forecast Outturn as at July 2016

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Adult Social Care				
Adults General		-55	Staffing	Delays in recruitment to senior management posts
<u>Older People</u>				
Independent Residential Care	618	0	Third Party Payments	Budget pressure due to reduction in Care Act funding (£371k). Average net cost to the Council of nursing care package has increased for around 200 service users, plus Continuing Health Care budget shortfall (£260k).
Enabling/Domiciliary Care	967	0	Third Party Payments	Continued increase in average weekly cost of Domiciliary Care due to additional demand, impact of national living wage plus recurrent budget pressure in respect of income from fees and charges (charges are based on financial assessments and currently 58% of clients do not pay towards the cost of their care).
Assessment & Care Management	0	-218	Income	Non recurrent Health Funding brought forward from 2015/16 & Higher than anticipated staff turnover
Direct Payments	1,437	0	Third Party Payments	Full year impact of 48% increase in clients in 2015/16, reduced by Better Care Funding (£700k). Increase in client base is due to a mixture of demographic pressures and clients moving from a Domiciliary Care Contract, in total this has seen 180 new clients. There is also a continued increase in average cost of care packages plus an additional net increase of 6 new clients from April. Reviews being undertaken by a Task Group are resulting in some reductions in individual care packages. Action being taken to review packages & reduce costs
Extra Care/Day Care/Transport		-41	Staffing and Income	Higher than anticipated staff turnover . Increased Income through price increases in excess of budget.
Client Community Support Services		-8	Staffing	Higher than anticipated staff turnover
<u>Learning Disabilities</u>				
Supported Living	0	-147	Staffing	Higher than anticipated staff turnover on a number of schemes
Residential Care	0	-750	Third Party Payments	Includes anticipated outcome of the review of high cost placements, the current forecast underspend is based on actual expenditure and activity for the 1st quarter less the calculated impact of service review and an increase in the level of Continuing Health Care Income recoverable by the service.
Day Care	0	-179	Staffing	Higher than anticipated staff turnover. Service under review as part of Adults Development Programme
Direct Payments	0	-25	Third Party Payments	Full year impact of 30% increase in clients in 2015/16 further savings as a result of management actions to review managed accounts
Domiciliary Care		-1	Third Party Payments	Service for a high cost client now ceased
Assessment & Care Management		-4	Staffing	Higher than anticipated staff turnover
<u>Mental Health</u>				
Independent Residential Care	529	0	Third Party Payments	Full year impact of high cost placements in 2015/16, including transfer of cost of a Rotherham resident placement by a Neighbouring Authority and r loss of Continuing Health Care funding for another placement.
Direct Payments	257	0	Third Party Payments	Full impact of 12% increase in demand in 2015/16 plus loss of one -off funding from Public Health. Includes expected savings as result of reviewing managed accounts
Day Care/Community Support	0	-5	Staffing	Contract Efficiency Savings
Assessment & Care Management	0	-25	Staffing	Higher than anticipated staff turnover

<u>Physical & Sensory</u>				
-				
Direct Payments	749	0	Third Party Payments	Full impact of 10% increase in demand in 2015/16 plus additional increase of 9 clients since April 2016, as a demand led budget the client base can vary month on month. Includes savings as a result of management actions to review managed accounts.
Independent Residential Care	572	0	Third Party Payments	Full year impact of significant increase in client numbers in 2015/16 (12 placements).
Domiciliary Care	72	0	Third Party Payments	A further increase of 7 clients since April plus increase in cost of weekly care packages
Day Care/Equipment/Advice & Information	0	-35	Third Party Payments/Supplies and Services	Reduction in demand for Independent Day care plus savings from alternative provision of some day care services
Safeguarding	0	-21	Staffing & income	Higher than anticipated staff turnover & additional income recovery from Court of Protection
Supporting People	77	0	Supplies and Services	Forecast shortfall in achieving 2016/17 budget savings on service contracts, partially offset by other minor variances.
Commissioning & Performance	0	-60	Staffing	Forecast underspend due to vacant posts.
<u>Housing</u>				
Strategic Housing Investment	6		Staffing	Small forecast overspend due to lower than anticipated staff turnover
Housing Options	1		Staffing	Small forecast overspend due to lower than anticipated staff turnover
Neighbourhood Partnerships		-44	Staffing	Recruitment to staff vacancies on hold pending review of Area Assembly and Community Cohesion services
Total	5,285	-1,618		
Net Under/Overspend	3,667			

Directorate:

Regeneration & Environment

Budget Monitoring Period:

