

DIRECTORATE Children and Young People's Services

Budget Monitoring Period: Forecast Outturn as at February 2017

Service description	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Child Sexual Exploitation team (EVOLVE)	9		Staffing, Grant income	Costs of initial team, including agency staff to check and screen enquiries from ongoing/active investigations. Costs of agency staff & interims over & above the slippage on vacant posts (£252k). This has broadly been offset by income from DfE bid for Immediate Need Funding (£243k)
First response	34		Staffing, supplies & services	Costs of agency staff & interims over & above the slippage on vacant posts
Locality Social Work teams	70		Direct payments	Pressure on Direct Payments from an increase in numbers and from clients who have more complex educational and disability needs
Children's Rights Team, Safeguarding Board, Operational Safeguarding Unit		-8	Staffing, supplies & services	Slippage on vacant posts partially offset by costs of agency staff
Directorate and Social Care Management	129		Staffing, supplies & services	Interim costs and additional temporary recruitment of staff, recruitment agency costs and additional management support costs
Children in Care staffing, Fostering allowances, Fostering placements, Adoption placements	2,837		Placements, allowances, supplies & services	Residential Out of Authority placements (£1,847k), Independent Fostering Placements (£840k). These forecasts allow for LAC numbers to be at 500 as at March 2017 and provide for more higher cost 16+ placements (£150k). Any increase above the current estimate of 500 or the transfer of existing placements to a more expensive provision will result in a further pressure on social care budgets.
Education, Health and Care assessment and processing, Special Educational Needs and Disability (SEND)		-269	Placements	Social care contribution towards Complex Needs placements. The realignment of costs has resulted in savings.
Rockingham PDC, School Music Service, School Effectiveness, School planning, admission and appeals	160		Income	Reduced income assumptions from traded activities
Training budget		-35	Staffing, supplies & services	Reduction in use of training development budget to mitigate overspend position
Residential homes		-750	Various	Savings expected to achieve in year including the saving from the closure of St Edmunds. To be used to offset pressure on LAC placements budgets
Early Help Localities, Children's Centres		-258	Staffing, supplies & services	In year savings against Children's Centres. Forecast savings due to vacancy management
Locality Social Work (staff), Children in Care (consultation & publicity)		-89	Staffing, supplies & services	In year mitigations, vacancy freeze and savings on consultation & publicity expenditure
Early Years		-100	Various	Review of expenditure transferred to Early Years DSG Block
	3,239	-1,509		
		1,730		

DIRECTORATE
Budget Monitoring Period:

