

**Committee Name and Date of Committee Meeting**

Audit Committee – 25 June 2024

**Report Title**

Adult Care, Housing and Public Health (ACHPH) Directorate Risk Register

**Is this a Key Decision and has it been included on the Forward Plan?**

No

**Strategic Director Approving Submission of the Report**

Ian Spicer, Strategic Director of Adult Care, Housing and Public Health

**Report Author(s)**

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**Ward(s) Affected**

Borough-Wide

**Report Summary**

This report provides an update to the Audit Committee in relation to the current position of the Adult Care, Housing and Public Health Directorate risk register and associated risk management controls.

**Recommendations**

The Audit Committee is asked to note the progress and current position in relation to risk management activity in the Adult Care, Housing and Public Health Directorate.

**List of Appendices Included**

Appendix 1 Adult Care, Housing and Public Health Directorate Risk Register as at May 2024.

**Background Papers**

No

**Consideration by any other Council Committee, Scrutiny or Advisory Panel**

No

**Council Approval Required**

No

## **Exempt from the Press and Public**

Yes

*An exemption is sought for Appendix 1 under Paragraph 3 (Information relating to the financial or business affairs of any particular person (including the authority holding that information)) of Part I of Schedule 12A of the Local Government Act 1972 is requested, as this report contains information that refers to the affairs of third parties.*

*It is considered that the public interest in maintaining the exemption would outweigh the public interest in disclosing the information because failure to do so may result in disclosure of information about the financial or business affairs of Council suppliers and partners.*

## Adult Care, Housing and Public Health Directorate Risk Register

### 1 Background

- 1.1 The Adult Care, Housing and Public Health (ACHPH) Directorate risk register was last presented to Audit Committee in June 2023.
- 1.2 Managing risk within the Directorate is subject to a five-step approach – identify, evaluate, management, monitor, review and report. A robust risk management process is in place to ensure appropriate governance and assurance is in place across all service areas of the Directorate.
- 1.3 The ACHPH Directorate Risk Register (Appendix 1) as of May 2024 consists of 21 risks, reflecting the significant scale and scope of the Directorate with risks relating to Adult Care, Commissioning, Public Health and Housing Services.
- 1.4 Since the last report, there have been 10 new risks added to the Directorate risk register from service level risk registers. One of these risks has now been closed.

Risk ID	Business Objective
<b>Adult Care:</b>	
ACHPH-R2	Maximise the available resources to ensure safe service delivery whilst remaining within the allocated budget.
ACHPH-R14	Delivering Directorate priorities including our statutory duties and hands on care provision to keep residents safe.
ACHPH-R16	Be ready for regulation and assurance by CQC.
ACHPH-R21	Effective operation of the Rothercare Service and Assistive Technology offer for adult social care.
ACHPH-R45 <i>New</i>	The ability to safely deliver the Rothercare service following a cyber-attack which has left the service vulnerable.
<b>Commissioning:</b>	
ACHPH-R40 <i>New</i>	Ensure sufficient nursing EMI beds are available in care homes to increase system flow, support admissions from the community and increase resident choice.
<b>Housing:</b>	
ACHPH-R31	Prevent homelessness and support those who become homeless to find housing as quickly as possible.
ACHPH-R32	1000 Council Owned New Homes to be delivered by 2026.
ACHPH-R33	Ensure council housing complies with regulatory standards.
ACHPH-R34	The transition to net zero for Council Housing stock.
ACHPH-R37	Ensure the health and safety of staff.
ACHPH-R38	Sustain people in their tenancies through cost of living crisis.
ACHPH-R39 <i>New</i>	Effective management and delivery of Capital Programmes.
ACHPH-R41 <i>New</i>	Council housing stock in Rotherham is to be fully compliant in terms of all aspects of Health and Safety.
ACHPH-R42 <i>New</i>	Effective management of financial resources.
ACHPH-R43 <i>New</i>	All Council Homes meet the Decent Homes Standard.

Risk ID	Business Objective
<b>Public Health:</b>	
ACHPH-R7	To provide an effective co-ordinated multi-agency response to a future pandemic.
ACHPH-R27	Provide important advice and support with regard to Infection Prevention and Control to ensure avoidable infections are minimised and to support compliance with the Health and Social Care Act (2008) and compliance with related national guidelines.
ACHPH-R46 <i>New</i>	Continuation of four Public Health staff posts working on a range of Public Health services. Three of these are within the commissioning team.
ACHPH-R47 <i>New</i>	Continuation of high quality Public Health commissioned service delivery, some of which is mandated activity.
ACHPH-R48 <i>New</i>	Availability of community pharmacy based provision for Emergency Hormonal Contraception (EHC), Needle Exchange (NX) and Supervised Consumption of methadone (SC).