April to July

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Business Unit				Service Total (£0k) overspend
Business Unit	0		Staffing	There are small pressures due to agency costs, which have been partially offset by reduced pension costs (former employees). A DMT decision has been made to offer a reduced training programme in order to deliver a balanced budget.
Community Safety & Street Scene				Service Total (-£294k) underspend
Network Management		-99	Staffing, Supplies and Services & Income	There are currently expected savings from Street Lighting -£64k and an improved forecast on Parking income -£44k, and staff savings due to vacant posts in Streetworks -£9k. The Street Lighting savings are generated through reduced energy bills following the capital improvement works to the authority's Street Lamps. As in previous years there is a risk that the Winter Service budget will over spend (weather dependant).
Street Scene Services	68		Staffing, Supplies and Services & Income	Savings on the Community Services budget -£16K. Largely as a result of the vacant Grounds Maintenance Manager post -(£26k), and a small pressure on the Pest & Dog Warden Services (+£6K) mainly as a result of a vacancy factor pressure and on Street Cleansing Environment (+£4K) as a result of gum removal in the town centre as part of the Clean for the Queen project. The Corporate Transport Unit is reporting a net +£84K. CTU has a +£86K pressure which is mainly staff related due to delayed implementation of savings proposals. There is a further pressure from unachievable income targets relating to taxi assessments and driver training. As agreed at SMT, Home to School Transport are currently being reported as breakeven pending confirmation of the impact of leavers & new starters. Stores & Depots are showing a small underspend of approx. -£2K. Waste Services are currently reporting a balanced budget but a full review of this service budget is required.
CSS Corporate Accounts	2		Staffing	A pressure relating to delayed implementation of a staffing restructure in relation to M3 Manager posts this has been mitigated by some other staff savings due to Love My Streets post remaining vacant for a short period.
Community Safety		-13	Staffing	Anti-Bullying has a forecast -£3K underspend due to staff savings. Community Safety is forecasting a -£10K underspend as a result of a secondment from the team which will not be backfilled.
Business Regulation	114		Staffing	This pressure is due to overspend (+£114k) in licensing due to agency staff, covering 3 staff members who are absent.
Safer Neighbourhoods		-345	Staffing & Supplies and Services	Community Protection is showing a significant forecast underspend -£357k due to the service carrying a number of vacant posts, approval has now be given to begin to advertise for these vacancies. This is partially reduced by an overspend +£12k on essential maintenance and repair costs at Landfill sites.
EP & Health & Safety		-21	Staffing	Largely due to present vacancy.

Culture, Sport & Tourism				Service Total (£23k) overspend
Green Spaces	85		Premises & Income	A review of income forecasts at RVCP has been considered, and the anticipated shortfall on Parking and other activities is +£50k. A review of trading activities will be required to consider if the revised projections are realistic for the remainder of the financial year. A number of other small variances across this varied service relate to increased staffing costs and some premises related costs +£35k.
Sports Development		-1		Minor Variance
Leisure Facilities	0	0		
Trees & Woodlands		-12	Income	Forecast over recovery of income on rechargeable tree works, -£12k offsetting small vacancy factor pressure
Landscape Design	6		Income	Anticipated income shortfall £6k due to reduced chargeable staffing hours.
General Management	216		Staffing	The key pressure shown here relates to EDS 5 Libraries savings proposals which cannot be fully implemented until a decision is made post consultation +£250k. (This is being considered elsewhere on this agenda). Reduced staffing costs mainly due to the new Assistant Director post remaining vacant, together with some other vacant posts across management.
Heritage Service	0			
Theatres		-70	Staffing & Income	Pay underspend due to staff changes and vacancies -£58k, Cafe income surplus -£15k and a small pressure on non pay budgets.
Museum, Galleries & Archives		-14	Staffing & Supplies and Services	Variance due to staff savings by non filling of vacant posts.
Tourism		-4	Income	Small variance on income budgets
Libraries		-132	Staffing & Supplies and Services	Post consultation a review of budgets has yielded an increased underspend, which is predominantly pay related due to vacancies and additionally, a reduced use of relief pay budgets -£42k and -£90k on materials fund at this stage in the financial year.
Management	4		Staffing	Small pressure on staffing budgets
Customer Services		-55	Staffing, Supplies & Services and Income	Post consultation work now shows staff and small non pay savings -£16k, and some expected additional HRA and DWP funding -£39k.
Planning, Regeneration & Transportation				Service Total (-£238k) underspend
Estates		-12	Staffing & Income	Although the capacity for income generation on this account is likely to be reduced due to a vacant post +£13k, recharges for capital works such as Bassingthorpe farm will generate -£25k
Facilities Management		-305	Premises & Income	The key savings are from Land and Property Bank- £332K underspend due to reduced estate (buildings being vacated and/or sold). Forecast includes -£42K movement from dilapidations provision for Phoenix Riverside/Innovations Centre. Facilities Management Team - £21K pay underspend due to vacancies. These are being reduced by some pressures across the services - Corporate Property Portfolio +£38K overspend - general running costs across the portfolio. Community Buildings +£52K which includes an historic unachievable saving (+£40k) due to the delay in the planned closure programme.
Building Design and Corporate Projects	82		Income	This projection is based on known current workload. Until major capital expenditure decisions are made future workload is unpredictable. This is an ongoing long term pressure due to reduced workload and planned and unplanned changes to the team. A Trading Review is underway to assess long term issues regarding traded services.
Corporate Environmental Team		-3	Staffing & Supplies and Services	A Carbon Reduction payment is +£7k higher than budgeted but is being offset by staff savings -£10k.
Children's Capital Team	5		Staffing	Pressure due to unachievable vacancy factor.
Corporate Property Management	4		Staffing	Pressure due to unachievable vacancy factor.

