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Audit Committee

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**Committee Name and Date of Committee Meeting**

Audit Committee – 29 November 2022

**Report Title**

Regeneration and Environment Directorate Risk Register

**Is this a Key Decision and has it been included on the Forward Plan?**

No

**Strategic Director Approving Submission of the Report**

Paul Woodcock Strategic Director of Regeneration and Environment

**Report Author(s)**

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**Ward(s) Affected**

All

**Report Summary**

The Regeneration and Environment Directorate risk registers for the Directorate and services have been written in line with the refreshed Council requirements. This report provides an update to the Audit Committee in relation to the current position of the Regeneration and Environment Directorate Risk Register and risk management activity.

**Recommendations**

The Audit Committee is asked to note the progress and current position in relation to risk management activity in Regeneration and Environment.

**List of Appendices Included**

Appendix 1 Regeneration & Environment Directorate Risk Register

**Background Papers**

Regeneration and Environment Risk Register

**Consideration by any other Council Committee, Scrutiny or Advisory Panel**

**Council Approval Required**

No

**Exempt from the Press and Public**

Yes or No? If yes, use text below.

*An exemption is sought for (Appendix 1) under Paragraph 3 Information relating to the financial or business affairs of any particular person (including the authority holding that information)) of the Local Government Act 1972 is requested, as this report contains information that refers to the affairs of third parties.*

*It is considered that the public interest in maintaining the exemption would outweigh the public interest in disclosing the information because failure to do so may result in disclosure of information about the financial or business affairs of Council suppliers and partners.*

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## **1. Background**

- 1.1 The Regeneration and Environment Directorate level risk register currently has 21 risks listed (**Appendix 1**).

Four Directorate risks are also on the Strategic Risk Register. These are as follows:

1.3 **R&E 13 & SLT 27**

To Maintain a Safe and Operational Property Estate

1.4 **R&E 20 & SLT10**

Achieving Economic Growth

1.5 **R&E 50 & SLT36**

Reduction of Carbon Emissions for the Council and the Borough

1.6 **R&E38 & SLT37**

Management and Delivery of Projects, Schemes and Initiatives through Numerous Sources of External Funding

## **2. Key Issues**

- 2.1 There are three Departments within the Regeneration and Environment Directorate:

- Community Safety and Street Scene
- Culture, Sport and Tourism
- Planning, Regeneration & Transport

Each Department has established risk registers capturing their service level risks identified by the respective Assistant Director and their Heads of Service (M3 Managers).

- 2.2 A regular scheduled programme of reviewing and updating Service area and Directorate level risks registers has been implemented across Regeneration and Environment. Risks are regularly discussed and reviewed at Senior Management Team (SMT) and Directorate Leadership Team (DLT) meetings and where necessary, risks are escalated to the next strategic level for inclusion on the risk register.
- 2.3 As part of the programme to embed Risk Management into the culture of the Council, managers from Regeneration and Environment have attended the mandatory “Risk Management Training for Managers” workshops. In addition the Regeneration and Environment Risk Champion has attended individual service area Senior Management Teams to provide an overview to support and advise managers in relation to risk register develop and maintenance.

### **3. Options considered and recommended proposal**

#### **3.1 The key risks on the Regeneration and Environment Risk Register presently are:-**

##### **R&E13 & SLT27 – To Maintain a Safe and Operational Property Estate**

Health, safety and operational risks from Council property and sufficient resources to deal with issues arising.

##### **R&E 20 & SLT 10 – Achieving Economic Growth**

Failure to attract new business and investment to the borough and threat of failing to retain existing clients and investment. Impact on wider economy from the pandemic, increasing costs (such as energy, goods) and uncertainties around the economy result in business failures and businesses delaying or halting investment decisions or general slowdown in the economy.

##### **R&E 50 & SLT 36 - Reduction of Carbon Emissions for the Council and the Borough**

Risk in terms of meeting the Council's targets for Net Zero 30 and Net Zero 40.