Adult Care and Housing
Forecast Outturn as at February 2017

Service	Forecast:		Nature of under/overspend: (eg. Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Adult Social Care				
Adults General		-9	Supplies & Services	Employment contracted extended to end December 2016 plus additional recharge for management support offset by planned training deferred until 2017/18 and savings from recruitment to senior management posts.
Older People				
Independent Residential Care	610		Third Party Payments	Budget pressure due to reduction in Care Act funding, plus Continuing Health Care budget shortfall (£260k). Number of placements continues to reduce since April, however, average net cost per client is increasing.
Direct Provision residential Care	18		Supplies & Services	Income pressure as beds have been converted to intermediate care provision and are no longer eligible to be charged to clients plus reduction in full cost paying clients.
Enabling/Domiciliary Care	1,069		Third Party Payments	Continued increase in average weekly cost of Domiciliary Care due to additional demand (+119 clients, +12%), impact of national living wage plus recurrent budget pressure in respect of income from fees and charges (charges are based on financial assessments and currently 58% of clients do not pay towards the cost of their care).
Assessment & Care Management		-550	Staffing & Income	Non recurrent Health Funding brought forward from 2015/16 plus higher than anticipated staff turnover, fully reflects the restructure.
Direct Payments	1,771		Third Party Payments	Full year impact of 46% increase in clients in 2015/16, reduced by non recurrent Better Care Funding (£500k). Increase in client base is due to a mixture of demographic pressures and clients moving from a Domiciliary Care Contract, in total this has seen 168 new clients. Action being taken to review packages & reduce overall costs.
Extra Care/Day Care/Transport		-175	Staffing & Income	Higher than anticipated staff turnover. Forecast additional Income from the increase in charges from 1 January 2017 plus savings on review of non essential spend.
Client Community Support Services		-18	Staffing	Higher than anticipated staff turnover & delayed implementation of Advocacy Contract plus review of non essential spend.
Learning Disabilities				
Supported Living		-523	Staffing	Higher than anticipated staff turnover & Carers costs lower on Shared Lives schemes due to lower than anticipated take up.
Residential Care	560		Third Party Payments & Income	Includes anticipated outcome of the review of high cost placements, the current forecast overspend is based on actual expenditure and activity less the calculated impact of service review and an increase in the level of Continuing Health Care Income recoverable by the service. Additional investment has been agreed to fund the demographic pressures in respect of the cost of transitional placements from Children's (£250k). Also included is the non achievement of the budget saving on in-house residential and respite care (£183k).
Day Care		-240	Staffing	Current Transport provision £110k pressure offset by higher than anticipated staff turnover plus efficiency savings on non essential spend. Service under review as part of Adults Development Programme including consultation with service users and carers. Additional investment has been agreed to fund the demographic pressures in respect of the cost of transitional placements from Children's (£100k).
Direct Payments		-180	Third Party Payments	Full year impact of 30% increase in clients in 2015/16 offset by additional budget allocation. Additional 25 service users since April 2016 (+11%).
Domiciliary Care/ community support		-8	Third Party Payments	Decline in demand for community support services
Health Authority Supported Living		-491	Third Party Payments	Savings from the change in provision from residential care to supported living schemes.
Assessment & Care Management	99		Staffing	Additional cost of agency staff to undertake review of high cost care packages
Mental Health				
Independent Residential Care	749		Third Party Payments	Full year impact of high cost placements in 2015/16, including transfer of cost of a Rotherham resident placement by a Neighbouring Authority and loss of Continuing Health Care funding for another placement.
Direct Payments	406		Third Party Payments	Full impact of 12% increase in demand in 2015/16 plus loss of one -off funding from Public Health.
Day Care/Community Support		-17	Staffing & Third Party	Contract Efficiency Savings & Higher than anticipated staff turnover
Assessment & Care Management		-140	Staffing	Higher than anticipated staff turnover
Physical & Sensory				
Direct Payments	1,166		Third Party Payments	Full impact of 3% increase in demand in 2015/16 plus additional increase of 14 clients since April 2016 (+4.5%).
Independent Residential Care	518		Third Party Payments	Full year impact of significant increase in client numbers in 2015/16 (12 placements - 5 new clients plus loss of CHC for 7 clients).
Domiciliary Care	50		Third Party Payments	Initial decrease in client numbers (-7%) but steady increase starting to emerge from September onwards, also an increase in the average cost of package.
Day Care/Equipment/Advice & Information		-250	Third Party Payments/Supplies and	Reduction in demand for Independent Day Care including transport plus savings from alternative provision of some day care services
Safeguarding				
		-233	Staffing & income	Higher than anticipated staff turnover & additional income from partners and fee income from administration of Court of Protection
Supporting People	72		Supplies and Services	Forecast shortfall in achieving 2016/17 budget savings on service contracts.
Commissioning & Performance		-124	Staffing, Supplies & Services	Higher than anticipated staff turnover & savings on advocacy contract.
Housing				

Strategic Housing Investment	6		Staffing	Small forecast overspend due to lower than anticipated staff turnover Delay in recruitment to vacant post plus increase in fee income in respect of Furnished homes scheme Review of non essential spend plus small savings on insurance and pension costs Recruitment to staff vacancies on hold pending review of Area Assembly and Community Cohesion services plus underspend on Community Leadership Fund pending request to carry forward
Housing Options		-676	Staffing/Income	
Central		-9	Supplies and Services	
Neighbourhood Partnerships		-162	Staffing	
Total	7,094	-3,805		
Net Under/Overspend	3,289			

DIRECTORATE

Regeneration & Environment

Budget Monitoring Period:

