

Definition of Impact and Likelihood of Hazard/Threat

Table 1: Impact scoring scale – qualitative measures (EPRR definition)

Level	Descriptor	Categories of Impact	Description of impact
1	Limited	Health	Insignificant number of injuries or impact on health
		Social	Insignificant number of persons displaced and insignificant personal support required Insignificant disruption to community services, including transport services and infrastructure
		Economic	Insignificant impact on local economy
		Environment	Insignificant impact on environment
2	Minor	Health	Small number of people affected, no fatalities, and small number of minor injuries with first aid treatment
		Social	Minor damage to properties Minor displacement of a small number of people for up to 24 hours and minor personal support required Minor localised disruption to community services or infrastructure for up to 24 hours
		Economic	Negligible impact on local economy and cost easily absorbed
		Environment	Minor impact on environment with no lasting effects
3	Moderate	Health	Sufficient number of fatalities with some casualties requiring hospitalisation and medical treatment and activation of MAJAX procedures in one or more hospitals
		Social	Damage that is confined to a specific location, or to a number of locations, but requires additional resources Localised displacement of up to 100 people for 1–3 days Localised disruption to infrastructure and community services
		Economic	Limited impact on local economy with some short-term loss of production, with possible additional clean-up costs
		Environment	Limited impact on environment with short-term or long-term effects
4	Significant	Health	Significant number of people in affected area impacted with multiple fatalities, multiple serious or extensive injuries, significant hospitalisation and activation of MAJAX procedures across a number of hospitals
		Social	Significant damage that requires support for local responders with external resources 100 to 500 people in danger and displaced for longer than 1 week. Local responders require external resources to deliver personal support Significant impact on and possible breakdown of delivery of some local community services
		Economic	Significant impact on local economy with medium-term loss of production Significant extra clean-up and recovery costs
		Environment	Significant impact on environment with medium- to long-term effects
		Health	Very large numbers of people in affected area(s) impacted with significant numbers of fatalities, large number of people requiring hospitalisation with serious injuries with longer-term effects

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Level	Descriptor	Categories of Impact	Description of impact
5	Catastrophic		
		Social	Extensive damage to properties and built environment in affected area requiring major demolition General and widespread displacement of more than 500 people for prolonged duration and extensive personal support required Serious damage to infrastructure causing significant disruption to, or loss of, key services for prolonged period. Community unable to function without significant support
		Economic	Serious impact on local and regional economy with some long-term, potentially permanent, loss of production with some structural change Extensive clean-up and recovery costs
		Environment	Serious long-term impact on environment and/or permanent damage

Table 2: Likelihood scoring scale

Level	Descriptor	Likelihood over 5 years	Description of Likelihood over 5 years
1	Low	Estimated at 0.005%	It is a conceivable but highly remote possibility
2	Medium Low	Estimated at 0.05%	No significant incidents of this type have occurred in South Yorkshire & Bassetlaw, but it is capable of happening
3	Medium	Estimated at 0.5%	Has occurred in South Yorkshire & Bassetlaw and is capable of happening again
4	Medium High	Estimated at 5%	Has occurred on multiple occasions in South Yorkshire & Bassetlaw and is capable of happening again
5	High	Estimated at 50%	Has occurred on multiple occasions in South Yorkshire & Bassetlaw and is expected to occur in the future.

Definitions from NHS National Patient Safety Agency
 Example of risk matrix (NHS National Patient Safety Agency)

Consequence	Catastrophic (5)	Yellow (5)	Orange (10)	Red (15)	Red (20)	Red (25)
	Major (4)	Yellow (4)	Orange (8)	Orange (12)	Red (16)	Red (20)
	Moderate (3)	Green (3)	Yellow (6)	Orange (9)	Orange (12)	Red (15)
	Minor (2)	Green (2)	Yellow (4)	Yellow (6)	Orange (8)	Orange (10)
	Negligible (1)	Green (1)	Green (2)	Green (3)	Yellow (4)	Yellow (5)
		Rare (1)	Unlikely (2)	Possible (3)	Likely (4)	Almost certain (5)
	Likelihood					

Consequence: For example catastrophic means death or debilitating permanent injury and minor means requesting first aid.

Risk management: is assessment, analysis, and management of risks. It is simply recognising which events (hazards) may lead to harm in the future and minimising their likelihood (how often) and consequence (how bad?).