1.5 The following 4 risks have been removed from the register:

Risk ID	Business Objective	Reason for removal
<b>Adult Care:</b>		
ACHPH-R25	Delivery of a robust safeguarding pathway across Rotherham for vulnerable adults.	The safeguarding pathway across Rotherham for vulnerable adults has now been implemented.
<b>Housing:</b>		
ACHPH-R28	Effective tenancy management and support to customers with complex lives.	
ACHPH-R36	Effective and good value repairs and maintenance service for council housing.	Review of Housing risks identified these risks now form part of another risk.
ACHPH-R44 <i>New</i>	Increase the provision of Homelessness Temporary, Specialist and settled Housing accommodation.	

1.6 The Corporate Strategic risk register currently includes one ACHPH Directorate risk:

Risk ID	Business Objective
<b>Public Health:</b>	
ACHPH-R7 and SLT-7	To provide an effective co-ordinated multi-agency response to a future pandemic.

## 2 Key Issues

2.1 ACHPH is made up of four key service areas, each with an Assistant Director lead reporting in to the Strategic Director, Ian Spicer:

Adult Care	Kirsty Littlewood
Strategic Commissioning	Scott Matthewman
Housing	James Clark
Public Health	Ben Anderson (Director)

2.2 A scheduled programme of reviewing and updating service and directorate level risk registers is in place across ACHPH, led by risk leads for each service and co-ordinated by a Service Improvement and Governance officer.

- 2.3 Risk registers are in place for each service area to document their service level risks, which are formally monitored and reviewed at Senior Management Team meetings on a minimum monthly basis.
- 2.4 The Directorate Leadership Team (DLT) have scrutiny and oversight of service and directorate level risk registers. Monthly briefings are tabled at DLT, risks are reviewed and, where necessary, risks can be escalated to the next strategic level for inclusion on the Corporate Strategic Risk Register.
- 2.5 The Council's Strategic Leadership Team scrutinise directorate risks on the Corporate Strategic Risk Register on a quarterly basis.
- 2.6 As part of the corporate programme to embed risk management into the culture of the Council and strengthen the Directorate's approach to risk management, all ACHPH Managers (M2 and above) are required to undertake mandatory risk management training. A number of staff from across the Directorate have also completed the accredited Institute of Risk Management training during the current year.

### **3. Options considered and recommended proposal**

- 3.1 The Audit Committee is asked to note the progress and current position in relation to risk management activity in the ACHPH Directorate, and comment as required.

### **4. Consultation on proposal**

- 4.1 Risk registers are regularly reviewed at service area Senior Management Team meetings. Directorate risks are reviewed at Directorate Leadership Team meetings.
- 4.2 Each service area has an identified Risk Champion, forming part of the corporate network who meet bi-monthly. Champions provide feedback from these meetings on any new or emerging issues or actions points to DLT members.

### **5. Timetable and Accountability for Implementing this Decision**

- 5.1 Not applicable.

### **6. Financial and Procurement Advice and Implications**

- 6.1 There are no direct financial or procurement implications arising from this report. Financial implications linked to risk mitigation are detailed in associated service and directorate plans and are closely scrutinised and monitored.

### **7. Legal Advice and Implications**

- 7.1 There are no direct legal implications arising from the risk register. Any actions taken by the Council in response to risks identified will consider any legal implications.

## **8. Human Resources Advice and Implications**

8.1 There are no direct Human Resources implications arising from this report.

## **9. Implications for Children and Young People and Vulnerable Adults**

9.1 The ACHPH risk register is focussed on managing risks to improve life changes for all vulnerable adults. Where relevant, issues relating to Children and Young People achieving a positive start to adult life are also included.

## **10. Equalities and Human Rights Advice and Implications**

10.1 There are no direct Equalities and Human Rights Advice implications arising from this report. Any actions taken by the ACHPH Directorate or Council in response to risks identified will consider any Equalities and Human Rights Advice implications.

## **11. Implications for CO<sub>2</sub> Emissions and Climate Change**

11.1 There are no direct CO<sub>2</sub> Emissions and Climate Change implications arising from this report. Any actions taken by the ACHPH Directorate or Council in response to risks identified will consider any CO<sub>2</sub> Emissions and Climate Change implications.

## **12. Implications for Partners**

12.1 There are no direct implications for Partners arising from this report. Any actions taken by the ACHPH Directorate or Council in response to risks identified will consider any Partner implications.

## **13. Risks and Mitigation**

13.1 The ACHPH Risk Register (Appendix 1) details the Directorate level risks and mitigations. This is further supported by individual service area risk registers.

## **14. Accountable Officer(s)**

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