Forecast Outturn as at February 2017

Service	Forecast:	Nature of under/overspend:	Reason(s) for forecast under/overspend
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	Overspend (+) £'000	Underspend (-) £'000	(eg. Staffing, Supplies & Services, Income, etc)	
Business Unit				Service Total (-£101k) underspend
Business Unit		-101	Staffing	Reduced training budget spend particularly in relation to the Health & Safety training programme -£79k. Reduced non-pay spend due to the moratorium -£19k. Small saving on pension costs -£3k.
Community Safety & Street Scene				Service Total (-£300k) underspend
Network Management		-54	Staffing, Supplies and Services & Income	Street Lighting -£63k mainly from reduced energy bills following improvement works, additional Parking income -£17k, and staff savings due to vacant posts and some deferred expenditure in Streetworks -£12k. There are some small pressures totalling +£38k across the rest of Network Management. Winter Maintenance is shown below.
Street Scene Services	82		Staffing, Supplies and Services & Income	Corporate Transport Unit has an overspend +£135k, due to delayed implementation of the savings proposals within the Corporate Transport Unit (CTU) +£43k, and Home to School Transport +£81k due to changes in demand. The net position on Cleansing and Grounds Services is an underspend of -£53k. This is made up of staff savings on the overheads account -£24k, Cleansing Services +£32k on Street Cleansing environment, based on the average of work undertaken to date on graffiti and fly tipping, this is being mitigated by savings across the rest of the Cleansing budgets -£55k. Grounds Maintenance small saving -£6k. A review of waste services is being undertaken therefore reporting a break even position.
CSS Corporate Accounts	62		Staffing	Staff cost pressure in relation to the delay in implementing a staffing restructure plus additional management support costs.
Community Safety		-64	Staffing	Staff savings and additional one-off grant income within Community Safety.
Business Regulation	23		Staffing	The service has operated for a large part of the year with significant vacancies, approval to fill vacant posts has been agreed so this will be non-recurrent. A review of income forecasts together with additional legal costs have caused an increased pressure resulting in a net +£23k overspend this month.
Safer Neighbourhoods		-265	Staffing & Supplies and Services	Predominantly staff budget savings through vacant posts.
EP & Health & Safety		-84	Staffing	Vacant posts and reduced in year spending in Emergency Planning (-£25k) and in Health & Safety (-£60k).
Culture, Sport & Tourism				Service Total (-£360k) underspend
Green Spaces	48		Premises & Income	Key pressure on Green Spaces is under recovery of income at RVCP, which is being partly mitigated across the rest of Green Spaces.
Sports Development		-4		Savings made on non pay budgets are mitigating small pressures on staffing and income.
Leisure Facilities	0	0		
Trees & Woodlands		-33	Staffing & Income	Staff savings -£14k, non-pay -£11k and -£8k over recovery of income.
Landscape Design	4		Income	Income projections have been amended to reflect changes made causing delays delivering service.
Leisure, Tourism & Green Spaces - General Management		-24	Staffing	A decision taken to capitalise some Green Spaces expenditure has freed up some revenue funding allocated for this purpose, showing an improved position.
Tourism & Marketing		-76	Staffing	Staff saving due to vacant posts, -£56k, which allows recruitment of a consultant to undertake a key piece of work related to Rotherham Show. Additional savings are due to an Events Budget not delivering a full programme in year. A request for carry forward budget is recommended.
Libraries		-167	Staffing & Supplies and Services	Staffing underspends whilst being in the consultation period -£67k and savings on non pay budgets -£100k, including a reduced spend on books and materials forecast at this stage in the financial year.
Cultural Services Management	213		Supplies and Services	This account is now showing the balance of the savings for 2016/17 which have not yet been allocated across Culture and Customer Services.
Customer Services		-140	Staffing, Supplies & Services and Income	Staff savings -£130k, a review of non pay budgets -£48k and income forecasts have been updated to reflect reduced funding from HRA and grants +£38k.
Heritage Service		-2		A small variance on budget is currently reported, this is dependent on HLF monies.
Theatres		-130	Staffing, Supplies and Services & Income	Vacant posts for part of the year and a small over recovery of income.
Museum, Galleries & Archives		-33	Staffing & Supplies and Services	Mainly due to staff savings by non filling of vacant posts, with reduced spend on non pay budgets resulting from recent reviews.
Culture, Sport & Tourism Management		-16	Staffing & Supplies and Services	Staff savings due to post holder commencing employment mid-October, partially offset by additional management support costs, and some unbudgeted staff costs.
Planning, Regeneration & Transportation				Service Total (-£923k) underspend
Estates	162		Staffing & Income	Estates Team under recovery of income +£283K which is a direct result of the current vacant Principal post and resulting inability to secure external work and an increase in non-fee earning work. The under recovery of income is partially off set by the vacancy -£58k and underspending on non pay -£63K.