Table Definition of Likelihood (NHS National Patient Safety Agency)

Likelihood: This must be estimated over a stated period or related to a given activity

Frequency	1	2	3	4	5
How often might it happen (per procedure / episode or within a specified timeframe)?	Rare Can't believe that this will ever happen or recur	Unlikely Do not expect it to happen or recur but it is possible	Possible Might happen or recur occasionally	Likely Will probably happen or recur but it is not a persistent issue	Almost certain Will undoubtedly happen or recur, possibly frequently

Likelihood: This must be estimated over a stated period or related to a given activity

Consequence covers several domains including, impact on patient safety, Quality/complaints, Organisational, Statutory duty/inspections, Reputation/Adverse publicity, Finance/Claims, Service interruption
 Consequence should be assessed taking all of these domains into account - the full model matrix can be found at the link below.

<http://www.npsa.nhs.uk/EasySiteWeb/GatewayLink.aspx?allid=7267>

HEALTH PROTECTION ASSURANCE FRAMEWORK (April 2023 to March 2024)

Version Number	By whom incorporated	Pages Amended	Date	Comments
0.1	Denise Littlewood		16th May	

KEY	RAG rating on the effectiveness of controls from assurance work undertaken
Low	Significant concerns over the adequacy/effectiveness of the controls in place in proportion to the risks
Medium	Some areas of concern over the adequacy/effectiveness of the controls in place in proportion to the risks
High	Controls in place assessed as adequate/effective and in proportion to the risks
	Insufficient information at present to judge the adequacy/effectiveness of controls

Topic area	Hazard or Threat Description	Control Measures	Assurance on Controls	Control RAG Rating	Assurance sufficient? Y/N	Area for Development work				
PREVENTION: Strategic objective										
To ensure local authority and partners are supporting preventive actions to protect the health of the population										
Surveillance	Failure to recognise and cascade information regarding new and emerging infections in a timely manner to initiate response	Rapid Cascade via UKHSA	Daily Sit Reps Weekly Notification of Infectious Diseases Report UKHSA Monthly Disease Report on Emerging Infections Press releases and other public comms Health Protection Dashboard			Ensure communications maintained with UKHSA using new Health Protection Inbox. Feed data regarding infections into Health Protection Dashboard.				
	Failure to manage and control the spread from an existing or newly emerging infectious disease.	Rotherham Multi-Agency Outbreak (2017) /Mass Treatment Plans (2018)	Notes/actions for all significant Incidents Multi Agency Outbreak and Mass Treatment Plans updated following COVID Health Protection Dashboard			Learning from COVID incorporated into Multi-Agency Outbreak/Treatment Plans and actions implemented. All plans to be reviewed and updated.				
Tuberculosis	Failure to diagnose TB at the earliest opportunity - avoidance of mis diagnosis	UKHSA Surveillance TB Specialist service specification (under review) The current working document is the TB action plan for England Tuberculosis (TB): action plan for England - GOV.UK (www.gov.uk) Incidents reported to PHE/PH NTBS National Statistics Latent Infection Surveillance NICE Guidance 2016	PHE reports on treatment outcomes UKHSA reports Notes and actions of incident meetings Learning from South Yorkshire TB Cohort Reviews Cohort review is now held as a local clinical network meeting and cohort data is produced Health Protection Dashboard			Latent screening The TB nursing service now consists of one service lead and a second TB nurse with part time admin support Occupational health screening for latent TB in care homes would be a priority or the homeless population No formal agreement for additional capacity which may be required for significant incidents & outbreaks. Ukraine screening and other migrants who come as refugees via alternative route to seeking asylum Migrant health in contingency accommodation (hotels).				
Sexually Transmitted Infections (inc HIV)	Management of outbreaks of sexual health diseases e.g. HIV	Guidance: Managing Outbreaks of STIs (PHE, 2018) Contracts/SLAs with TRFT.	Notes and actions from Incident/Outbreak meetings . Minutes from performance monitoring meetings . Sexual Health surveillance data reports from UKHSA Advice from UKHSA Health Protection Dashboard			Updated guidance for STI outbreaks Further development developing an early detection system for STIs through GUM departments, especially in light of Multi-Drug Resistant Gonorrhoea				