CYPS Property	9		Premises related costs	Small variance against budgets on Safeguarding Children and Families Properties, Children's Centres and Youth Centres.
EDS Property	39		Premises related costs	Forecast running costs relating to the RAIN building +£25k; Libraries and Centenary Market.
NAS Property	4		Premises related costs	Small forecast variance against Learning Disabilities Property budgets at this stage in the financial year
Regeneration/Economic Development		-1	Staffing & Supplies and services	Small variance against budget.
Managed Workspace (Business Centres)		0		
Management	3		Staffing	Small variance due to increased costs on Employer Liability Insurance
Markets	0		Supplies and services	Balanced budget position expected due to pending new contract for Thursday Market and resulting savings.
Planning & Building Control	92		Supplies & Services and Income	Key pressure anticipated due to reduced Development Control income shortfall +£67k, factoring in new fees as determined by DMT (Gullivers, Waverley). Increased software and consultancy costs +£25k offset by reduced consultancy and publicity costs.
Rotherham Investment & Development Office (RIDO)		-286	Income	DMT Star Chamber 3/8/16 - decision taken to adjust the forecast to -£286k, by use of £86k balance sheet monies plus funding from Department of Business Innovation and Skills (BIS), shown as £193k.
Transportation	131		Staffing and income	Pressure due to lack of fee earning work in highways +£112k, and the net cost of agency staff for interim management cover +£19k.
Total	864	-1,373		
Net Under/Overspend	-509			

Directorate:

Assistant Chief Executive

Budget Monitoring Period:

Forecast Outturn as at July 2016

Service	Outturn Variance 2016/17		Nature of under/overspend: (e.g.. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Communications and Media	30		Staffing & Supplies & Services	Unfunded Systems & Subscription costs -£35k, staff pressures due to maternity leave/contract extension offset by in year vacancies. There is also an under achievement on income from Design studio.
Democratic Services		-42	Staffing, supplies & services, income & Members allowances	Additional staff cost pressure £51k, estimated under achieved Town Hall Catering income £38K, offset by savings on members allowances and national insurance/pension payments -£131k.
Human Resources (HR) & Payroll - Corporate Services	8		Staffing, supplies & services, income	There are staff pressures due to interim management arrangements and maternity cover costs and a loss of income from schools -£35k. However these pressures are offset by forecast additional income on the council's salary sacrifice schemes and recharges on staff advertisement.
HR & Payroll - Service Centre	47		Staffing, supplies & services, income	Loss of traded income from schools £50K, unachieved income on VAT reclaim from mileage receipts £20K and loss of income due to drop in demand for DBS checks £7k. This is partially offset by underspends on salaries due to vacant posts, maternity leave and staff working less than contracted hours.
Policy and Partnerships		-88	Staffing, income	Staff underspend due to vacant posts -£58K, and use of additional 1 year funding from the Local Government Association (LGA) -£30K.
Directorate Wide	45			Additional forecast staff costs across the Directorate.
Total	130	-130		
Net Under/Overspend	0			

Directorate:

Finance & Customer & Corporate Services

Budget Monitoring Period:

Forecast Outturn as at July 2016

Service	Outturn Variance 2016/17		Nature of under/overspend: (e.g.. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
ICT	50		Supplies and services	Forecast non-delivery of budget saving in respect of sale of capacity in data centre.
Legal Services	8		Staffing	Staff cost pressure
Elections		-49	Staffing & Supplies & Services	Staff cost pressure £15k offset by underspend on Municipal election due to shared May election -£64k
Statutory Costs	120			Forecast overspend due to volume of statutory notices/planning notices.
Business Unit	76		Staffing & Income	Unachievable income target relating to Central Print and Planned Print £112k, partially offset in part by vacant post -29K and underspend against Corporate mail room costs -£7k.
Procurement		-78	Staffing & Income	Underspend on salaries due to vacancies within the team and shortfall on income relating to System Management Fee -£5k
Financial Services	0	-10	Staffing, Supplies & Services & Income	Staff cost pressures mitigated by vacancies -£8k and lower than anticipated pension charges (former employees) -£16k. Other supplies & services costs £19k, offset in part by underspend on training budget -£5k.
Revenues & Benefits	0			Forecast balanced Outturn
Internal Audit & Insurance		-37	Staffing	Staff vacancies
Directorate Wide		-80	Income	Forecast underspend due to vacancy control and maximising grant income flexibility across the Directorate.
Total	254	-254		
Net Under/Overspend	0			