##### **R&E 38 & SLT 37 - Management and Delivery of Projects, Schemes and Initiatives through numerous sources of external funding**

Delivery of the full suite of projects and schemes, on time and within budget.

##### **R&E 9 - Provide effective Home to School Transport**

Failure to deliver the Service in line with the budget.

##### **R&E 34 - Ensure the Safe Delivery of Events in the Borough**

A diverse range of events take place every year, engaging between 500 and 80,000 people at each. Risks relate to terrorism, equipment failure, crowd control, fire, traffic, etc. and vary according to the content, location and audience for each event. Events are organised by a wide variety of organisers from grassroots community groups to experienced professional companies.

##### **R&E 41 - Delivery of the New Central Library & Markets to achieve Regeneration Aims in the Town Centre**

Increasing project costs could result in the scheme costs exceeding the budget available for delivery.

##### **R&E 42 –Energy Purchasing - RMBC purchase energy through the flexible procurement framework in advance, and then offer a fixed price for a 12-month period. The load is procured 12-18 months in advance and right**

## **up to the renewal start date of the 1st April each year**

2022-23 Contracts increased 120% for Electricity and 300% for Gas.

The current wholesale market is showing an increase for 2023-24 contract rates of an additional 60% above current levels, load is still being procured and more accurate forecast will be provided as the load is secured.

The current Energy Relief Scheme is due to end on the 31st March 2023.

### **RE 51 - Good Management of Highway Structures**

Specific risk for Centenary Way Viaduct which incurred a central reserve slab failure on Wednesday 10th August.

### **RE52 - Trees Service - Effective Management of Council Owned Trees**

Risk to health and safety caused by tree failure.

3.2 The above Risk Register entries are closely linked to the Regeneration and Environment Service Plans, team plans, reports and service meeting agendas across the Directorate. The Risk Registers have run alongside progress being made in relation to any restructure of services and the development and delivery of Service Plans.

3.3 Progress against key actions to mitigate the above risks is monitored through management team meetings.

## **4. Consultation on Proposal**

4.1 Risk Registers are subject to review by the Strategic Leadership Team. A strategic Risk Champions Forum is established and Regeneration and Environment is actively represented at all meetings.

## **5. Timetable and Accountability for Implementing this Decision**

5.1 Not applicable.

## **6. Financial and Procurement Advice and Implications (to be written by the relevant Head of Finance and the Head of Procurement on behalf of s151 Officer)**

6.1 There are no direct financial or procurement implications arising from this report. Financial implications linked to risk mitigation are detailed in associated Service Plans and Directorate Plans and are closely scrutinised and monitored.

## **7. Legal Advice and Implications (to be written by Legal Officer on behalf of Assistant Director Legal Services)**

7.1 There are no direct legal implications arising from the Risk Register. Any actions taken by the Council in response to risks identified will take into account any legal implications.

## **8. Human Resources Advice and Implications**

8.1 There are no direct Human Resources implications arising from this report. However, the risks contained in the Regeneration and Environment Risk Register (Appendix 1) directly link to the Workforce Strategy and improving the Regeneration and Environment workforce.

## **9. Implications for Children and Young People and Vulnerable Adults**

9.1 Not applicable

## **10. Equalities and Human Rights Advice and Implications**

10.1 Not applicable

## **11. Implications for CO<sub>2</sub> Emissions and Climate Change**

11.1 Not applicable. .

## **12. Implications for Partners**

12.1 Actions relating to any issues affecting partners and other directorates are reflected in the risk register and accompanying risk mitigation action plans.

## **13. Risks and Mitigation**

13.1 The Regeneration and Environment Risk Register (Appendix 1) details the Directorate level risks and mitigations. This is further supported by individual Service Area Risk Registers.

## **14. Accountable Officer(s)**

Paul Woodcock, Strategic Director, Regeneration and Environment  
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