Topic area	Hazard or Threat Description	Control Measures	Assurance on Controls	Control RAG Rating	Assurance sufficient? Y/N	Area for Development work				
	Delay in early detection and diagnosis of people with HIV	PHOF. National commissioning guidance. Sexual Health Strategy for Rotherham SLA for Sexual Health Services PHE/GUM early detection system for STIs	Action notes from delivery plan. Minutes from TRFT performance monitoring meetings. Surveillance report from PHE. PHOF reports.			Raising awareness with communities Health Protection representation at Sexual Health Strategy Group.				
Incidents and Outbreaks	Failure to identify, prevent and control risks associated with Food Borne infections, maintain good food hygiene standards	Case reports and potential outbreaks notified to UKHSA and Environmental Health for investigation and follow-up REgistration and inspection of food premises by LA officers Food Hygiene Regulations Standard Operating Procedures across South Yorkshire	Daily Sit Reps Outbreak Reports Incidents reported via Health Protection Committee Compliance with standards			Improve links between UKHSA, Public Health and Env Health				
	Failure to control and manage risks associated with Zoonotic infections e.g. cryptosporidium, E.coli 0157	National and local surveillance by UKHSA/Defra . Investigation of individual cases by UKHSA/Env Health Officers	Weekly reports from DEFRA UKHSA surveillance reports Clusters and outbreaks notified to UKHSA/DPH			Learning shared and implemented. SOPs to be improved and reviewed following COVID				
Routine Vaccinations	Failure to meet targets for the uptake of routine vaccinations including MMR, Singles and BCC	PHOF indicators (quarterly reports) Annual Report to Health Protection Board NHS Futures Data	Health Protection Dashboard Quarterly Performance monitoring reports (SITs) South Yorkshire Immunisations Group			Further development required on hard to reach communities.PH meeting with SITs				
Cancer Screening	Failure to meet minimum targets and uptake for Cervical Screening. Late detection and more costly treatment.	South Yorkshire Programme Board Health Protection Dashboard	Programme Board minutes Health Protection Annual Report to the Health & Wellbeing Board			Improve links between Screening and Immunisation Teams and Public Health Increased scrutiny through Health protection Dashboard				
	Failure to meet minimum targets and uptake for Bowel Cancer Screening Late detection and more costly treatment.	Yorkshire and Humber Programme Board Health Protection Dashboard	Programme Board minutes			Improve links between Screening and Immunisation Teams and Public Health Increased scrutiny through Health protection Dashboard				
	Failure of programmes to reach breast screening uptake rates of 80% Late detection and an increase in cost of treatment.	Yorkshire and Humber Programme Board Health Protection Dashboard	Minutes from Programme Board Health Protection Annual Report to H&WB			Improve links between Screening and Immunisation Teams and Public Health Increased scrutiny through Health protection Dashboard				

Topic area	Hazard or Threat Description	Control Measures	Assurance on Controls	Control RAG Rating	Assurance sufficient? Y/N	Area for Development work				
Health Care Associated Infections	Failure to ensure the delivery of an effective infection prevention and control service in secondary care to reduce HCAI	NHSE National Guidance Monitor SI reports CQC UKHSA Outbreak Management Guidance	Committee minutes Local audits			Implementation of local action plan				
	Failure to ensure the delivery of an effective infection prevention and control service across the wider community to reduce HCAI e.g care homes.	CQC Health Protection Dashboard	Health Protection Committee minutes			IPC Champions Care Home Newsletter Further work on development of an IPC Team				
	Failure to ensure the delivery of an effective infection prevention and control service within primary care to reduce HCAI	Health Protection Dashboard	Health Protection Committee minutes			Further work on development of a IPC Team				
	Failure to ensure good antimicrobial stewardship to reduce the risks associated with resistance	Health Protection Committee South Yorkshire AMR/IPC Steering Group	Health Protection Committee minutes			NHS and partners ensure that there is effective local Antimicrobial Stewardship (AMS) by improving the knowledge and understanding of antibiotic resistance, conserving and supervising the use of existing treatments and optimising Infection Prevention and Control.				
Regulation and Enforcement	Failure to fulfil Proper Officer role	Approval by Strategic Director, Rotherham Regeneration and Environment	Letter confirming appointment of Y&H CCDCs			Ensure updated following changes in Staff post covid				
	Contamination of private water supplies	Health Protection Committee Register of Private Supplies	Health Protection Committee minutes			Ensure feedback is received and reported at HPC				
	Health risks as a result of poor ambient Air Quality	Real time monitoring or Air Quality in the borough DEFRA/UKHSA alerts Health Protection Dashboard	Local surveillance data UKHSA Alerts			Development of Air Quality Strategy Feedback to Health Protection Committee				
	Contaminated Land/closed landfills owned by council	Pollution control officer prioritisation and comment on planning applications				Feedback to Health Protection Committee				
	Noise & public health nuisance, domestic, commercial and individual	No of Complaints received No of enforcement actions taken	Health protection Dashboard			Feedback to Health Protection Committee				
Emergency Planning	Failure to respond to major incidents - including Weather alerts	No of incidents Debrief Exercises Emergency planning exercises UKHSA Alerts Met Office Alerts	Health protection Dashboard			Feedback to Health Protection Committee				