

APPENDIX A

Summary of Recommendations from “Active” Inspection and Audit Action Plans

Inspection / Review (date)	External Assessor	Number of recommendations	Implemented at last report	Implemented since last report	Still outstanding	Overall Completion Date for Recommendations	STATUS
Corporate							
Corporate “Fresh Start” Improvement Plan	DCLG and DfE	132 actions set out in original “Phase One” plan (from May 2015) Revised into 20 objectives in the “Phase Two” action plan (from May 2016)	108 (82%) of Phase One actions complete – May 2016, remainder carried forward to Phase Two	Phase Two action plan – 20 objectives with 99 actions, 86 completed	8 Phase Two objectives ongoing with 13 actions outstanding	1 st phase to May 2016 2 nd phase to May 2017 Outstanding actions up to March 2018	Ongoing – formal 3 monthly Commissioner progress reports submitted to Secretaries of State ¹ – most recent published May 2017 Next report due to Government from Commissioners September 2017 Joint Board of the four (now three) Commissioners and Leader, Deputy Leader, Leader of Opposition and Lead Cabinet Member have met nine times to review Phase Two progress. Next meeting is on 20 th November 2017

¹ See www.rotherham.gov.uk/downloads/200796/commissioners for copies of all Commissioner reports and documents

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Corporate							
Corporate "Fresh Start" Improvement Plan	DCLG and DfE	132 actions set out in original "Phase One" plan (from May 2015) Revised into 20 objectives in the "Phase Two" action plan (from May 2016)	108 (82%) of Phase One actions complete – May 2016, remainder carried forward to Phase Two	Phase Two action plan – 20 revised objectives with 99 actions, 27 completed	All 20 Phase Two objectives ongoing with 72 actions outstanding	1 st phase to May 2016 2 nd phase May 2017	Ongoing – formal 3 monthly Commissioner progress reports submitted to Secretaries of State ² – most recent November 2016 Next report due to Government from Commissioners February 2017 Joint Board of the four Commissioners and Elected Members (Leader, Deputy Leader, Leader of Opposition and Lead Cabinet Member) have met five times to review Phase Two progress. Next meeting is on 20 th March 2017

² See www.rotherham.gov.uk/downloads/200796/commissioners for copies of all Commissioner reports and documents

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Adult Care and Housing							
Adult Social Care – Inspection of Lord Hardy Court	QCQ	2		1	1	December 2018	1 recommendation is complete. The Council is currently developing a number of initiatives to deliver the outcomes of the 2 nd recommendation within budgets
Adult Social Care – Inspection of Treefields Close Learning Disability Respite Service	CQC	1	0	1	0	April 2016	Complete Registration of Manager confirmed in July 2016
Housing - Compliance Audit Re ; Brayshaw Bungalows	HCA	1	0	1	0	Immediately	Complete Contract Extension with Mears in place from 2015 & confirmed with contractor August 2017
Children and Young Peoples Services							
Inspection of services for children in need of help and protection, children looked after and care leavers and Review of the effectiveness of the Local Safeguarding Children Board	OFSTED	26	0	0	26	Sign Off will be in line with the Ofsted Re-inspection Timeline	Ongoing
Finance and Corporate Services							
External Auditor's Report on the Accounts 2015/2016	KPMG	2	0	2	0	Mar 2017	Complete
External Auditor's Report on the Accounts 2014/15	KPMG	3	3	0	0	Mar 2017	Complete

Inspection / Review (date)	External Assessor	Number of recommendations	Implemented at last report	Implemented since last report	Still outstanding	Overall Completion Date for Recommendations	STATUS
Regeneration and Environment Services							
Environment Agency compliance audit against environmental permit Licence No. NE/027/0005/004 & Discharge Permit EPR-HP3427GA Ground source heat/cooling system at Riverside House, Main Street, Rotherham, S60 1AE	EA	1	0	0	1	N/a	The recommendation was for a new volume meter to be installed if there are any future upgrades to the system. There are no planned upgrades at this time.
Rotherham Registration Office, Stock and Security Assurance Review.	General Registry Office	0	6	6	0	N/a	Complete