Facilities Management		-711	Premises & Income	Land and Property Bank -£294k due to the reduced estate, and Facilities Management Team -£105k staff vacancies, Corporate Property Portfolio -£194k underspend. An approval to capitalise some works is now reflected on premises related costs -£157k. A pressure due to unrealised savings on Community Buildings +£39k due to the delay in the planned closure programme.
Building Design and Corporate Projects	10		Staffing & Income	Staff saving -£43k, and small non pay pressure +£3k with +£50k forecast under recovery of income, which has been revised to reflect known work and probable work to be instructed.
Corporate Environmental Team	14		Staffing & Supplies and Services	£7k overspend Carbon Reduction Commitment - payment higher than budgeted, £4k staff cost pressure, £3K overspend subscriptions.
Children's Capital Team		-43	Staffing	Income from Academy Schools for building officers support.
Corporate Property Management	39		Staffing	Staff cost pressure ,increased insurance costs and unfunded costs (+£30k) regarding Dearne Valley Eco-Vision Project.
CYPS Property		-22	Premises related costs	High levels of reactive maintenance and increased costs of building cleaning, offset by savings on closed properties and reduced forecast on caretaking costs.
R&E Property	21		Premises related costs	Increased costs due to reactive works.
ACH Property	10		Premises related costs	Increased costs due to reactive works.
Regeneration/Economic Development		-14	Income	Economic Development +£73k, due to Westgate Chambers now expected to remain with RMBC until March 17 therefore costs have been forecast to year end (£23K pressure) also included is (+£31K) re-payment to HCA Riverside Precinct (+£22K) unachievable rental income budget. Forge Island (+£7K) overspend Legals for purchase. RERF -£87K under after capitalisation of (£85K) Pit House West site investigations and cessation of any further expenditure.
Managed Workspace (Business Centres) Management	23		-7	Represents the value to be transferred to the reserve.
Markets	33		Staffing	Increased costs on Employer Liability Insurance and contribution to increased management support costs.
Planning & Building Control	63		Staffing & Supplies and Services & Income	Overspend primarily due to higher than expected CEC charges, Estates Team Fees and non pay costs +£17k, staffing costs +£23k and improved income -£6k partly mitigating the total pressure
Rotherham Investment & Development Office (RIDO)		-290	Supplies & Services and Income	Under recovery of income +£20k for both Planning & Building Control has been reduced this month. Non pay costs are over budget by +£100k. These are being partially mitigated by some staff savings -£57k.
Transportation	37		Income	DMT Star Chamber 3/8/16 - decision taken to adjust the forecast to use reserves and funding from Department of Business, Energy and Industrial Strategy (BEIS).
Facilities Services		-218	Staffing and income	Highways +£56K pressure due to under recovery of fees due in turn to vacancy and sickness. Position improved from last month due to release of fees from A618/A57 scheme. Transportation net -£19k variance improved by £64K due to revised income forecast. Income forecast includes amounts to be capitalised relating to work undertaken by AW (£42K).
School Crossing Patrol		-30	Staffing and income	Living wage increase lower than anticipated. Charges set on basis of higher living wage. The School Catering Service overspend is expected to be offset by use of the reserve and the figures reflect this adjustment.
Total	844	-2,528	Staffing	Service making use of relief staff and minimal cover, on a risk assessment basis, rather than recruiting to vacant posts, in anticipation of future years savings.
Net Under/Overspend (excludes Winter)		-1,685		

Clarification on how the pressure for Winter Service should be reported has been provided, and the figure will now be reported within R&E, as from February 2017.

The current forecast above budget is £141k.

R&E Revised Under/Overspend	-1,544
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expected to be at this point in the calendar year.
Comparative data from the last 5 years suggests a further £236,467 will be spent in Feb/Mar, however spend is highly weather dependent - for example in 2012/13 an additional £590k was spent during Feb/Mar.
With a budget of £459k, the projected call on Central resources is forecast to be £141,000

DIRECTORATE Finance & Customer & Corporate Services
Budget Monitoring Period: Forecast Outturn as at February 2017

Service	Forecast:	Nature of under/overspend:	Reason(s) for forecast under/overspend
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	Overspend	Underspend	(e.g., Staffing, Supplies & Services, income, etc)	
	(+) £'000	(-) £'000		
Legal Services		-8	Staffing & Supplies & Services	Additional pressures on non staffing costs - printing/postages/staff advertisement offset by overachieved income and vacancy control. Staff cost pressure £16k, projected overspend on postages £16k and overspend on registration canvassing £6k, projection for unbudgeted by-election £34k, offset by additional bid for grant to offset additional costs on the Individual Electoral Registration -£24k and underspend on Municipal election due to shared May election -£73k Forecast overspend due to volume of statutory notices/planning notices and Local Plan Inquiry. Unachievable income target relating to Central Print and Planned Print partly offset by lower than budgeted costs for printing £65k, underspend in staffing due to vacant posts/maternity leave/reduction to contracted hours -£21k, renegotiation of contract rates for post room resulting in projected saving of - Forecast underspend due to vacancy control. Underspend on salaries due to vacancies within the team less cost of advertising -£111k, costs associated with service review £25k additional income relating to System Management Fee -£5k and grant towards Improvement and Development -£6k Underspend on staffing due to vacancy control -£140k, lower than anticipated pension charges (former employees) -£16k and underspend on training budget -£7k, projected overspend on supplies & services budgets including postages and insurance £8k. Forecast underspend due to vacancy control -£236k, overspend on non staffing budgets throughout the accounts £30k, lower than anticipated one-off grant allocations £14k, and lower than anticipated costs relating to the Cost of Collection account -£75k. Staff underspend due to vacant posts -£35k and unbudgeted income and recharges -£29k, pressures within contracted services £30k Pressures on printing, training
Elections		-25	Staffing & Supplies & Services	
Statutory Costs	45		Supplies and services	
Business Unit	18		Staffing, Supplies & Services & Income	
CIDS		-56	Staffing	
Procurement		-97	Staffing, Supplies & Services & Income	
Financial Services		-155	Staffing, Supplies & Services & Income	
Revenues & Benefits		-267	Staffing, Supplies & Services & Income	
Internal Audit		-34	Staffing, Supplies & Services & Income	
Strategic Dir of F&CS	6		Supplies & Services	
Total	69	-642		
Net Under/Overspend		-573		

DIRECTORATE

Assistant Chief Executive

Budget Monitoring Period:

Forecast Outturn as at February 2017

Service	Outturn Variance 2016/17		Nature of under/overspend: (e.g., Staffing, Supplies & Services, income, etc)	Reason(s) for forecast under/overspend
	Overspend (+) £'000	Underspend (-) £'000		
Communications and Media	112		Staffing & Supplies & Services, income	Unfunded Systems & Subscription costs £37k, staff pressures due to maternity leave/contract extension £56k. There is also an under achievement on income from Design studio £19k.
Democratic Services		-122	Staffing, supplies & services, income & Members allowances	Additional staff cost pressure £29k, estimated under achieved Town Hall Catering income £13k, pressures on supplies & services budgets £5k, offset by savings on members allowances and national insurance/pension payments and projected underspend on room hire/hospitality/travel/development costs for members -£164k, projected underspend on Town Twinning Events -£5k.
Human Resources (HR) & Payroll - Corporate Services		-2	Staffing, supplies & services, income	There are staff pressures due to interim management arrangements, maternity cover costs and costs relating to Trade Union staff, cost of advertising Head of HR post totalling £76k, a loss of income from schools £52k. However these pressures are offset by forecast additional income on the council's salary sacrifice schemes and recharges on staff advertisement -£118k and a delay in the Employee survey and underspends against supplies and services -£12k.
HR & Payroll - Service Centre		-35	Staffing, supplies & services, income	Loss of traded income from schools and VAT reclaim from mileage receipts £14k and loss of income due to drop in demand for DBS checks £13k. Pressures on the printing/postages and contracted services budgets including costs for system upgrade/training £90k. This is offset by underspends on salaries due to vacant posts, maternity leave and staff working less than contracted hours -£152k.
Policy and Partnerships		-129	Staffing, supplies & services, income	Staff underspend due to vacant posts -£87k, and use of additional 1 year funding from the Local Government Association (LGA) -£31K, projected underspend on Infr & Corporate Initiatives budget -£11k.
Chief Executives Office	12		Staffing, Supplies & services	Additional pressures relating to printing, subsistence, transport costs
Management Support	34		Staffing	Additional staff pressure due to management support arrangements.
Total	158	-288		
Net Under/Overspend		-130		